

# Vital Forest Graphics



UNEP



United Nations  
Forum on Forests

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**The Food and Agriculture Organization of the United Nations** (FAO) is one of the largest specialized agencies in the United Nations system and the lead agency for agriculture, forestry, fisheries and rural development. The Forestry Department helps nations manage their forests in a sustainable way. The Organization's approach balances social, economic and environmental objectives so that present generations can reap the benefits of the Earth's forest resources while preserving them to meet the needs of future generations.

**The United Nations Forum on Forests Secretariat** provides support to the international policy dialogue on sustainable forest management. The United Nations Forum on Forests (UNFF) is an intergovernmental body on global forest policy which promotes management, conservation and sustainable development of all types of forests. The UNFF Secretariat works with a wide range of international organizations and stakeholders to facilitate cooperation and coordination on global forest issues.

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# Vital Forest Graphics

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# Foreword

The world's forests provide a multitude of environmental, economic and social services, all of which are invaluable in supporting human development. Forests sustain the livelihoods of hundreds of millions of people globally, and contribute directly to the economies of numerous countries.

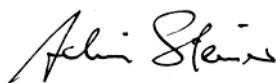
Yet, about 13 million hectares of forests continue to be lost every year with far reaching consequences in terms of carbon emissions, loss of biodiversity and environmental degradation. Whereas forests and forest soils store more than one trillion tonnes of carbon, current rate of deforestation and forest degradation is responsible for close to 17.4 percent of all anthropogenic greenhouse gas emissions, contributing to climate change. Increasingly, afforestation and reforestation are being promoted as means of climate change mitigation and adaptation. Forests often are at the nexus of the most pressing issues high on the global environmental and sustainable development agenda, namely: climate change, biodiversity loss, poverty eradication, ecosystem management, and environmental governance.

To help communicate the value of forests to policy-makers and the wider public, the United Nations Environment Programme, the Food and Agriculture Organization of the United Nations and the United Nations Forum on Forests Secretariat of the United Nations Department of Economic and Social Affairs joined efforts to analyse, synthesize and illustrate topical forest issues in this new publication, the *Vital Forest Graphics*.

A group of authors from around the world provided case studies and inputs. The publication was edited by a core team, guided by a high-level panel comprising experts from the academia, as well as from leading governmental and non-governmental institutions committed to forest conservation and sustainable management.

This edition of the Vital Graphics series is intended to serve as an advocacy tool to promote conservation and sustainable management of the world's forests through a better and wider understanding of the critical values they provide in support of global ecological stability, economic development and human well-being.

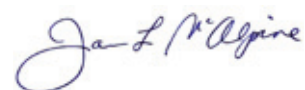
We are pleased to present this publication, and hope that you will find it both informative and thought-provoking.



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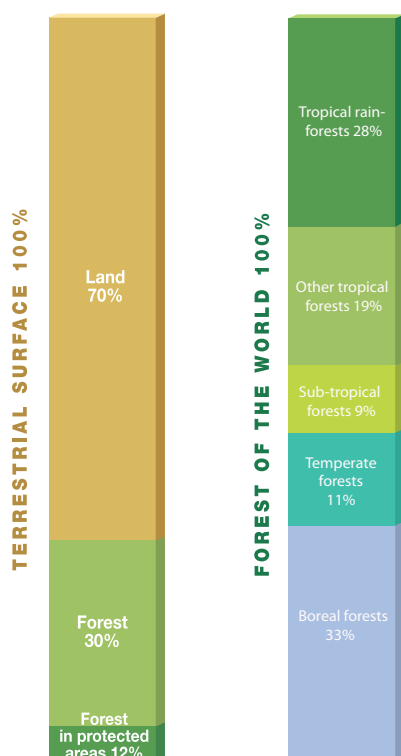
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# Forests: Suppliers of multiple

## Global forest types



Over the last few years, two closely related key environmental issues have been at the top of the environmental agenda: climate change and deforestation. Deforestation, estimated at around 13 million hectares a year, has immediate consequences in terms of increased carbon emissions and loss of biological diversity. Most of the losses in forest cover are taking place in developing countries, in particular in South America, Africa and Southeast Asia. One of the root causes behind deforestation is the weak governance structure for forest conservation and sustainable management of forest resources. This applies particularly to publicly owned forests that represent over 80 per cent of global forest cover.

In order to help address forest governance, it is essential to further the understanding of policy-makers and the general public at large regarding the importance of forests, the underlying causes of their loss and the exciting successful practices available to help conserve them. This calls for a strengthening of the interface between science and policy

A world map with a color gradient from light green to yellow, representing forest density. The map shows high concentrations of forest in tropical regions like the Amazon, Congo Basin, and Southeast Asia, and lower concentrations in temperate and boreal regions. A small green square with a white arrow points to the right, located above the text 'Global forest distribution'.

← Global forest distribution

# services to nature and humankind

and for efforts to ensure that scientific findings are translated into a common, user-friendly language.

Environmental assessments, such as the Vital Graphics series, are fundamental communication tools that promote interaction between science and various stages of the policy and decision-making cycle. The format of the Vital Graphics series with its extensive graphic component reduces complexity and adds value by summarizing, synthesizing and illustrating critical environmental issues.

Forest issues are wide ranging. In order to effectively raise the awareness and understanding of policy-makers and the general public, Vital Forest Graphics focuses on a number of selected issues that are topical and important.

The publication starts by setting the stage and looking at what defines a forest. It examines changes in forest cover in various parts of the world over the last century. The publication also provides an analysis of the most salient features of the largest forest ecosystems, including the tropical forests

of the Amazon, the Congo Basin and Southeast Asia, as well as the boreal forests. The Vital Forest Graphics also analyses the role and importance of forests with regard to the most pressing environmental issues of our time, including: climate change; loss of biodiversity; trade and environment; air pollution; energy and biofuels; agriculture and food security.

In order to further the understanding of the importance of the forests, the publication reviews the main ecological functions they provide in support of human well-being. These include the regulation of ecological processes, including the hydrological cycle and micro-climatic conditions.

Finally the Vital Forest Graphics highlights some of the proven or innovative practices, including legal or economic tools, which have been implemented to help conserve the forests and secure the livelihoods of forest-dependent communities.

# Forest definition and extent

*How much forest is there in the world? A surprisingly difficult question to answer*

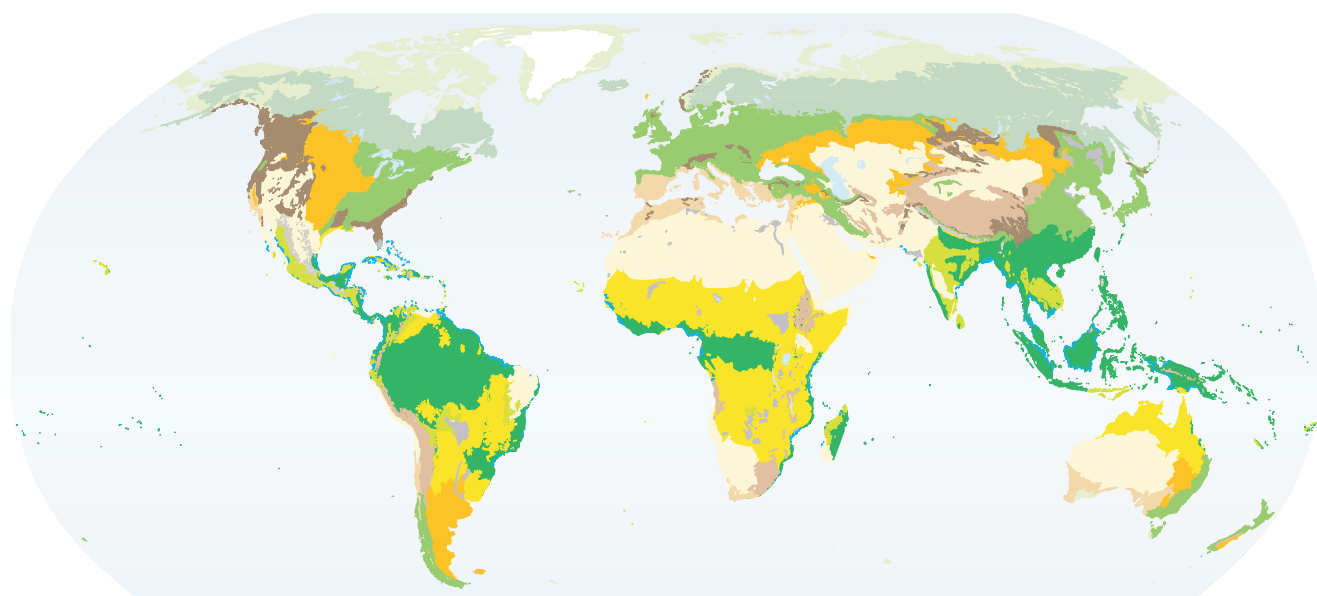
**D**efining what constitutes a forest is not easy. Forest types differ widely, determined by factors including latitude, temperature, rainfall patterns, soil composition and human activity. How a forest is defined also depends on who is doing the defining. People living in the British Isles or Scandinavia might identify forests differently from people in Africa or Asia. Similarly, a business person or economist might define and value a forest in a very different way from a forester, farmer or an ornithologist.

A recent study of the various definitions of forests (Lund 2008) found that

more than 800 different definitions for forests and wooded areas were in use around the world – with some countries adopting several such definitions at the same time!

It should be kept in mind that different definitions are required for different purposes and at different scales. An assessment focusing on the availability of timber for commercial or industrial purposes may exclude small wooded areas and types of forest not considered to be of commercial value. A definition based on physical characteristics, such as the canopy cover, will most likely be used for an assessment

## ↓ The main biomes of the world



### Biomes

- |  |   |
|--|---|
| Tropical and sub-tropical moist broadleaf forest | Tropical and sub-tropical grassland, savanna, and shrubland |
| Tropical and sub-tropical dry broadleaf forest   | Temperate grassland, savanna, and shrubland                 |
| Tropical and sub-tropical coniferous forest      | Montane grassland and shrubland                             |
| Temperate broadleaf and mixed forest             | Flooded grassland and savanna                               |
| Temperate coniferous forest                      | Mangrove  |
| Boreal forest / Taiga                            | Desert and xeric shrubland                                  |
| Tundra   | Rock and ice  |
| Mediterranean forest, woodland, and scrub        |   |

Source: MA 2005. Map designed by Emmanuelle Bournay, Paris.

→ Forest cover varies depending on how it is defined

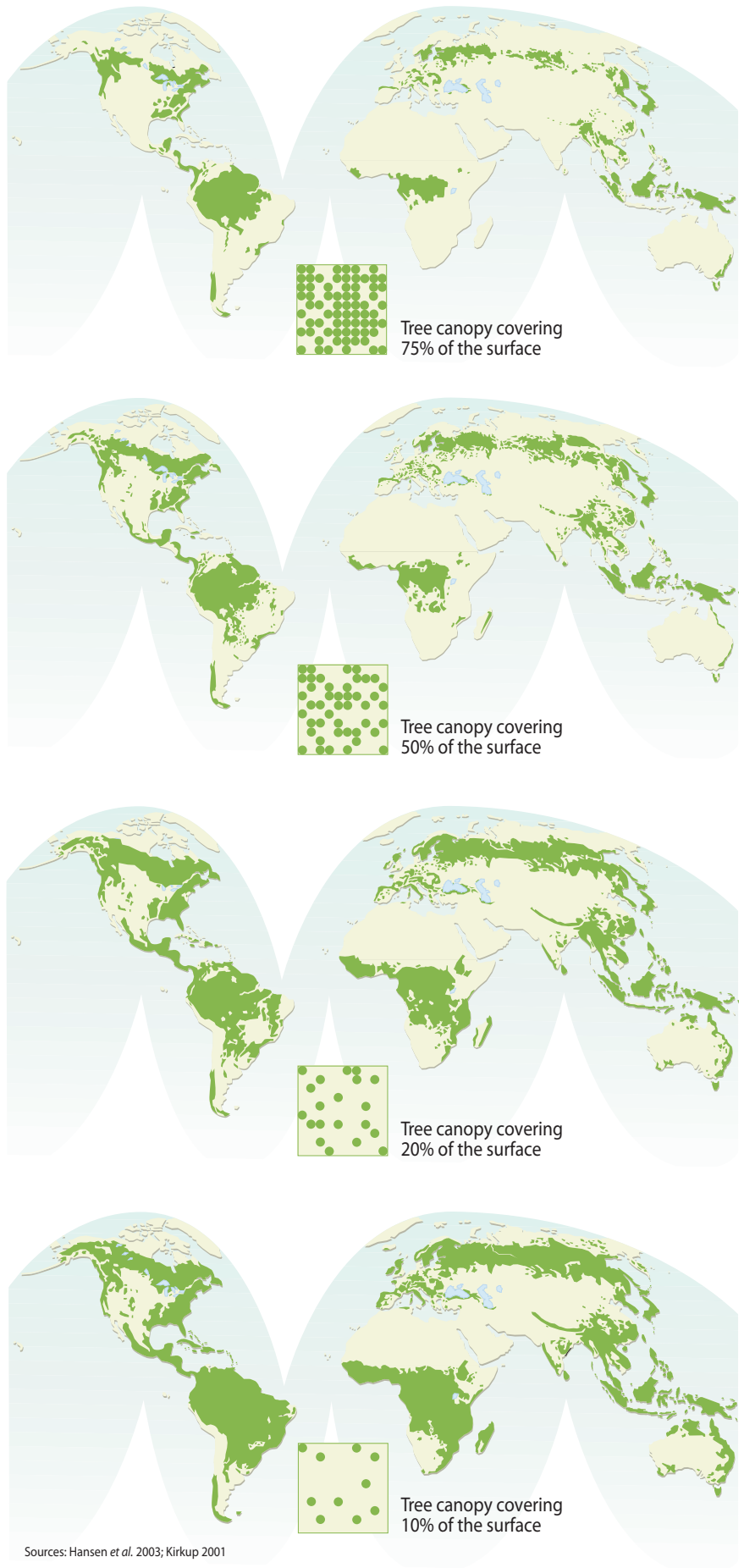
of the forest extent, whilst a definition based on botanical characteristics, i.e. variety of tree species, will be used for assessing various classes or types of forest. An overall assessment carried out on a regional or global level is unlikely to satisfy more detailed national level requirements. Conversely, a definition developed to suit the needs of any given country is unlikely to be applicable at a global level.

In an attempt to calculate how much forest there is both at regional and global levels some common definitions have been developed. These definitions are generally very broad, in order to encompass all types of forests – from dense, tall forests found in the humid tropics, to temperate and boreal forests and forests in semi-arid and arid regions.

**Common Definitions**

The Food and Agriculture Organization of the United Nations (FAO) has been assessing the world's forest resources at regular intervals. Its Global Forest Resources Assessments (FRA) are based on data provided by individual countries, using an agreed global definition of forest which includes a minimum threshold for the height of trees (5 m), at least 10 per cent crown cover (canopy density determined by estimating the area of ground shaded by the crown of the trees) and a minimum forest area size (0.5 hectares). Urban parks, orchards and other agricultural tree crops are excluded from this definition – as are agroforestry systems used for agriculture. According to this definition there are at present just under 4 billion hectares of forest in the world, covering in all about 30 per cent of the world's land area (FAO 2006a).

The United Nations Framework Convention on Climate Change (UNFCCC) uses a slightly different →



Sources: Hansen et al. 2003; Kirkup 2001

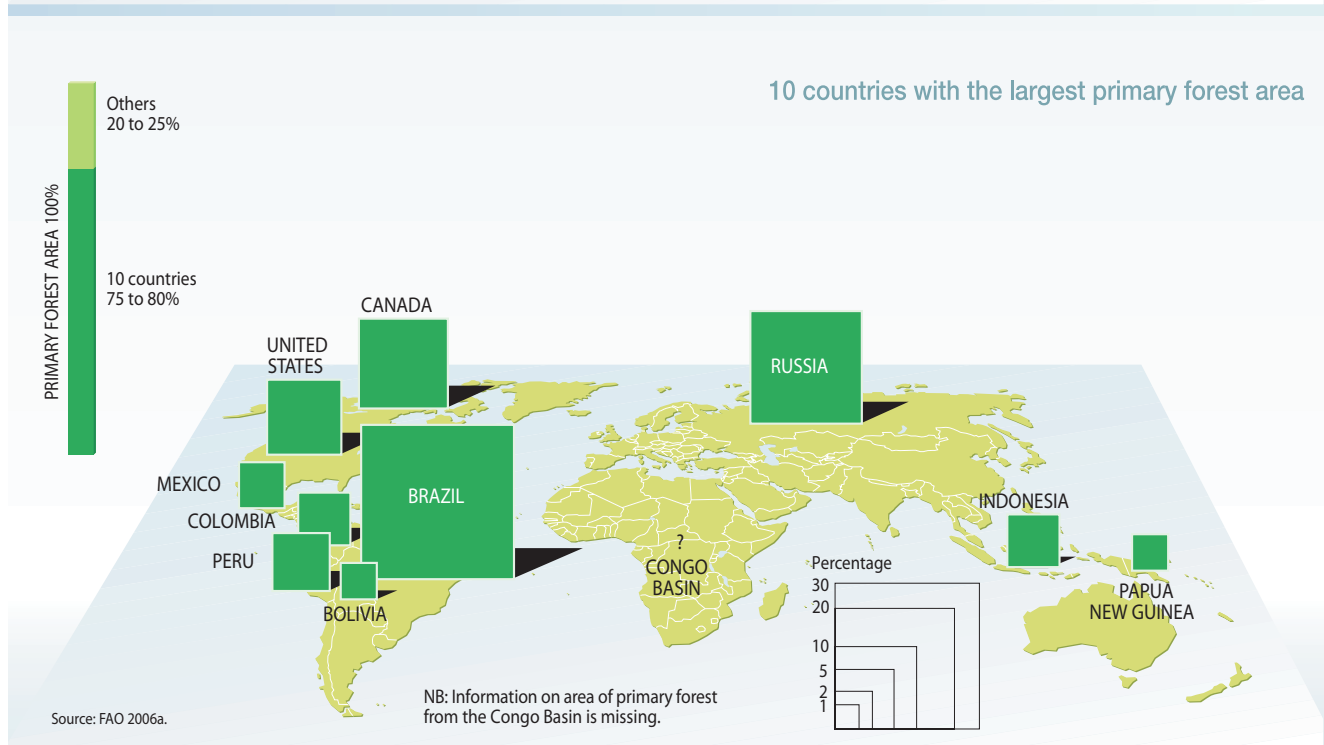
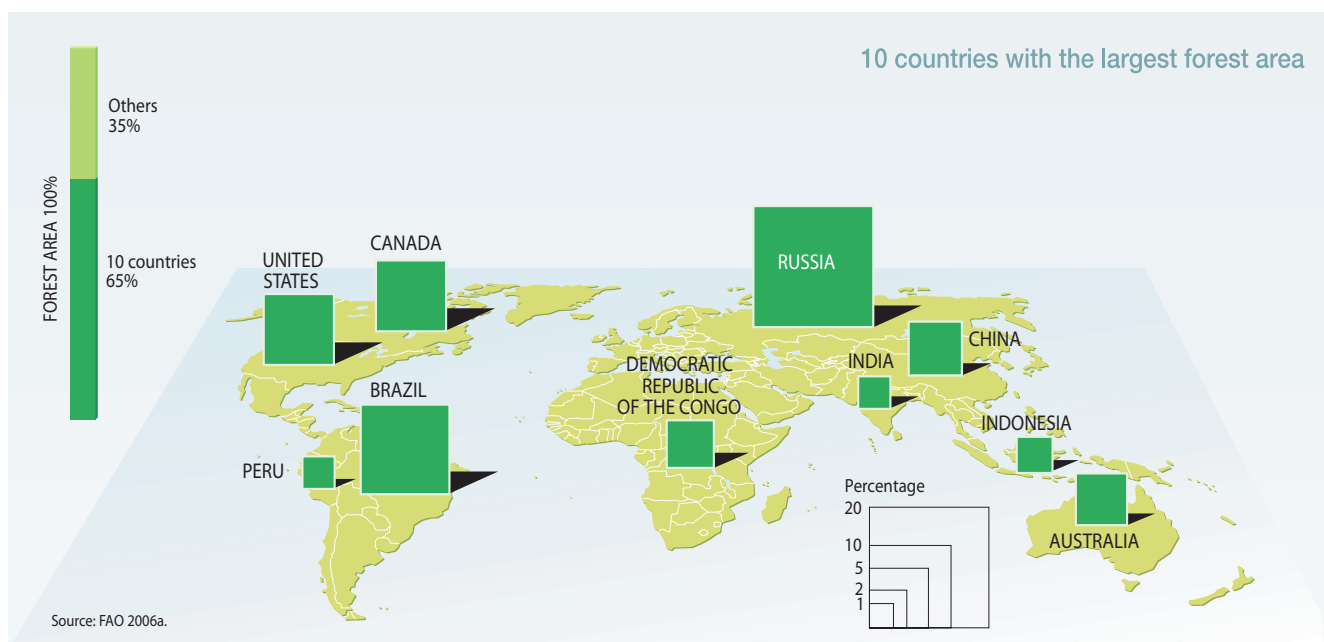
► approach. It requests industrialized countries to estimate the forest area according to their own national definitions which should be documented in the greenhouse gas inventory report. For supplementary reporting to the Kyoto Protocol, however, these countries have to apply a forest definition with threshold values within certain parameters; 0.01-1.0 hectares for minimum area, 2-5 meters for minimum

tree height and 10-30 per cent for minimum crown cover. The threshold values chosen must be used for all subsequent assessments made during the reporting period and if the definition is different from the definition used by FAO, the country should explain why a different definition was chosen.

The crown cover threshold and the land use criterion are, in most cases, the most critical factors defining for-

ests. The 10 per cent threshold of crown cover encompasses both open and closed forests. The term closed forest refers to areas where tree cover exceeds 40 per cent while the term open forest refers to areas where tree cover is between 10 and 40 per cent. In order to assess the state of the world's closed forests, the United Nations Environment Programme (UNEP) has recently employed other definition criteria,

## 📌 Countries with the most forest



including a minimum crown cover of 40 per cent. It has also used remote sensing to ensure compatibility across countries. According to the UNEP assessment, there were an estimated 2.87 billion hectares of closed forest worldwide in 1995, equivalent to 21.4 per cent of the total land area. Half of this area was located in Russia, Canada and Brazil (UNEP 2001).

Several other regional and global maps and assessments of forests have been produced – often with differing results, reflecting the various definitions and methodologies used and also the differing interpretations made.

Problems which arise in trying to assess the extent of forests worldwide are compounded by the fact that even when using a commonly held definition, data from one country is not

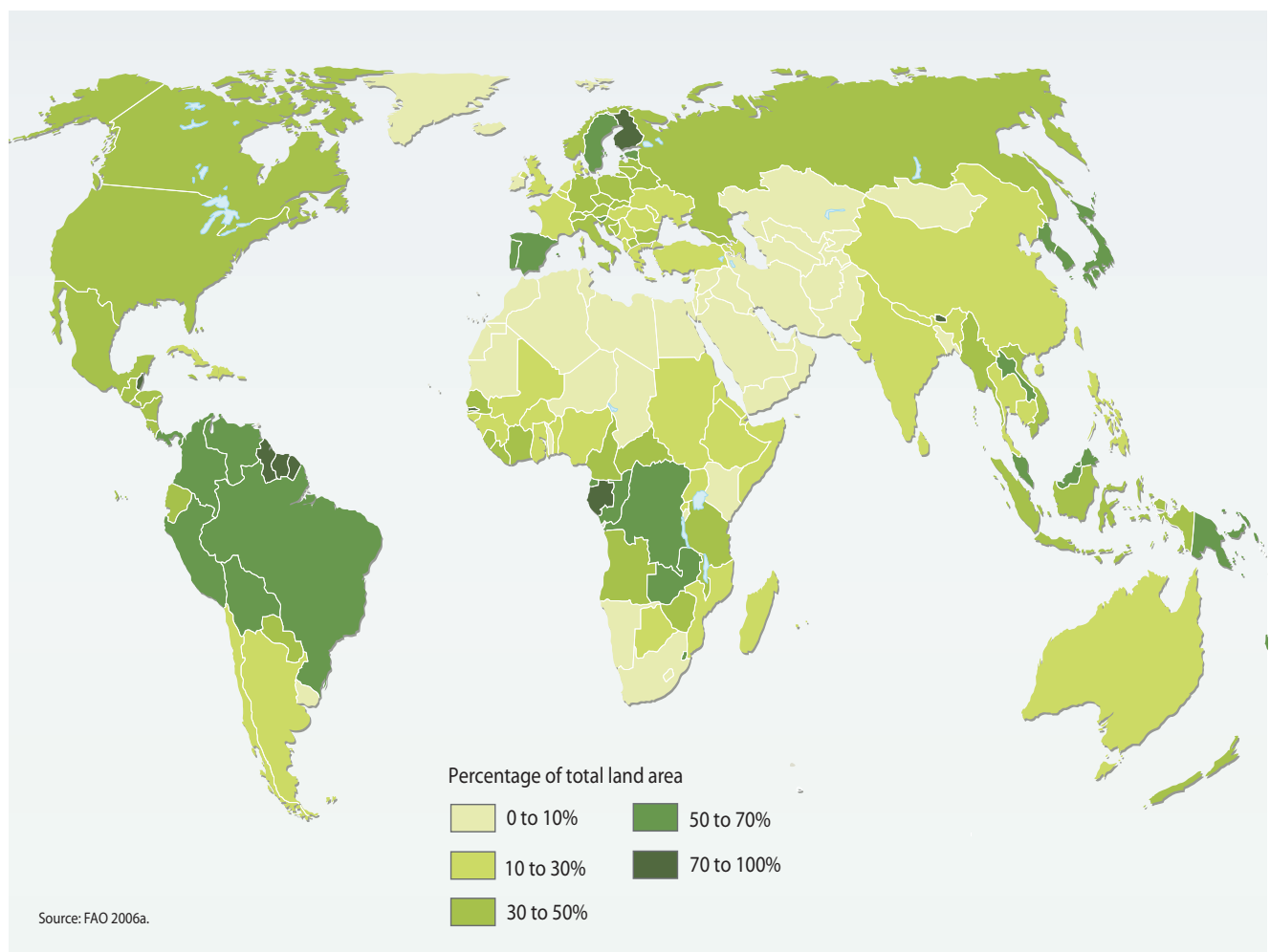
necessarily comparable with data from another due to the different methodologies used. For example, the use of satellite imagery might produce very different results to a ground based survey. In addition, remote sensing techniques for assessing forest areas can result in areas used for agricultural purposes or urban development being included rather than excluded in overall calculations of forest area.

In order to help address some of these problems, a new global remote sensing survey of forests carried out by group of agencies led by the FAO is at present being used to assess trends in forest areas over the last 30 years. The survey, which is due to announce its results in 2011, involves all countries and aims to carry out this work in as consistent a way as possible.

A factor not included in the above-mentioned definitions concerns just what a particular forest is made up of. Is it largely composed of indigenous (native) or introduced species? If planted, is it a monoculture – consisting of only one species? The definitions outlined above also exclude the condition of the forest. Is it an undisturbed primary forest, severely degraded forest or something in between? Is the forest healthy or has it been subject to attacks by pests, disease or forest fire, or damaged by wind or air pollution? Area is only one factor in assessing the world's forests: it is also vital to present comparable data on various specific forest types, examine forest health and look at usage and resource values.

→ See also pages 10, 40-46

#### ↓ Forest cover in percentage of total land area



# Forest losses and gains: where do we stand?

*Forests can undergo changes in various ways. Forest areas can be reduced either by deforestation or by natural disasters such as volcanic eruptions or severe mud slides, which can result in the forest being unable to naturally regenerate. Conversely, forest areas can be increased – through afforestation or by the natural expansion of forests*

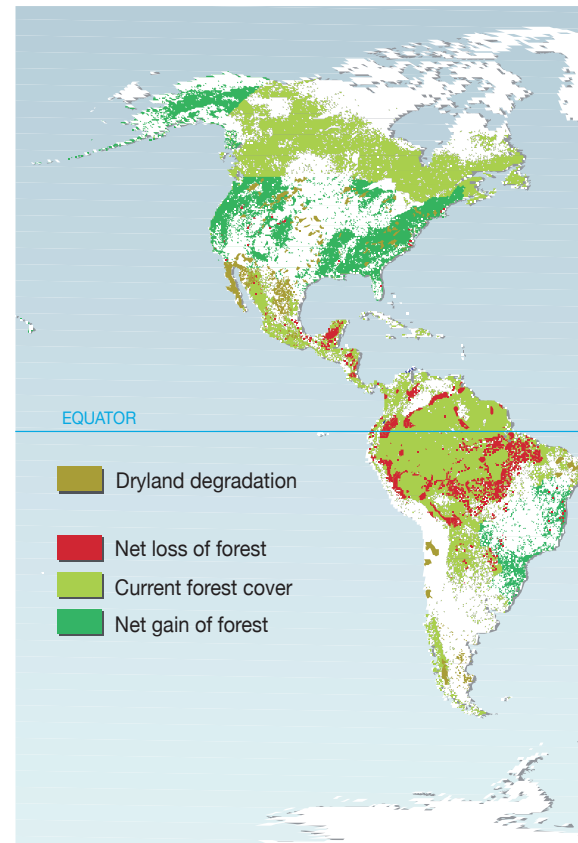
While natural disasters are relatively rare, clearance of forests has been practised throughout documented human history. Prior to the industrial era such clearances were generally part of a relatively slow and steady process (MA 2005) but in recent times the rate of **deforestation** around the globe has increased dramatically. The Food and Agriculture Organization of the United Nations (FAO) estimates that about

13 million hectares – an area roughly equivalent to the size of Greece – of the world’s forests are cut down and converted to other land uses every year (FAO 2006a).

At the same time, planting of trees has resulted in new forests being established while in other areas forests have expanded on to abandoned agricultural land through natural regeneration, thus reducing the **net loss** of total forest area. In the period 1990-2000 the world is estimated to have suffered a net loss of 8.9 million hectares of forest each year, but in the period 2000-2005 this was reduced to an estimated 7.3 million hectares per year – or an area about the size of Sierra Leone or Panama (FAO 2006). In broader terms, this means that the world lost about 3 per cent of its forests in the period 1990 to 2005; at present we are losing about 200 square kilometres of forest each day.

Unfortunately, very few countries have any estimates of the actual rates of deforestation; even **net change** esti-

## Changing global forest cover

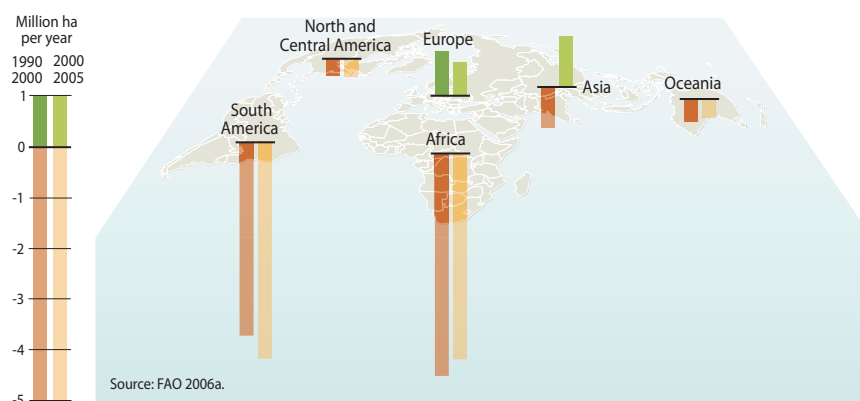


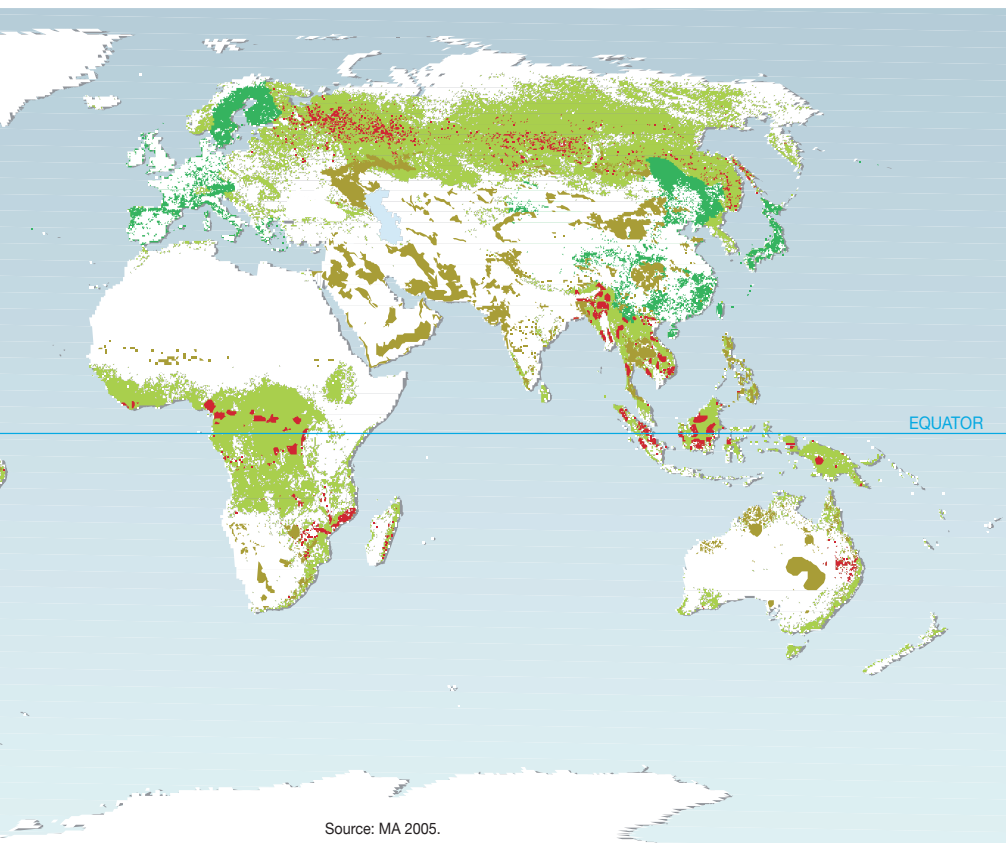
mates are rarely based on regularly conducted assessments – methodologies also differ meaning that estimates have a large degree of uncertainty.

Given the considerable variety in the types of forests and in their characteristics and relative health, the rates of deforestation and net change do not convey the full picture of the changes occurring to forests over time. A net change in forest area may hide the fact that natural forests are being deforested in one part of a country or region while forest plantations are being established in another area. Large scale changes can also happen within the forest area. In some cases natural forests are converted into forest plantations while undisturbed primary forests are being changed into modified or even degraded forests.

For example, forest areas opened by the felling of timber species are likely to be colonised by pioneer tree species, thus changing the forest’s composition. It is therefore important not to focus solely on factors such as deforestation rates or net change, but to

## Annual net change in forest area, 1990-2005





## Glossary

**Deforestation:** Removing the tree cover below the threshold value that defines a forest and converting the land to another use.

**Net change in forest area (loss and gain):** Sum of all changes in forest area over a specific period of time (including reductions due to deforestation and disasters, and increases due to afforestation and expansion of forests during the period).

**Afforestation:** Planting of trees on land which was never forested.

**Reforestation:** Planting of trees on land which was forested before.

**Forest degradation:** Removing part of the vegetation cover leading to reduced capacity of the forest to provide specific goods and services.

**Forest fragmentation:** Splitting of a contiguous forest area into smaller pieces through conversion.

also look at changes in the characteristics, composition and health of forest ecosystems.


Historically, deforestation has been much more intensive in temperate regions than in tropical regions, with Europe being the continent with the least original forest. However, in the last 50-100 years, the situation has changed; rates of deforestation are now highest in tropical developing countries.

In the period 2000-2005, South America reported the largest net loss of forest, followed by Africa. In the 1990s, Asia had a net forest loss of 800 000 hectares per year. In the period 2000-2005 Asia showed a **net gain** of forests of around 1 million hectares per year, despite high rates of deforestation in many countries in the region, in particular in Southeast Asia. This net gain is attributed to large-scale **afforestation**, particularly in China, where there has been an annual increase of more than 4 million hectares. Meanwhile in Europe forest areas continued to expand, although at a relatively slow rate, while North and Central America and Oce-

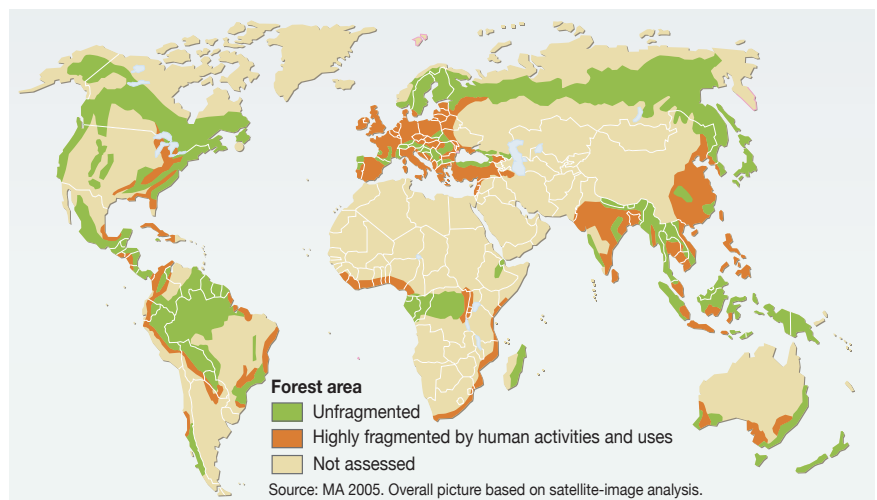
ania registered a relatively small annual net loss of forests over the 1990-2005 period (FAO 2006a).

The five countries with the largest annual net loss of forest area in the period 2000-2005 were Brazil, Indonesia, Sudan, Myanmar and Zambia. The five countries with the largest annual net gain in forest area over the same period were China, Spain, Vietnam, the United States and Italy. Chile, Costa Rica, India and Vietnam are among the countries which have

recently recorded a change from having a net loss of forests to having a net gain in forest area (FAO 2006a).

Although reasons for deforestation differ from region to region, the most direct cause is generally the conversion of forest areas for agricultural uses, in particular agricultural crops, including annual crops and tree crops, such as orchards and palm oil plantations, as well as for livestock grazing areas. Although harvesting of tropical timber is rarely the main cause of 

## ↓ Global forest fragmentation



▶ deforestation, the establishment of logging roads tends to open up previously closed forest areas and facilitate access which then may lead to the conversion of forest areas to agriculture. Underlying causes of deforestation include population increases leading to increases in demand for land, poverty, lack of enforceable property rights and a lack of incentives to establish proper forest management systems.

**Forest degradation** often implies a change in the health and vitality of a forest ecosystem but it can also relate to other factors such as changes in the composition of tree species, a loss of biodiversity, a permanent or long term reduction in the crown cover and changes in timber volumes or carbon retention levels. Degradation is often caused by overexploitation of forest areas by humans, including haphazard and badly executed logging operations. It can also be caused by pests and diseases or repeated forest fires. Degradation does not of itself result in the loss of forest area but it is often the first phase of a process which ultimately

results in deforestation. No reliable data currently exists on the degree of global forest degradation, due in part to different perceptions of what degradation entails and the lack of adequate assessment methodologies with measurable thresholds and/or the resources needed for their implementation.

At times, the condition of a degraded forest can be improved, either through forest or landscape restoration projects or by natural recovery.

**Forest fragmentation** can jeopardize the long-term health and vitality of the forest ecosystem. Forest fragmentation can also result in species loss as the size of a forest becomes too small to support a viable population of a certain plant or animal species, or if migratory routes and corridors cease to exist.

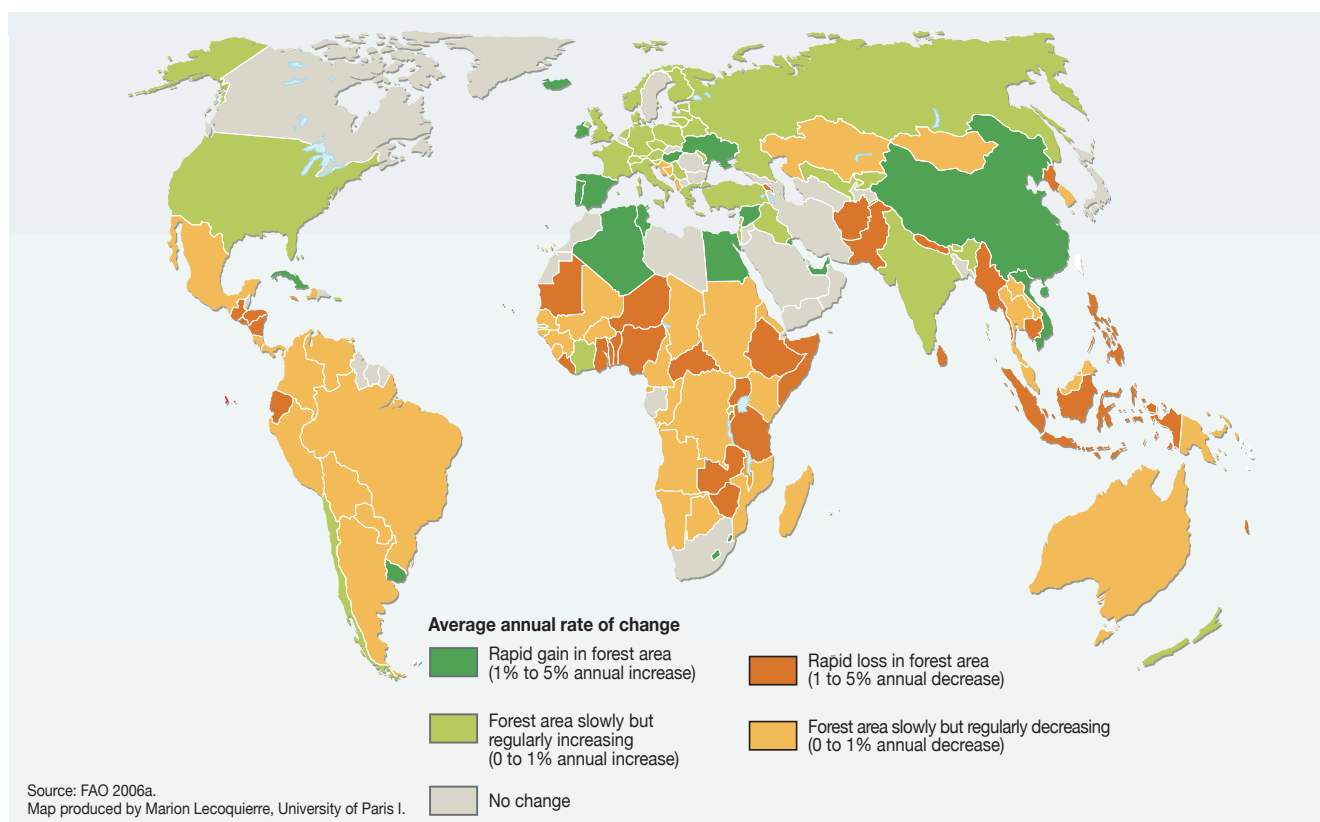
The loss of forests results in the loss of all the resources – such as timber, fuelwood and non-wood forest products – and services – such as conservation of soil, water and biological diversity – that a forest provides. Loss of forest also means that the vital role the forest plays in carbon storage and

sequestration is no longer possible. Removing forests not only means the loss of this carbon carrying capacity but also frequently means that large amounts of greenhouse gas are suddenly released into the atmosphere through wood burning and clearance activities, compounding climate change problems.

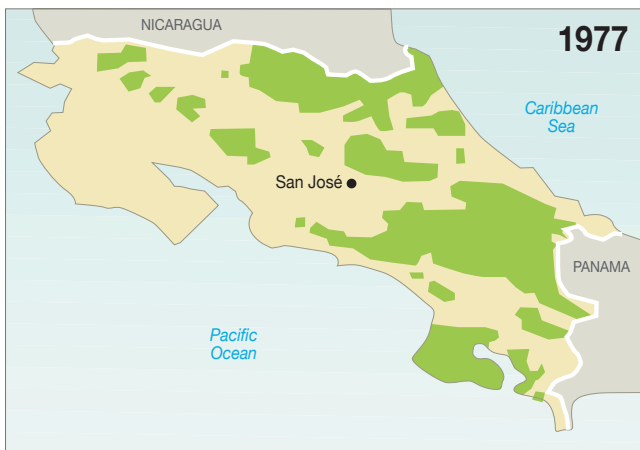
Reducing carbon emissions caused by deforestation and forest degradation in developing countries (REDD) is seen by many as a potentially promising approach in the battle to combat climate change. If the REDD initiative succeeds, it will not only mitigate climate change but also reduce the rate of forest and biodiversity loss while at the same time providing forest-dependent communities with alternative sources of income. On a broader level, it will result in developing countries being paid to conserve and sustainably manage large areas of their forests for the benefit of mankind.

➔ See also pages 6, 20, 30, 40, 42, 44

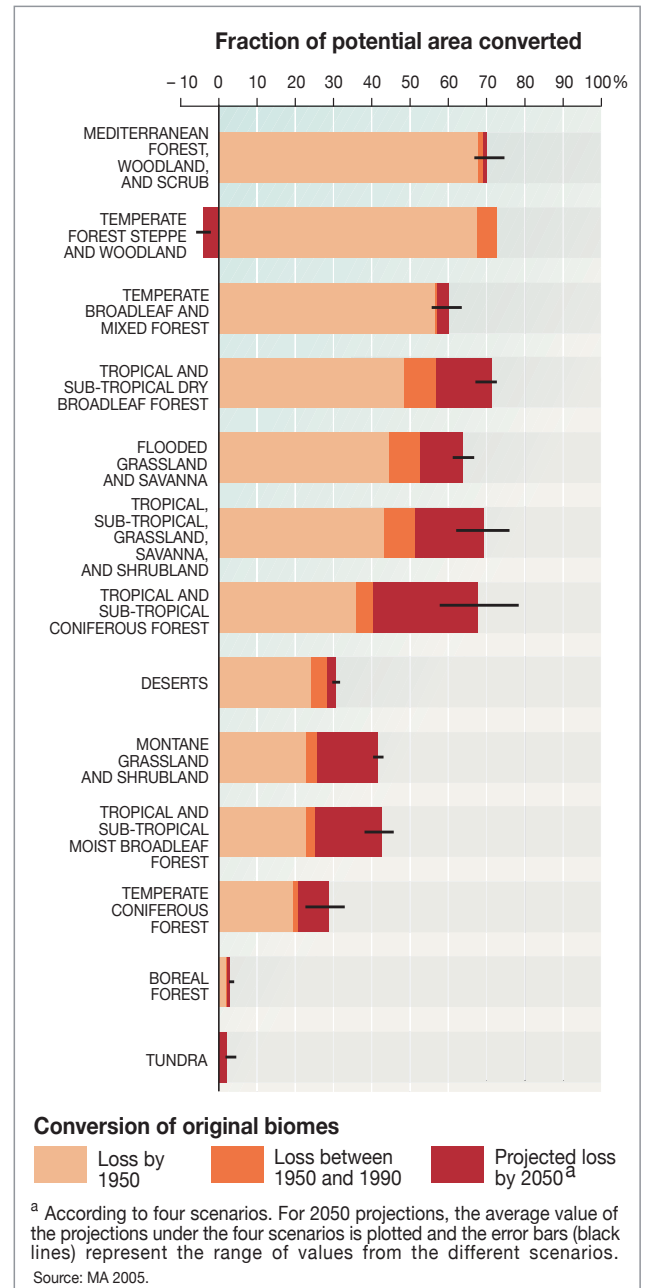
↓ Changes in area covered by forest, 1990-2005



↓ Decreases and increases in forest area in Costa Rica, 1940-2005

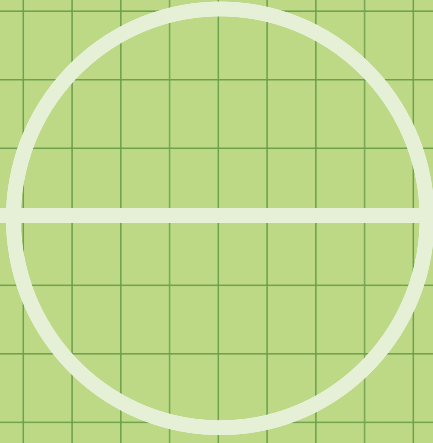


↓ Conversion of original biomes, 1950-2050



The world is losing 20 000 ha of forest a day

835 ha of forest disappear every hour,  
the equivalent of 1140 football pitches



# Amazonian deforestation in the global context

Source: Woods Hole Research Center, 2007; Amazon Institute for Environmental Research et al., 2006; Reuters, 2008. Research, information collection and elaboration by Giulio Figheri, University of Bologna, Italy, 2008.

Increase in global demand

Intensive farming

Foot and mouth disease eradication

LATIN AMERICA  
Deforestation of Amazonia

Demand for commodities for local market

Land speculation by clearing forest  
 Conversion into cattle pastures  
 Conversion into soya fields  
 Transport and industrial infrastructure development

Profits

Increase in soya price

Pressure

Rise in commodity prices

Technological progress

Rise in land prices

Devaluation of Brazilian currency boosting exports

Fear of avian flu

NORTH AMERICA

Fear of avian flu

EUROPE

Fear of bovine spongiform encephalopathy (BSE)

RUSSIA

Fear of bovine spongiform encephalopathy (BSE)

CHINA

Global market reached through free trade

Demand for biofuel, meat and soya

Demand for biofuel, meat and non-GMO soya

Demand for meat

Demand for biofuel and soya

Increasing Chinese market (growing middle-class) for soya-fed pork and poultry

Rise of land and food prices

Forced displacement of small farmers and indigenous communities

Controversial land claims

Right to claim more cleared land

Rural violence and assassinations

# The relationship between indigenous people and forests

More than 1.6 billion people around the world depend to varying degrees on forests for their livelihoods – not just for food but also for fuel, for livestock grazing areas and for medicine. At least 350 million people live inside or close to dense forests, largely dependent on these areas for subsistence and income, while about 60 million indigenous people are almost wholly dependent on forests (World Bank 2006c).

Indigenous forest people use their land in many different ways – for fishing, hunting, shifting agriculture, the gathering of wild forest products and other activities. For them, the forest is the very basis of survival and its resources have to be harvested in a sustainable manner. But when traditional life styles change and, for example, industrial logging or mining takes place, over use of resources can lead to conflict.

Although indigenous people around the world often have very different sets of beliefs and traditions, a special bond with the land is a common factor. For example, the semi-nomadic Matses

people of the Peruvian Amazon call the rainforest Titá, or mother (Krogh 2006), referring to Titá as if to a person, who can be happy as well as sad, angry as well as indifferent.

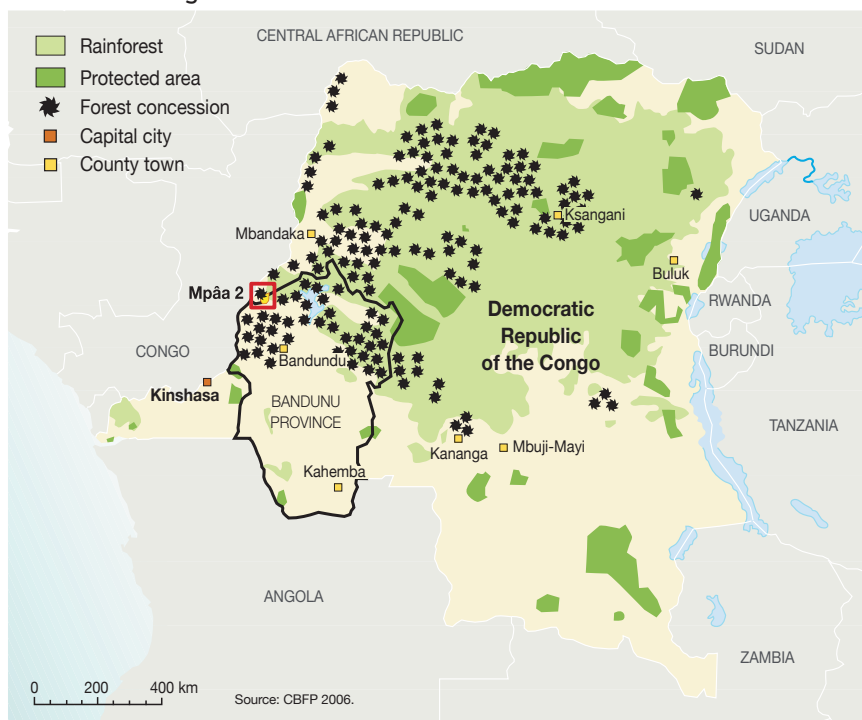
Titá provides the Matses with everything they need – as long as they follow her rules, including never taking more from the forest than is needed and treating all things belonging to it with respect. Traditionally, the Matses perform hunting ceremonies to ask the animal spirits for permission to kill animals for food.

As with the Matses, indigenous peoples' ideas of territory are not only concerned with controlling a geographical area or using forest resources: territory also embodies fundamental aspects of culture and geography (Franky 2000).

Indigenous forest people see themselves as inseparably linked to the forest and everything in it – trees, plants, rivers, animals and mountains. It is impossible, according to community beliefs, to separate any single object or living thing in the forest – such as a particular plant, animal or mineral – from its symbolic position in the cosmology of the people (Olsen 2006). These ideas are expressed through mythology, religious practices, and systems of social regulation, including management of the environment and systems of production and exchange (Sanchez *et al.* 2000).

Because of their special relationship with the land, many indigenous people

## Forest concessions and protected areas, Democratic Republic of the Congo



## Traditional activities in the village of Mpâa, Democratic Republic of the Congo



cannot comprehend the idea that forests and land can be bought and sold. However this does not mean they do not have a clear notion of their rights (Odegard *et al.* 2006). The use of certain areas or resources may be granted based on a number of criteria, such as belonging to a particular group, tribe or clan. Land use can also be based on reciprocal agreements with neighbouring groups or individuals.

In many countries, the State is the official owner of most forest areas, even though some of the land may have been inhabited for generations by large numbers of people. In some cases the rights of those people are recog-

nized. In the Philippines for example, land issues in those areas are governed by the Indigenous Peoples' Rights Act. Unfortunately such regulations are often contravened by powerful local interests.

Also, traditional tenure systems are not always recognized by governments, leaving indigenous forest people without formal rights to their territories. This violates the United Nations Declaration on Indigenous Peoples' Rights (UNDIPR) as well as ILO Convention 169 – both of which place a clear obligation on States to legally recognize, demarcate and effectively protect indigenous peoples' territories and natural

resources.

One strategy which is increasingly being used by forest people in order to defend their rights is to provide proof of their residence in, and use of, forest areas. In the Democratic Republic of the Congo, indigenous groups and other forest-dependent communities are participating in the mapping of their traditional territories (FORUM 2007). Such maps are likely to be a vital tool in the future as indigenous people around the world struggle to gain formal recognition of their rights.

➔ See also pages 16, 52

# Forests sustain livelihoods

Forests play an important role in the livelihoods and welfare of a vast number of people in both developed and developing countries; from urban citizens taking a recreational stroll in a nearby forest to isolated hunter-gatherers who live in and off the forest

The World Bank has estimated that 1.6 billion people around the world depend to some degree on forests for their livelihoods (World Bank 2004). Although only an estimate this clearly indicates that forest dependency is widespread. In developing countries, it is projected that a large number of people will remain at or below poverty levels (Collier 2007). This raises the question of whether forested areas can play a role in poverty alleviation.

A livelihood involves income-generating activities determined by natural, social, human, financial and physical assets and access to these (Ellis 2000). Trees, shrubs, herbs, game and a wide range of other forest products all constitute important natural assets that are harvested in significant quantities by a large number of households across

virtually all forest types (e.g. Scoones *et al.* 1992; Neumann 2000; Cunningham 2001). Such assets therefore make up an important contribution to livelihoods.

Examples are numerous. Fuelwood is an important source of household energy for heating and cooking in many countries. Non-wood forest products, such as bush meat, are important to help meet dietary deficits and a vital source of protein. Medicinal plants from the forest, used either in self-medication or in traditional medicine systems are in many regions the sole or main source of medicinal remedies for maintaining or improving health. Small-scale forest product processing, such as wood carvings or cane furniture, may be an important source of non-farm employment.

Even though forests are often very important to households, there is surprisingly little knowledge on the actual level of household forest income and the role of such income in maintaining livelihoods. Households typically use forest products for subsistence purposes or products are traded in informal markets. Much forest use is therefore not recorded in regular income surveys. However, available evidence indicates that income derived from the forest may constitute 20 per cent or more of total household income,

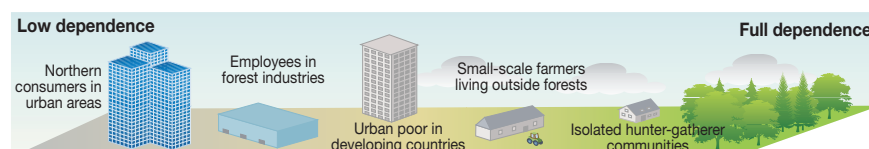
with the poor the most forest dependent (e.g. Cavendish 2000; Angelsen and Wunder 2003; Vedeld *et al.* 2004).

There is evidence that forests are often of particular importance to women, children and ethnic minorities. For instance, forest foods are crucial to many children (McGregor 1995) and involvement of women in non-timber forest product collection and trade improves intra-household equity (Kusters *et al.* 2006). There are also studies indicating that richer households may be highly forest dependent – though such dependence relates to other sets of products than the ones extracted by poor households. For instance, fuel wood and the use of dung has been found to decrease as income rises in India while fodder and the use of wood for construction increases (Narain *et al.* 2008).

The evidence regarding the role of forests in allowing households to move out of poverty is scant and mixed; there are examples such as the above study from India indicating that income from forests allows households to accumulate assets and escape poverty. However, by way of contrast, figures from Madagascar show that areas there with high forest cover have low densities of people but high poverty rates.

The World Bank and the Food and Agriculture Organization of the United Nations (FAO) have urged that forests can and must play a far bigger role in meeting the United Nations' Millennium Development Goals, including the target of halving extreme poverty by 2015 (World Bank 2004; FAO 2005).

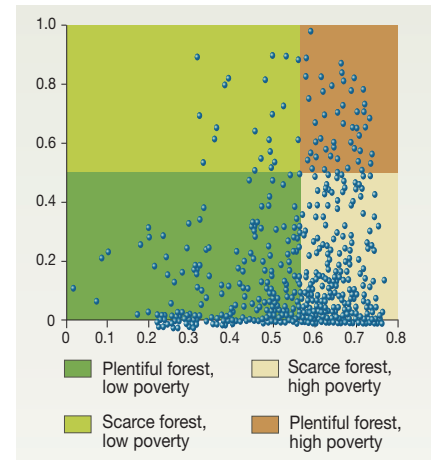
## ↓ Level of dependence on forests



## Forest cover in relation to poverty, Madagascar

- Plentiful forest, low poverty
- Scarce forest, low poverty
- Scarce forest, high poverty
- Plentiful forest, high poverty

Source: The World Bank, 2004.



ential treatment to influential companies and organizations and promotes corruption. In Honduras, where local communities cannot gain secure rights to the forests in which they live while common, systematic and high-level corruption has characterized the timber industry (Larson and Ribot 2007). There is thus scope for increasing the contribution of forests to poverty prevention and reduction.

Building upon the emerging evidence of the absolute and relative importance of forests and forest products to livelihoods, governments and other development bodies should take action to: make policy reforms in negotiation with small-scale forest users in order to create conducive production conditions, including secure ownership and use rights; revise legislation in order to remove bias against household-level producers and support small-scale commercial units, including community-based forestry. Such initiatives would allow households to actively use forests, enabling them to build up their assets and improve their livelihoods.

There are also international initiatives aimed at improving our understanding of the relation between forests and livelihoods and the impact of policies on such relations, including the Poverty and Environment Network, the Programme on Forests and the International Forestry Resources and Institutions research programme.

Being able to not only harvest forest products but also to transport and sell such products is important for hundreds of thousands of households in order to fully realise the benefits of the forest. However, legislation often discriminates against small forest users, typically by heavily regulating their access rights. It also often gives prefer-

→ See also pages 14, 32, 52

# A hiding place for fighting forces and a refuge for victims

*Around the world, conflicts and wars are taking a toll on forests and on the communities that rely on them for their livelihood. Dense forests can serve as hideouts for insurgent groups or can be as a vital source of revenue for warring parties to sustain conflict.*

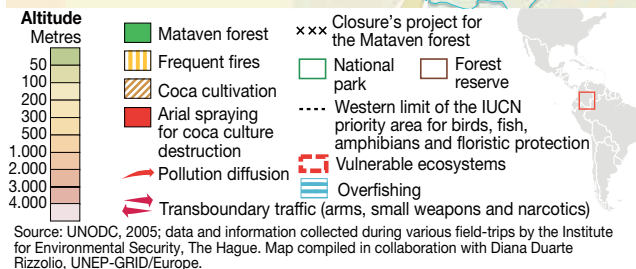
**A**round the world, conflicts and wars are, directly and indirectly, taking a toll on forests and the communities that rely on forest resources for their livelihood. Dense forests in remote areas can serve as hideouts for insurgent groups. They also provide safe haven for refugees fleeing from conflict. Both cases can result in over-exploitation of forest resources.

Known cases of forests as sites of rebel camps include Colombia where left-wing guerrillas have camps deep in the Amazonian forest and in mountainous forest areas, and the Demo-

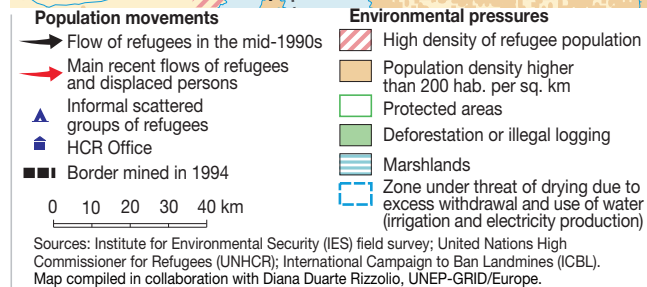
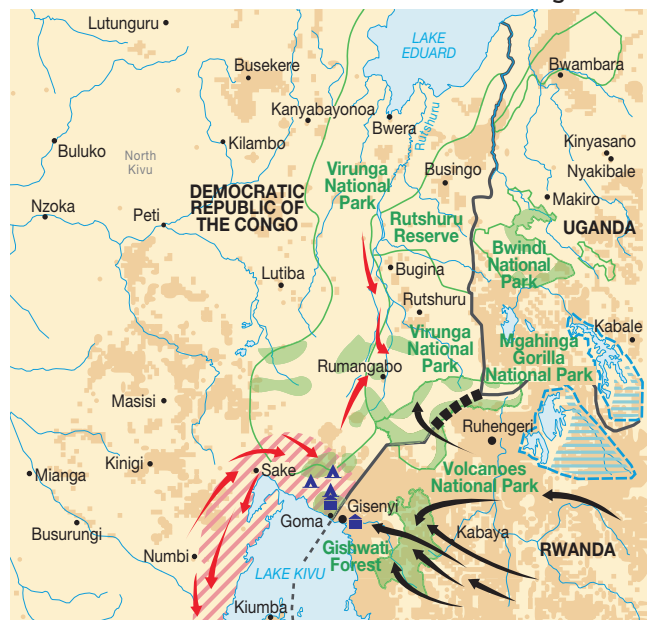
cratic Republic of the Congo (DRC) where the Garamba forest has been a rebel stronghold for nearly two decades. Many other wars and conflicts, for example, in Cote d'Ivoire, Guinea, Nicaragua, Sierra Leone, and the liberation struggles in southern Africa, were also based in and launched from forest areas.

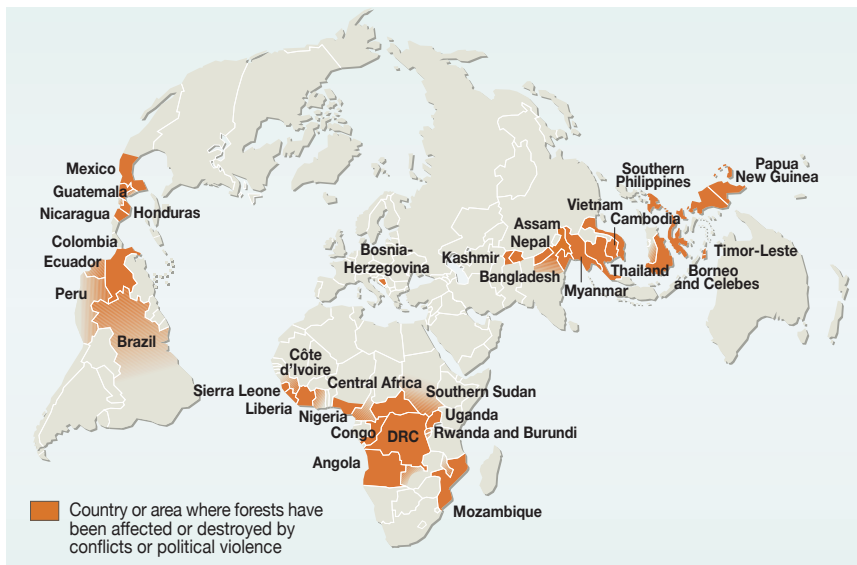
Forest resources can be a vital source of revenue, used by warring parties to finance and sustain conflict; common conflict commodities are timber and mineral resources extracted from forest areas such as coltan, gold, diamonds

## Forests in narcotics and arms trafficking areas



## Forests affected as hideouts and refuges





## ← Forests and conflicts

and other gemstones. However given that logs are bulky and difficult to transport, illegal timber trading in conflict zones can be difficult, requiring access to reasonably good and secure transport systems, as well as access to export markets and consumers.

*“Natural resources, including forests, will continue to fuel deadly conflicts as long as consumer societies import materials with little regard for their origin or the conditions under which they were produced. This also makes consumers part of the equation, either knowingly or otherwise”* says Michael Renner, a security specialist and senior researcher at the Worldwatch Institute in Washington.

United Nations action to prevent the trade in conflict timber has centred on resolutions, commodity sanctions, and so called smart sanctions, including travel bans and asset freezing of companies and individuals engaged in illegal trading. For more than a decade forest resources were used to finance war in Liberia (1989 to 1996 and 1999 to 2003). In 2003, the UN Security Council called for an import ban on timber products and rough diamonds from Liberia and an end to arms sales to Liberia (UN Security Council 2003). In 2006, following the implementation of new forestry legislation and reforms

by the Liberian government, the timber sanctions were lifted. Subsequently, in 2007, the diamond sanctions were also lifted; however regulations concerning arms sales, travel bans and asset freezes are still in force (UN Security Council 2006).

### Important forests

Protected forest areas are seen as a place of refuge by people fleeing from civil unrest, as they tend to be located in remote areas often with dense vegetation where people can hide (Oglethorpe *et al.* 2007). Mwiza Vareriya, caught up in the genocide in Rwanda, described the feelings of those hiding in the Gishwati forest: *“We were very scared, but then we got to the forest, and we felt safer”* (Hanes 2006).

Large-scale cross-border migrations by refugees and internally displaced persons (IDPs) who then settle in forested areas often result in biodiversity loss and deforestation as trees are cut for firewood or shelter and land is cleared for planting crops. In 1994, nearly 1 million refugees from the conflict in Rwanda poured over the border with the DRC and into camps in and around the Virunga National Park (Debroux *et al.* 2007). It is estimated that 50 000 hectares of the park’s lowland forest were

affected by woodcutting and animal poaching associated with the humanitarian crisis. An estimated 600 tonnes of wood per day was cut by refugees for fuel, charcoal and shelter, according to the UNEP-World Conservation Monitoring Centre (UNEP-WCMC). International assistance to the refugees included vital food, water and health care. Yet in most cases fuelwood and poles to build shelters were not provided, leaving the refugees with no choice but to exploit local resources.

Sustainable management of forest resources can play a critical role in post-conflict reconstruction and peace building activities. In 2003, the United Nations Environment Programme (UNEP), in its post-conflict environmental assessment of Afghanistan, found that more than 50 per cent of the country’s natural pistachio woodlands had virtually disappeared as a result of warfare, civil disorder, institutional collapse and drought. Trees were cut for the illegal sale of timber or to create stockpiles of fuelwood.

In other parts of Afghanistan, the presence of landmines drove farmers to clear forests to grow crops (UNEP 2003). Programmes to restore these devastated areas and create sustainable livelihoods are under way; up to now, the Afghanistan Conservation Corps (ACC) has planted more than 5 million trees and generated income equivalent to over 700 000 labour days (UNEP 2003).

→ See also pages 16, 40

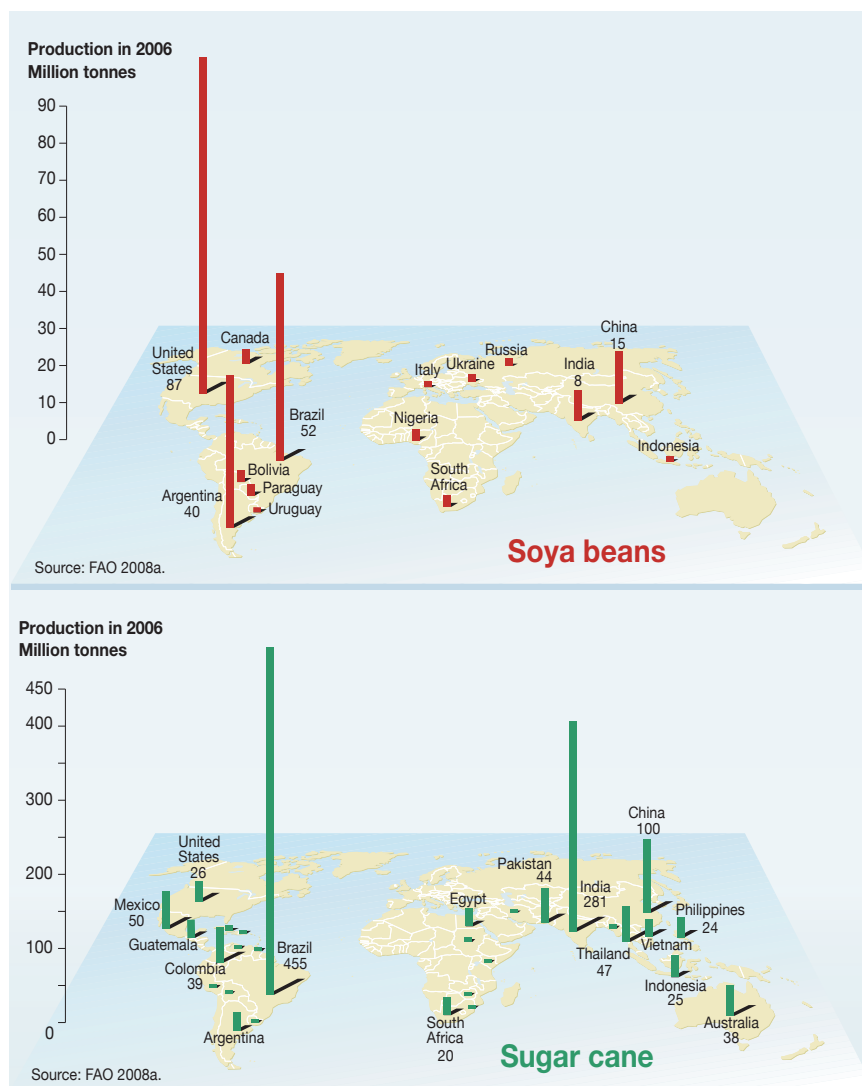
# Forests under threat as agricultural

Growing global demand for land for the production of agricultural commodities has resulted in sometimes irreversible changes to the world's forest cover

Deforestation is driven by the need for land for uses such as agriculture, agroforestry, human settlements, infrastructure and mining. Some of the most serious deforestation occurs when there are various commodity booms at the domestic and international levels. At such times farmers and large agribusiness enterprises clear forest areas to plant more profitable market crops such as palm oil, rice, sugar cane, banana and soya beans – or forest is cleared for animal grazing. In addition, new road networks mean previously remote areas can be reached and infrastructure built up, often leading to the eventual settlement of former forest lands.

A combination of increase in demands for food, land fertility, rising market prices for commodities and a lack of clear and enforceable ownership rights to forest land results in agriculture being the major cause of deforestation. Such deforestation is often executed through slash and burn practices, with forests and woodlands cut and burned and the land cleared for crop production or for livestock pastures. This method, practised by small-scale farmers for centuries, releases a pulse of nutrients that serve as fertilizers for the soil. However, while traditional slash-and-burn or so called shifting cultivation practices involved the growing of crops for a few years, followed by a fallow period during which the forest

## Major producers of soya beans and sugar cane



# commodities take over

could grow back, more recent forest clearances are often carried out on a much larger scale and aim to establish permanent agriculture.

Forest areas with the most favourable environmental conditions – those with good drainage and soil fertil-

ity – are most likely to be converted for agricultural purposes (Kanninen *et al.* 2007; Stickler *et al.* 2007). Tropical forest nations vary greatly in suitability for mechanized agriculture. In some countries such as Malaysia, French Guiana and Cameroon, virtually all forest land

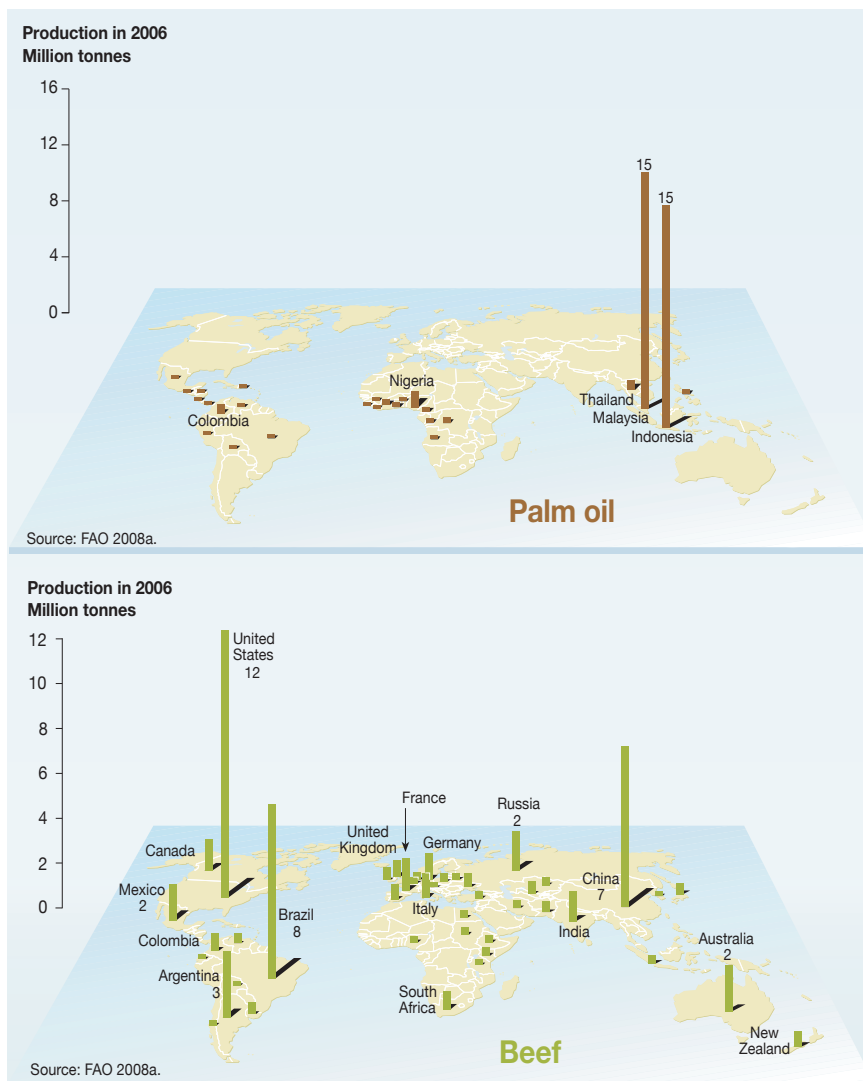
has high agricultural potential or high population densities, while in other nations such as Bolivia, Congo, Venezuela and Guyana one third to one half of the forests are unsuitable for agriculture or have low concentrations of farmers (Stickler *et al.* 2007).

To address pressures on forest land the Brazilian government enacted a law in 2006 stipulating that landowners can clear-cut no more than 20 percent of their forests, while the remaining 80 percent has to remain under forest cover. Though this can be seen as an important step in forest conservation, problems over land ownership and lack of clear land titles mean that the law is often not properly implemented.

Increasing populations also raises demand for food and other commodities, requiring ever more land to be used for production (Kanninen *et al.* 2007). At the present time, production of commodities such as soya beans and palm oil are reaching record levels, with world soya bean production in 2006 reaching about 222 million tonnes. Brazil is the world's second biggest soya bean producer, accounting for 23 per cent of the global total. About 220 thousand square kilometres land in Brazil is now planted with soya (FAO 2008a), mostly in the south-eastern part of the country.

Indonesia and Malaysia are major producers of palm oil: in 2006 these two countries accounted for 85

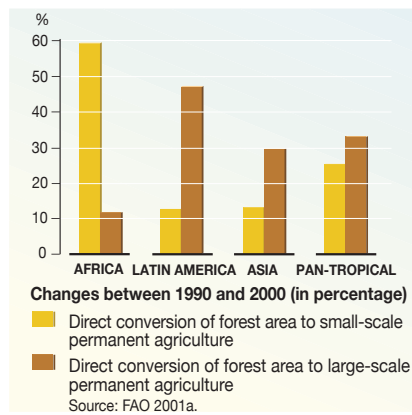
## Major producers of palm oil and beef



▶ per cent of total world production and 88 per cent of global exports (FAO 2008). Over the past decade, the area covered by oil palms in Indonesia has quadrupled, covering 4.1 million hectares in 2006 (FAO 2008).

Though palm oil tends to be associated mainly with the food and cosmetic industry, its applications are far wider, taking in, for example, the textile industry and more recently – with increased production of bio-fuels – the transportation sector. Many important organizations associated with palm oil, such as the Malaysian Palm Oil Council, regard palm oil as a second-generation bio-fuel which could, through upgraded technologies, become an important source of energy and support the future expansion of the bio-

### ↓ Drivers of forest conversion

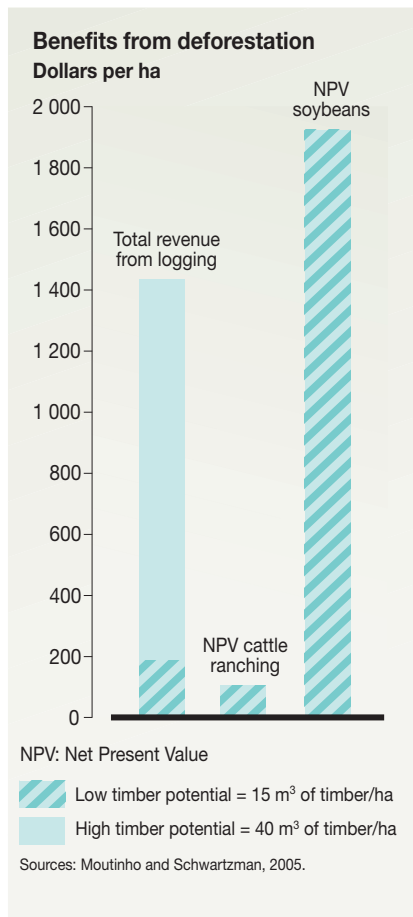


fuel business. Continuing high world prices for palm oil are leading to rapid expansion in areas planted with the crop (Kanninen *et al.* 2007) and the conversion of forest to oil-palm plantations is a significant contributor to deforestation in some countries.

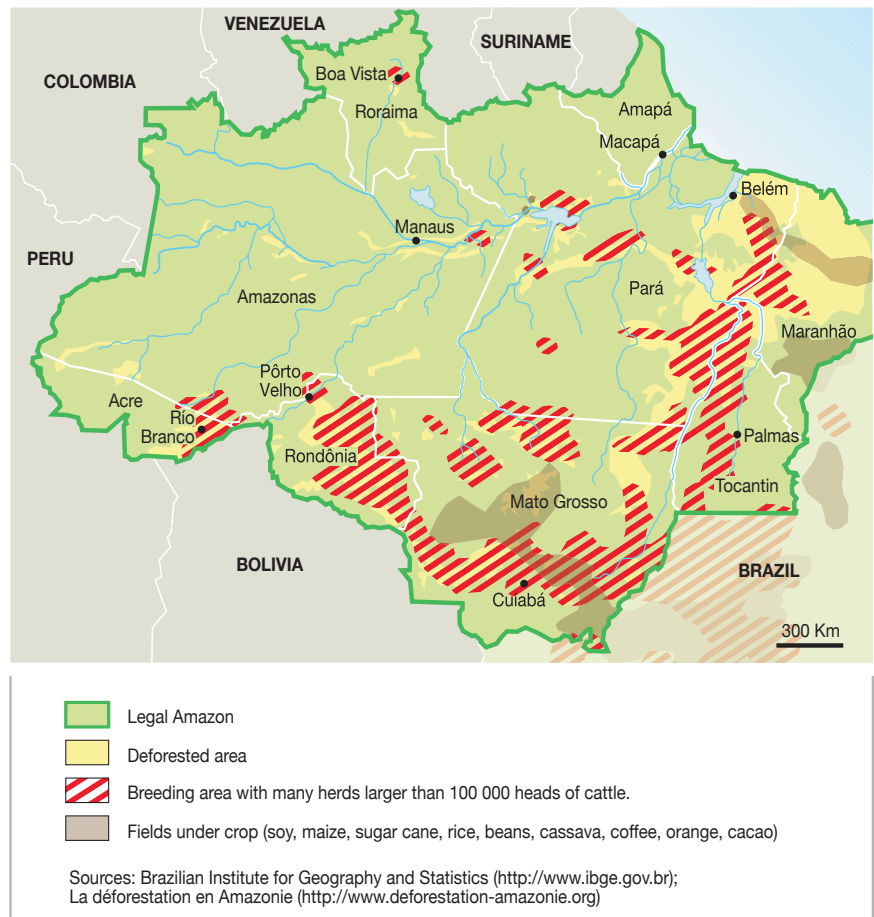
In Latin America, cattle ranches are expanding rapidly (FAO 2007a) and, according to one study, accounted for an estimated 70 per cent of deforestation in Brazil in 2007 (Malhi *et al.* 2008). While the average size of a cattle ranch in Brazil is 24 000 hectares, some are as large as 560 000 hectares: in the Brazilian Amazon region, ranches cover an area of at least 8.4 million hectares in total.

The Amazon is now part of a national and international economy which, through globalization, is responding to market demands, accelerating the rate at which agricultural crops and cattle ranching are replacing or impoverishing native forests (Nepstad *et al.* 2006). The expansion of these agricultural industries in Bra-

### ↓ Deforestation benefits...



### ↓ What is becoming of the Amazonian forest?



zila is caused by a complex set of factors including low land prices, devaluation of the Brazilian currency (the Real), and improved transportation infrastructure and production systems. Brazil has also been quick to respond to new export opportunities capitalizing, for example, on meat exports at times when outbreaks of diseases like foot-and-mouth are present in other regions and markets (Nepstad *et al.* 2006).

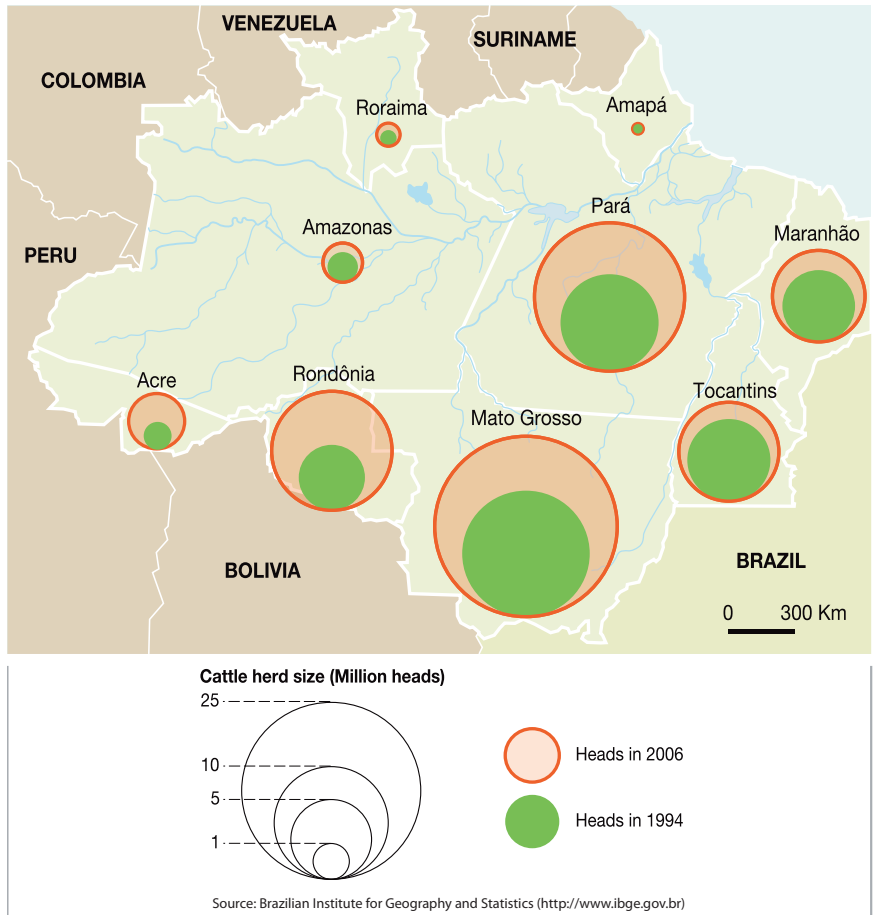
Many of these trends in land use and agriculture tend to support the neo-classical economic theory that market forces will allocate land to the economically most efficient use (Dadzie 2006-2007). Yet this idea is being challenged with the debate on deforestation at present focussing on the loss of vital ecological services, the economic values of which are still to be fully estimated.

An example of this is the Mabira forest reserve on the shores of Lake Victoria in Uganda. The forest is home to valuable wildlife, serves as a timber resource and provides a number of ecosystem services – yet one third of the reserve has been allocated to sugarcane production.

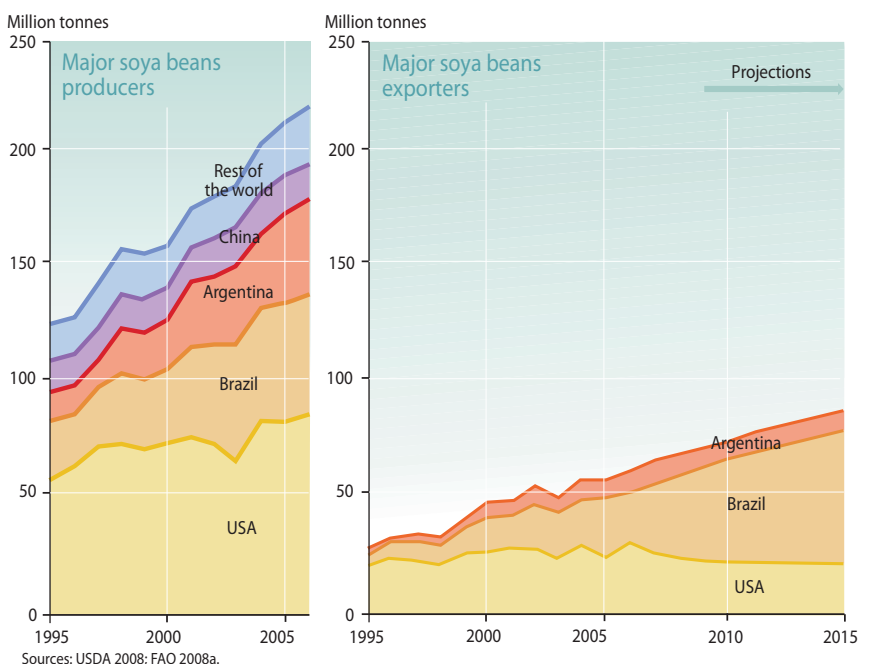
In the short-term, the growing of sugarcane appears to generate more economic benefits than maintaining the forest reserve intact. Yet over the lifetime of the timber stocks – about 60 years – the benefits that could be derived from the forest would exceed those of planting sugarcane (Moyini *et al.* in press). It should be noted however that such cost benefit analyses differ from area to area and region to region and a thorough evaluation of resources and services is vital before decisions on clearing forest lands are taken.

→ See also pages 10, 42, 44

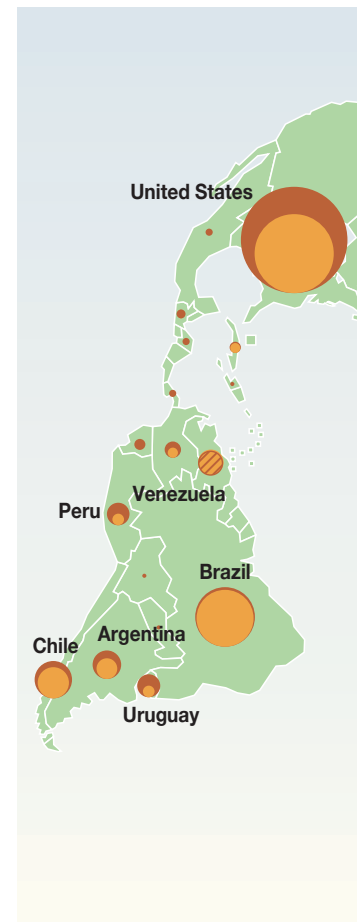
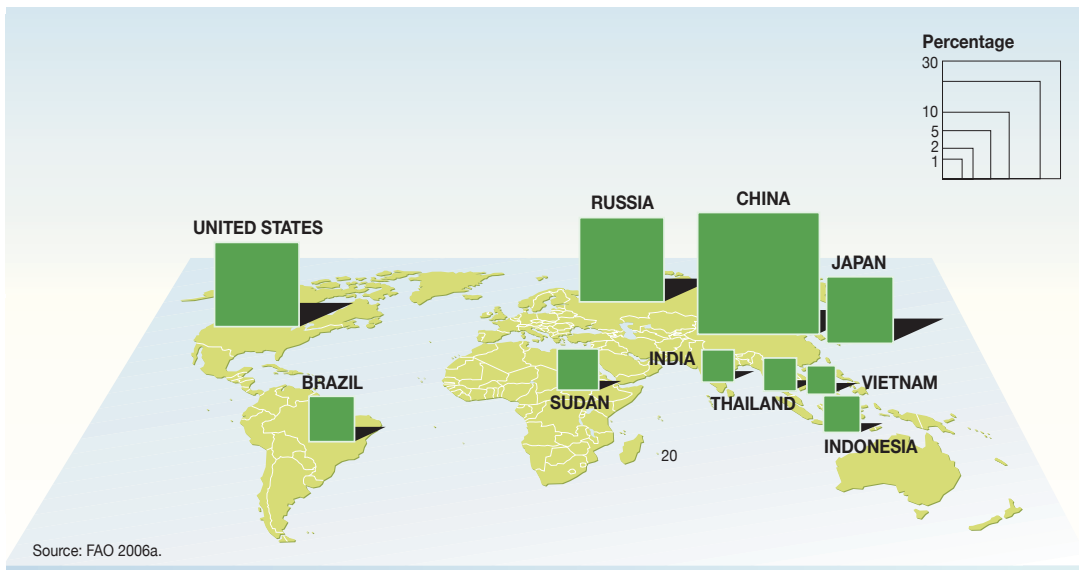
### ↓ Growth of cattle breeding in Amazonia



### ↓ Trends in production and exports of soya beans



## ↓ Ten countries with the largest area of productive forest plantations



# Is fast-wood like fast-food?

The main rationale for forest plantations is that they not only cater for growing demand for forest products but also contribute a vital source of revenue in poor regions. Forest plantations can also help to relieve pressures on natural forests and provide a wide range of social, economic and environmental benefits. Yet in some cases they have negative impacts on biodiversity. In those instances ecosystems are destroyed ultimately leading to the loss of revenue sources and aggravating social problems.

In 2005, 2.8 per cent of total global forest cover was made up of productive forest plantations, amounting to an area of approximately 110 million hectares (FAO 2006a). Productive forest plantations are a source of various goods and services: they provide timber, fuelwood, charcoal, raw materials for panel production, pulpwood for cellulose and paper production, as

*Forest plantations meet an increasing proportion of the growing demand for wood products. Yet in some countries around the world, large monocultural fast growing tree plantations have replaced indigenous vegetation cover*

well as a wide range of bulk non-wood products such as gum arabic, rubber and cork.

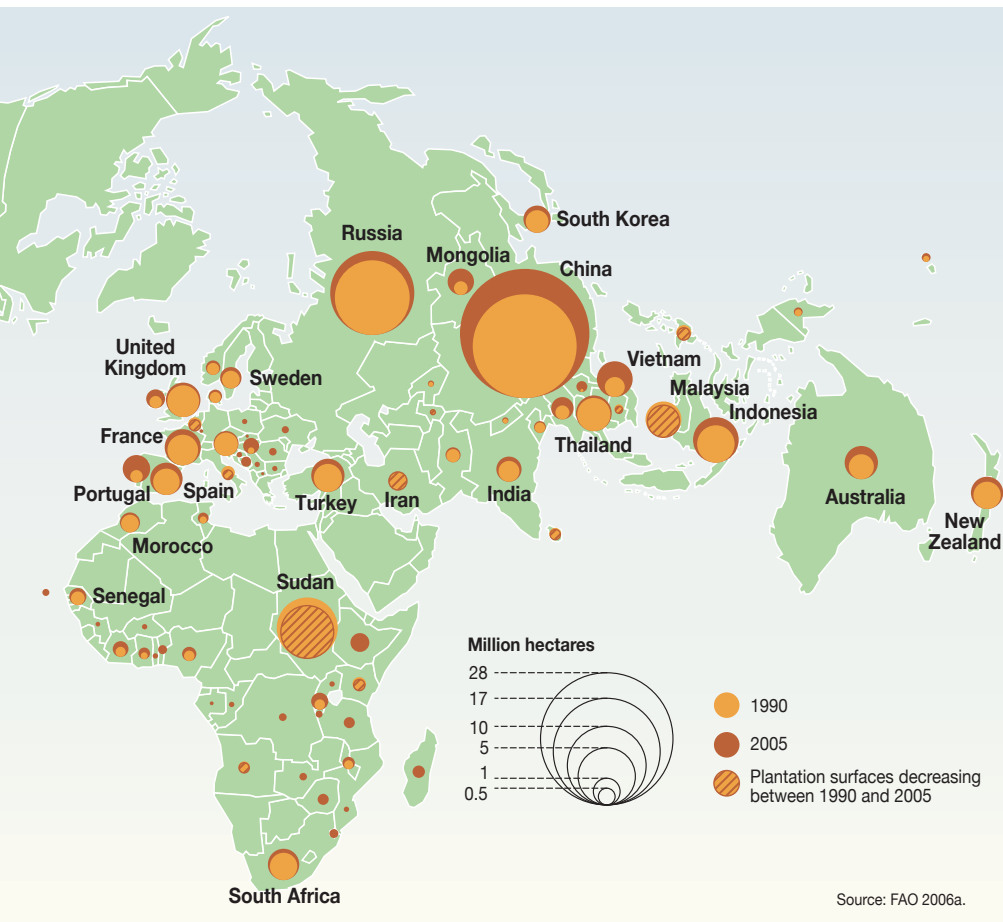
According to the FAO, there was an increase of approximately 40 per cent in the area of the world's forests plantations with productive functions in the 15 years from 1990 (FAO 2006a). Though the majority of these forests is devoted to production of sawn logs and veneer, recently there has been a shift to other products, with about 40 per cent

growth in the areas of planted forests catering for the pulpwood and fibre industries (FAO 2006b). Studies indicate that this growth – a marked trend in recent years – is set to continue (e.g., MA 2005; Down to Earth 2006).

While there has been little growth in Europe in pulp wood plantations and associated paper related activities, the area in Asia doubled between 1990 and 2005 due to large scale planting in China, Indonesia and Vietnam. (FAO 2006b). The trend has been for paper production to shift to southern tropical countries, where the climate is more suitable for fast growing trees. Moreover, land in these regions is more affordable, wages are low and there are generally few barriers in the way of the industrial expansion (Urgewald 2007).

The concern now is that the development of giant pulp mills, each capable of producing as much as 1.2 million tonnes of pulp per year, are going to require yet

## ← Trends in area of productive forest plantations



perate species generally need 60 to 150 years to mature.

In Brazil, China, Indonesia, Thailand, Uruguay and many other countries, concerns have been raised about the impact of fast-wood plantations on biodiversity; such plantations often result in ecosystems being fragmented while hydrological cycles are in some cases disrupted and soil fertility levels reduced (Urgewald 2007).

While there are standards and guidelines for responsible management of forest plantations, progress in implementing them is still slow. The Forest Stewardship Council (FSC), for example, has been able to certify only about seven million hectares of the world's tropical forest plantations. The figure is even smaller for forest plantations established for pulp production (FSC 2008).

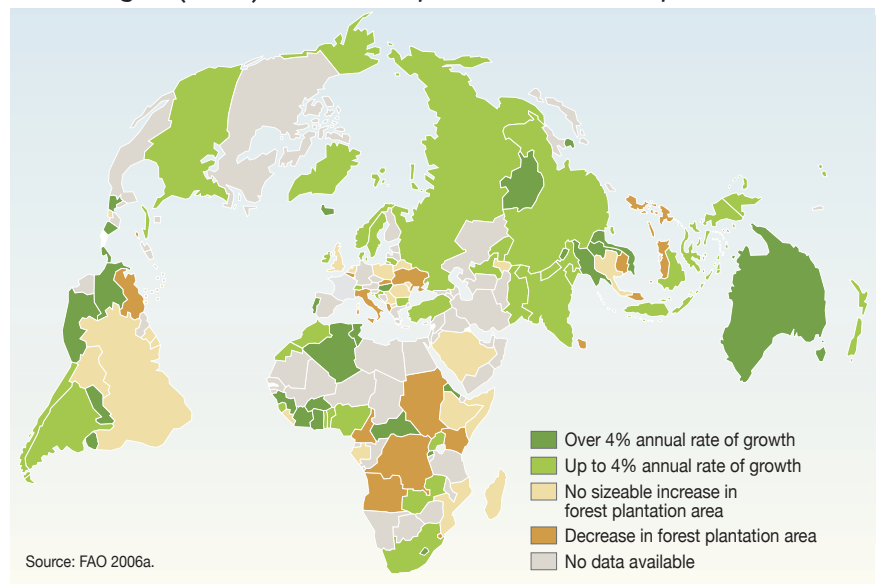
→ See also pages 54, 60

more planted forests, particularly in China, the world's biggest paper producer (Urgewald 2007). In order to satisfy the needs of its national pulp industry, the Indonesian government has set an initial target of developing 5 million hectares of industrial wood plantations by 2009 (Down to Earth 2006). In 2005, there were 3.4 million hectares of industrial forest plantations in Indonesia (FAO 2006a).

The way in which paper production has led to the growth of forest plantations has caused concerns. Though most governments subscribe to the idea that natural forests should not be replaced by forest plantations, conversion to forest plantations accounts for six to seven per cent of natural forest losses in tropical countries (Cossalter and Pye-Smith 2005). Indonesia is one of the countries where there has been a massive conversion of tropical forest to industrial tree plantations (Cossalter and Pye-Smith 2005).

Fast growing industrial hardwoods – often referred to as fast-wood – such as eucalyptus and acacia can be harvested in less than 10 years while other plantation species, such as tropical pine and teak, are managed for more than 20 years before harvesting while tem-

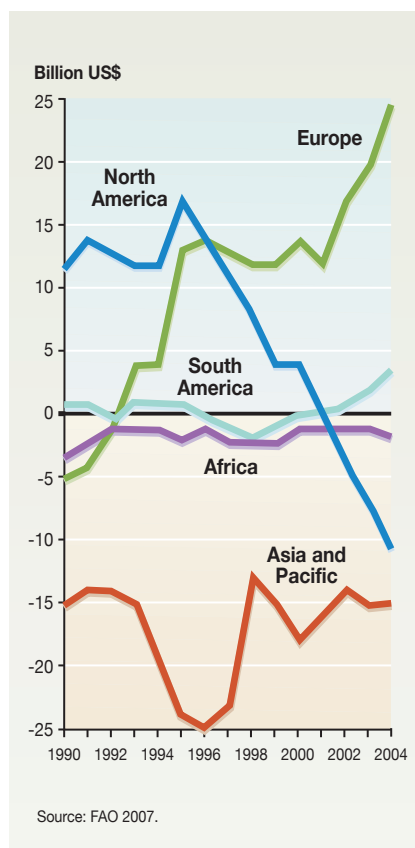
## ↓ Changes (in %) in area of productive forest plantations



# Changing trends in forest products trade

*The international trade in forest products has undergone considerable changes, due to emerging markets, new investment strategies and diversification in products*

## ↓ Trends in net trade of forest products



In 2004, trade in wood-based forest products accounted for an estimated 3.7 per cent of the world trade in commodities, valued at US\$327 billion (FAO 2007). Global trade in forest products takes place mostly within and among countries in Europe and North America and Asia and the Pacific (FAO 2007). Europe accounts for nearly half of the world's trade in forest products with imports of US\$158 billion and exports of US\$184 billion (FAO 2007).

Global trade in non-wood forest products (NWFPs) such as bamboo, mushrooms, game, fruit, medicinal plants, fibre, gums and resins, has recently been estimated at approximately US\$11 billion per annum (FAO 2007).

In addition, the economic value of social, leisure, educational, environmental and medicinal products and services derived from forests, while difficult to estimate, is increasingly acknowledged. For example, more than 50 per cent of the most popular prescribed drugs in the US are derived from natural forest based compounds (Groombridge and Jenkins 2002). Most significantly in recent years international attention has been increasingly focused on the crucial economic and environmental role forests play as a carbon reservoir and sink for mitigating climate change.

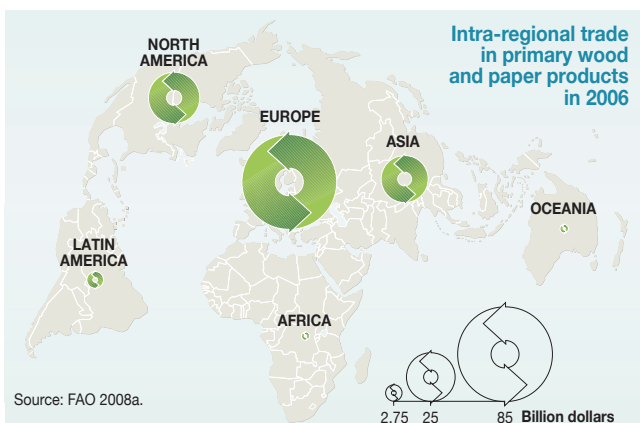
Global wood reserves are estimated at 400 billion cubic metres, with gross annual output amounting to roughly 3.5 billion cubic metres of roundwood in 2006 – or about one per cent of global reserves. Half of produced roundwood is used for industrial purposes or in construction and processing (industrial roundwood), the rest as an energy source (woodfuel), mainly in developing countries. In 2004, global output of industrial roundwood was about 1.6 billion cubic metres, of which only 7 per cent was exported (FAO 2007). The bulk of the production was consumed locally or processed into secondary products.

Over the last ten years global demand for roundwood has been growing by about one per cent a year. However the roundwood market is changing substantially, driven by strong demand in emerging economies.

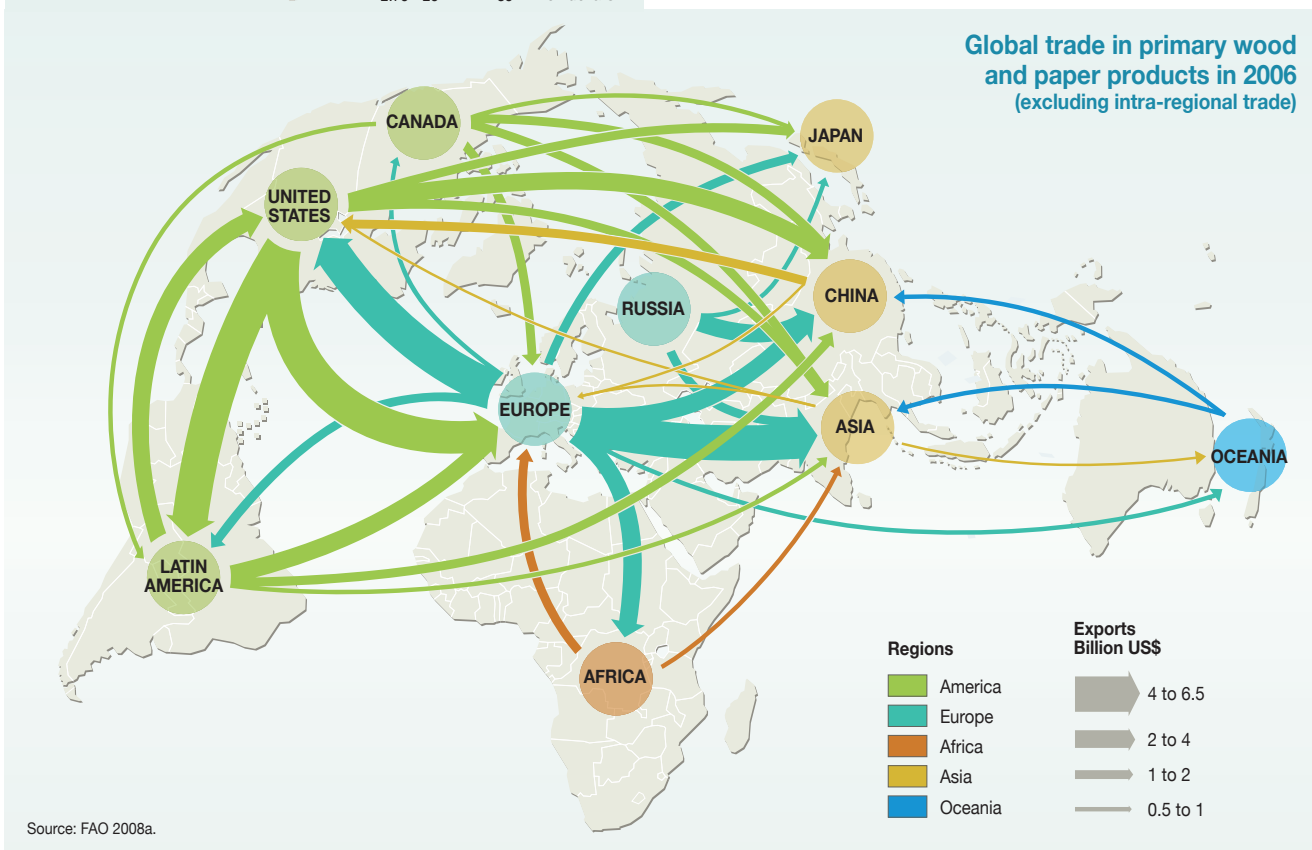
In Europe in 2006 there was a large increase in the production and consumption of sawnwood, resulting in a substantial price rise. Meanwhile in North America the sawnwood market plummeted, due to an economic and housing market slowdown.

### Shift in exports and imports

The nature of forest product exports has changed in recent years, with exports of primary products – logs



Global trade in forest products in 2006



and sawnwood – being overtaken by secondary processed wood products (SPWPs), such as furniture and pre-fabricated wooden buildings. In Latin America, only one per cent of logs are exported whole. In Asia, the exports in primary products dropped from 7 per cent to 4 per cent in 2007 (UNECE/FAO 2007). Some central and western African countries, however, still export logs in significant quantities, making up between 20 to 30 per cent of total production, and mainly destined for Europe and China. This is most likely due to bans on log export placed by countries, such as Brazil and Indonesia, where only added valued products,

including sawnwood and SPWPs, may be exported (UNECE/FAO 2007; WWF 2005). The Russian Federation has recently emerged as the largest exporter of industrial roundwood, accounting for 35 per cent of global trade in 2004, amounting to 42 million cubic metres.

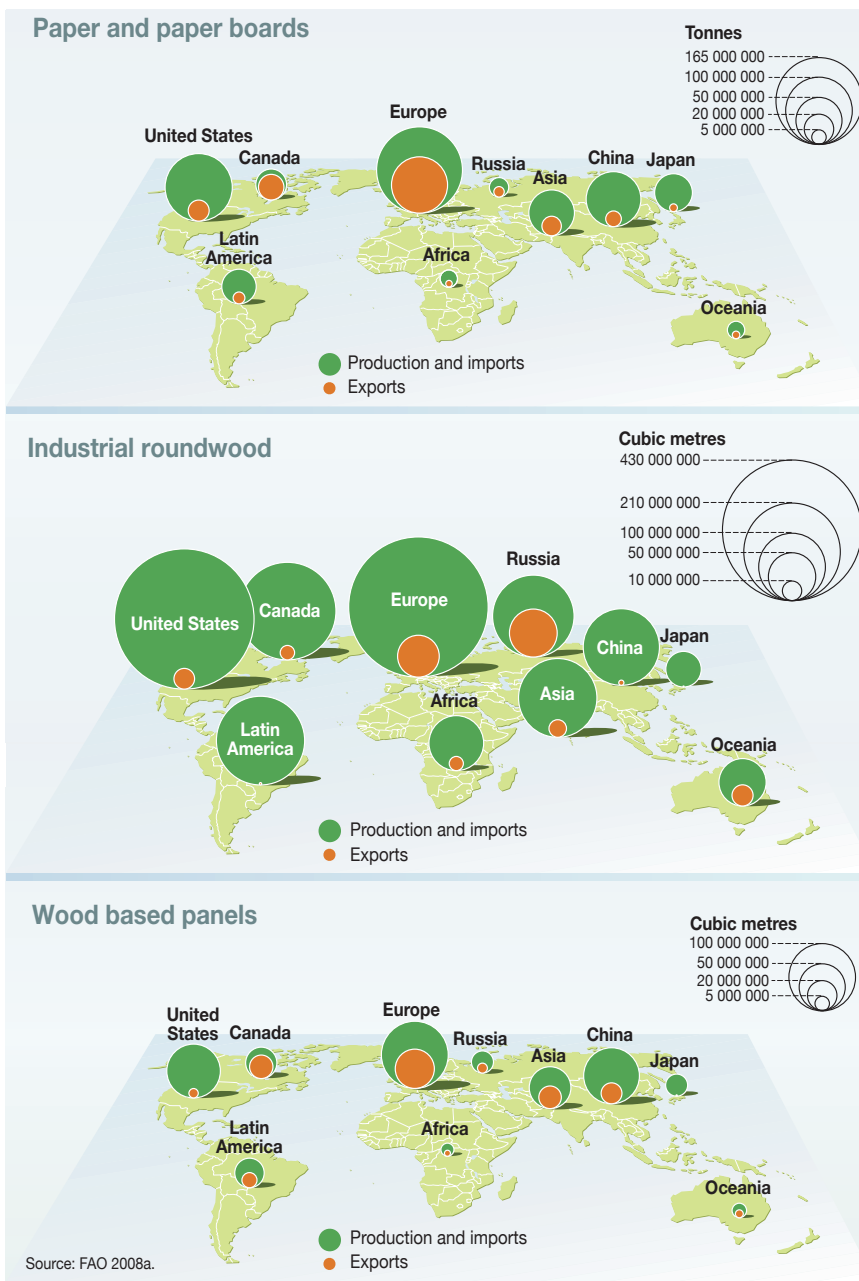
The largest importers of forestry products in general remain the developed countries, led by the United States, Japan and the European Union. However China is catching up with the developed nations in terms of the import and consumption of forestry products. In Scandinavian countries, the Russian Federation and Canada, the domestic output is generally suf-

ficient to meet national demand. Nevertheless, these countries continue to import roundwood.

**New investments**

Direct foreign investment has boosted the development of wood processing industries through technology transfer, infrastructure development and improved access to global markets (FAO 2007). Such investments have been driven by a range of factors including low labour and production costs, advances in education and research, incentives for foreign investment and a growth in domestic economies. Proximity of forest resources

## Production, imports and exports of selected forest products



▶ – once a major consideration – is no longer an important factor as the cost of transporting logs over long distances is largely offset by the low cost of processing. (FAO 2007). Multinational companies are the main players in the timber trade in terms of volume and value exports.

Over the past decade, wood processing industries have sprung up in China and in the countries of Eastern Europe, making them the new players in the global market for production and export of SPWPs (FAO 2007).

The overall drop in the price of raw forest materials over the last ten years

– apart from fuelwood – coupled with a more competitive operating climate, has prompted a shift in investment strategy. Rather than harvesting natural forests, investors have now turned to large-scale forest plantations (Kersantý 2006). Such enterprises are developing fast, particularly in developing tropical countries, with some governments offering subsidies. The ten countries with the largest forest plantation area are supplying just under half of global demand for industrial roundwood. The development of forest plantations, however, poses problems when they replace natural forests and other valuable ecosystems.

### Emerging economies

The spectacular recent economic growth of China and, to a lesser extent, Southeast Asia, has changed the nature of the global forestry market, which is now gradually moving from west to east. Chinese processing plants, located in the south of the country, are among the largest in the world. China imports and processes roundwood from Africa and South and Southeast Asia and also imports large amounts of coniferous roundwood from the Russian Federation. In 2004, China became the world's top importer of industrial roundwood and, at the same time, a major exporter of wood panels, paper and carton board.

### Illegal trade

The illegal harvesting and trade in forest products is pervasive and often involves unsustainable forest practices which cause serious damage to forests, to forest dependent people and to the economies of producer countries. Illegal trade also increases the cost of forest management and accentuates market distortions (UNFF 2004c). In 2006, the World Bank estimated the annual global losses from illegal cutting of forests in terms of market value at more than US\$10 billion,

plus annual losses in government revenues of about US\$5 billion (World Bank 2006). However, the clandestine nature of such illegal trade makes it very difficult to estimate its true scale and value (UNFF 2004c).

### Looking forward...

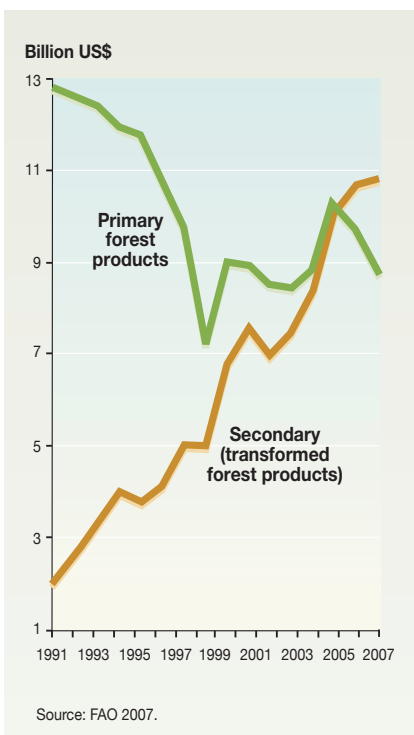
In today's globalized world, the impacts of growing and changing patterns of consumption and production of forest products, combined with changes in forest management, are rapidly transmitted from one region to another (UNFF 2004c). Environmental standards and international regulations – either in legally-binding form or merely laid down as voluntary agreements – governing the management of forests have recently become more strict and this has had an impact on the international market for forest products. While the overall price of forest raw materials has dropped, the price of certified timber has increased.

Concerns over unsustainable forestry practices have led to the creation of certification schemes – market-based mechanisms which aim to promote environmentally sustainable and

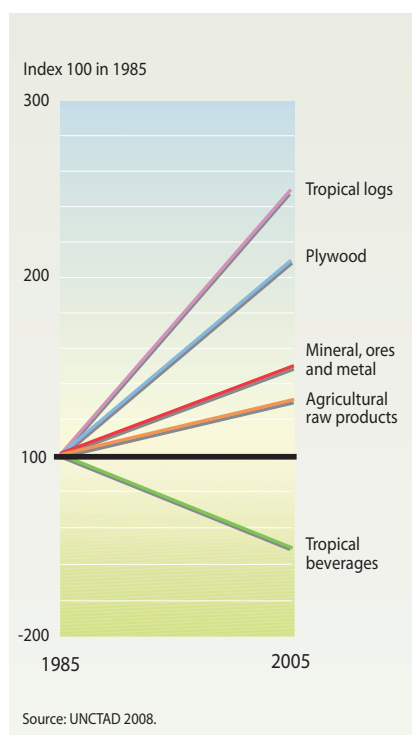
socially responsible forestry practices. While market demand for certified timber has led big firms to adapt to certification principles and criteria, this has not been possible for many small landowners and community-based forestry enterprises. As a consequence, such enterprises have often found they are excluded from international markets for certified timber and their activities become restricted to less profitable domestic sales.

International trade can foster economic development and create incentives for sustainable forest management. Equitable access to well-functioning markets can promote sustainable resource management and contribute to poverty alleviation, rural development and the strengthening of forest-based communities. As the global trade in forest products continues to grow, it is important that policies are created and enforced which guarantee trade is not linked to unsustainable resource depletion and illegal practices. If such a course is followed, then trade and sustainable development can become mutually supportive.

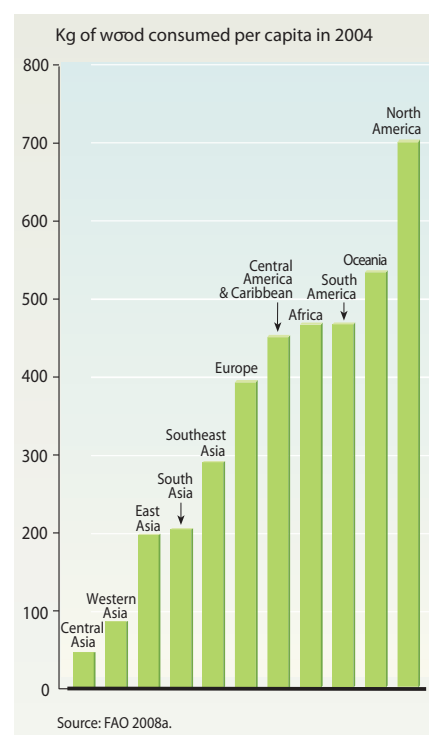
### Wood products rise



### Trends in commodity prices



### Global wood consumption



# Clearing forests for biofuels

To meet growing global energy demand, forest resources are increasingly exploited and forests are clear-cut to pave the way for biofuel crops

Fuelwood and charcoal from forests have long provided energy for heating, cooking and industry. Almost 90 per cent of the wood harvested in Africa, and 40 per cent in Asia and the Pacific, is used for fuel (FAO 2006a). Wood pellets, typically produced in North America and Europe from sawdust and other timber by-products, are increasingly used in stoves, boilers and power stations (Peksa-Blanchard *et al.* 2007). New technologies are also being developed in connection with the production of liquid and gaseous fuels from wood products (FAO 2008).

This growing demand for wood products requires careful management of forest resources in order to minimize negative impacts on biodiversity and ecosystem services. Certification schemes for sustainable forest management can help to address this issue, though such schemes at present cover only a small portion – 7.6 per cent – of the world's forested areas.

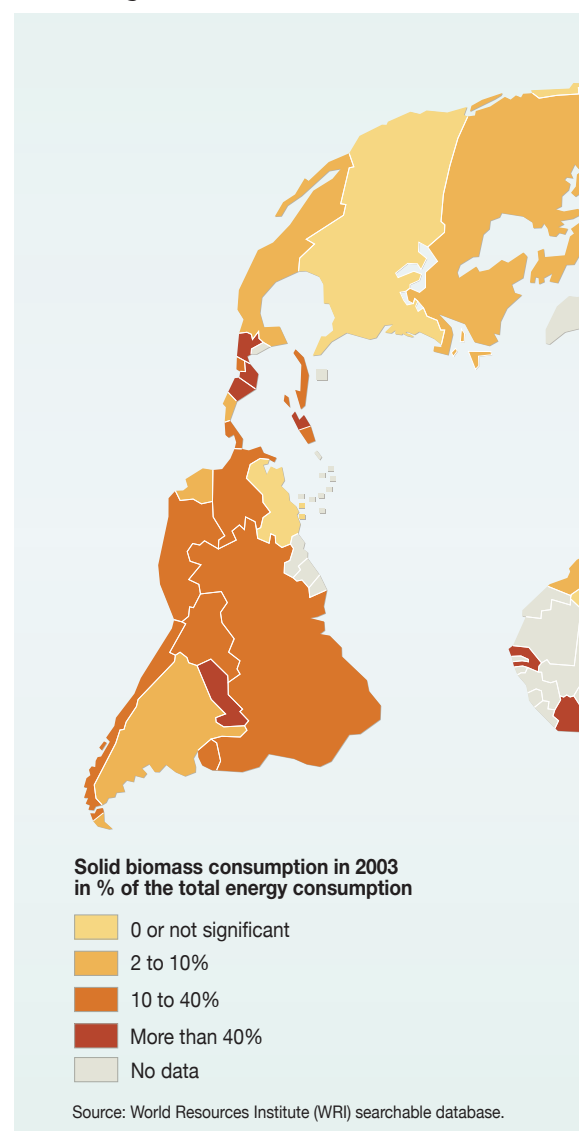
Demand for land for agricultural and plantation crops, including production of biomass for energy, is putting increasing pressure on forests. Energy security concerns, high oil prices and climate mitigation policies aimed at replacing fossil fuels with renewable energy, have all led to a greater interest in biofuels. The transport sector is using increasing quantities of ethanol, mainly produced from sugar cane, corn and cassava, as

a substitute for petrol (gasoline), and biodiesel, produced from plantation crops such as oil palm, coconuts and avocados. Fuel ethanol production tripled between 2000 and 2007, mainly in the United States and Brazil, while biodiesel output expanded even more rapidly over the same period, from less than 1 billion to almost 11 billion litres per year (IEA 2004). The liquid biofuel market has been stimulated by growing demand in particular in China and Brazil, and by recent and anticipated legislation in the US and Europe that sets ambitious goals for this sector.

Increasing demand for biofuels has led to more land being converted to agricultural use. According to the International Energy Agency, replacement of 10 per cent of transport fuel with biofuels by 2020 would require the equivalent of 43 per cent of current cropland in the US and 38 per cent of that in the EU (IEA 2004). To meet such biofuel demand without jeopardising global food supplies, natural ecosystems such as forests would need to be cleared (Eickhout *et al.* 2008; Fargione *et al.* 2008; Kanninen *et al.* 2007).

While concerns over climate change have led to a greater focus on renewable energy sources such as biofuels, the carbon emissions associated with deforestation are greater than those avoided by using biofuels from agricultural crops. (Righelato and Sprack-

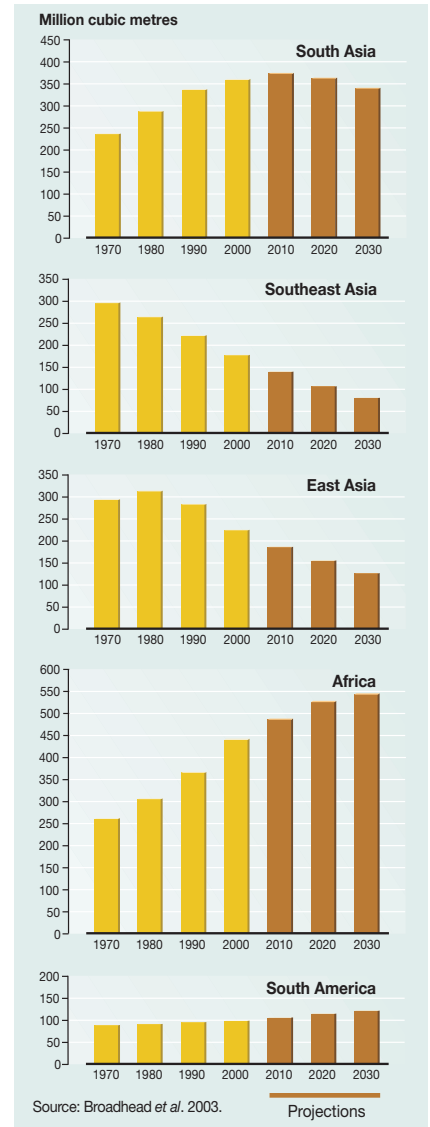
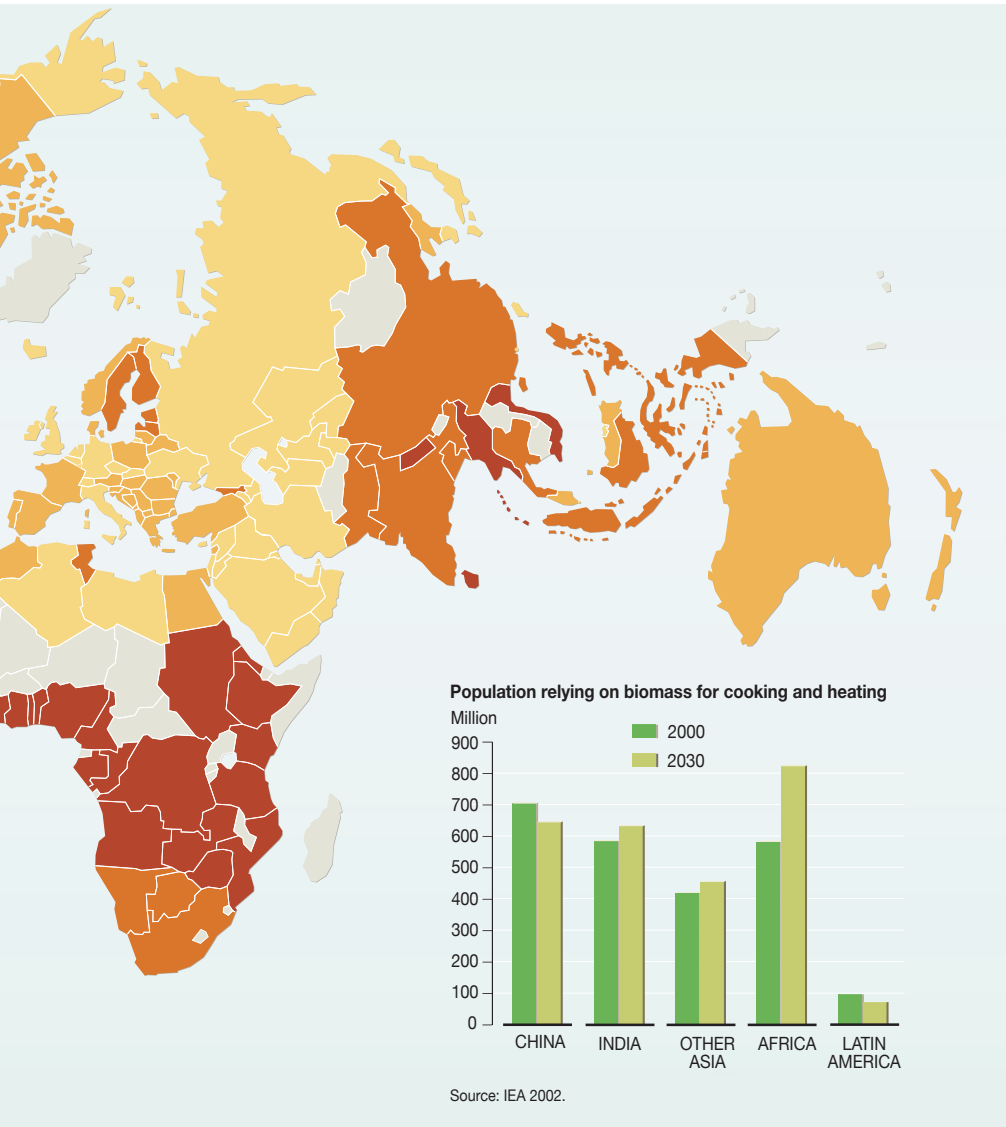
## ↓ Solid biomass consumption including woodfuel



len 2007). It is estimated that carbon emissions from conversion of rainforest, peatland, savanna or grassland for liquid biofuel production in Brazil, Southeast Asia and the US would release 17 to 420 times more CO<sub>2</sub> than the annual greenhouse gas (GHG) savings from avoidance of fossil fuels (Fargione *et al.* 2008). Oil palm development on forested peatland is another area of concern (Parish *et al.*, 2008).

Agriculture is also the main source of nitrous oxide (N<sub>2</sub>O), a potent greenhouse gas released by nitrogen fertilizers. In particular, the N<sub>2</sub>O emissions associated with rapeseed and maize cultivation for biofuel production outweigh the carbon savings from fossil fuel substitution (Crutzen *et al.* 2008).

## ↓ Woodfuel consumption trends and outlook

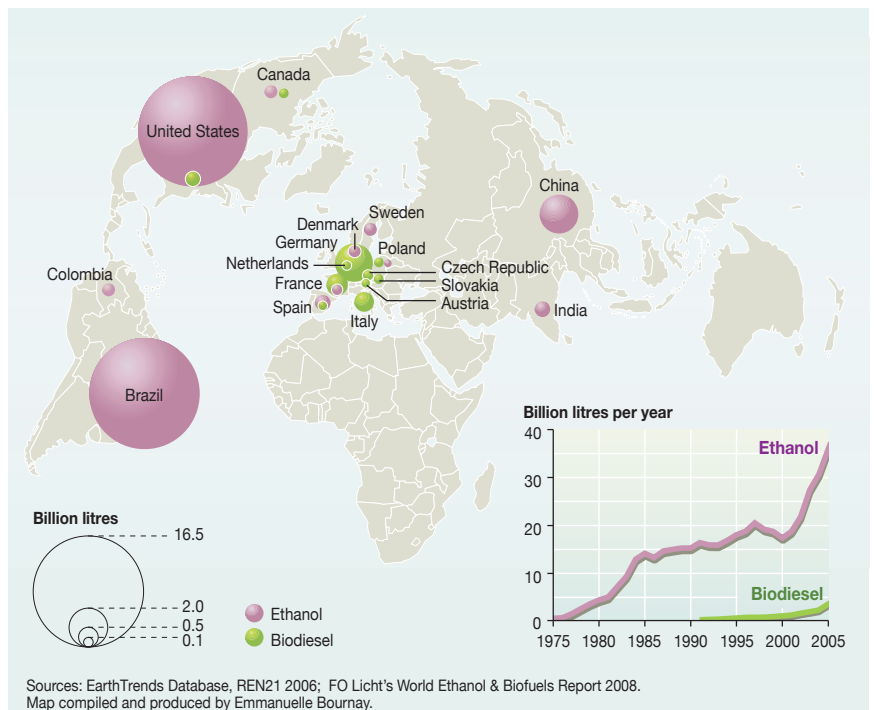


Clearly further analysis on these questions is needed.

The growing debate about the costs and benefits of biofuel production calls for a cautious approach toward further incentives for this sector. It is clear that bioenergy from agricultural crops presents certain opportunities for climate change mitigation, energy security and development. However other options aimed at maximizing the use of agricultural waste products, increasing yields on existing agricultural land and using degraded land for agriculture or forestry are also worth further consideration.

→ See also pages 20, 24

## ↓ Global biofuel production



# Forests are a key source

Forests provide numerous ecological services. They regulate hydrological cycles, stabilize natural landscapes and protect soils and water courses. The level of these services depends on the location, type and use of forests

**F**orests interact closely with the water cycle. Trees act like pumps. They help water percolate into the soil, the storehouse of water, during rainy periods and pump out water into the atmosphere, through evapo-transpiration, in dry periods. In the process forests help keep the hydrological cycle “alive”.

Deforestation of the entire Amazon basin – a catastrophic scenario –

would result, according to projections, in regional decreases of precipitation and evaporation, potentially leading to sustained desertification. Already deforestation is causing fundamental changes in the Amazon climate and hydrology cycle, with possible implications for regional ecosystem dynamics and the global climate (Chagnon and Bras 2005).

Forests can regulate groundwater levels and increase drainage of soils where the water table is close to the surface. If there are salts in the upper soil layers, then removal of forests can result in raised groundwater levels and the movement of salts into the rooting zone of plants (FAO 2008c). In the Murray-Darling Basin in Australia, deforestation and irrigation are the main causes of land salinization affecting some 300 000 hectares (Kabat *et al.* 2004).

Forests can also increase water yields, particularly in tropical cloud forests occurring at relatively high elevations

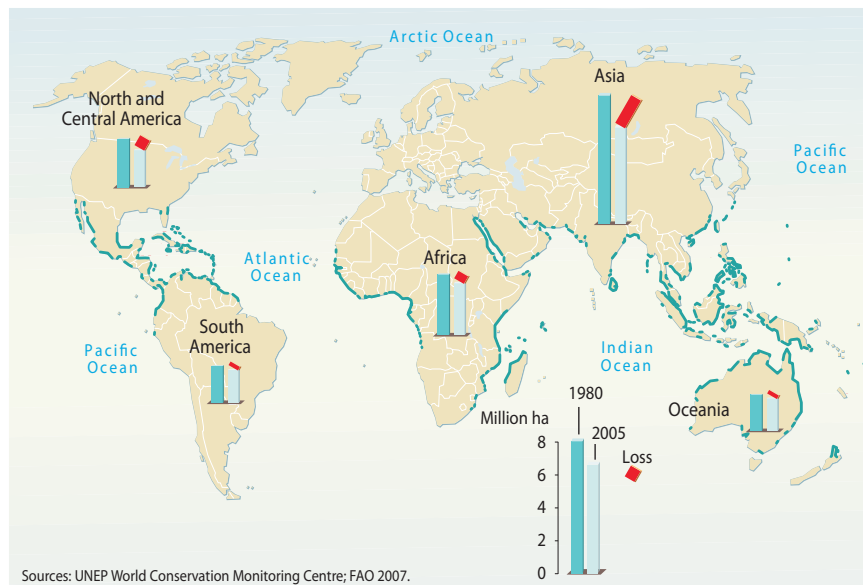
where humidity levels can reach 100 per cent. Large amounts of water are deposited directly onto the vegetation, and excess water is more or less constantly dripping from the leaves to the ground below.

The year-round supply of unpolluted water from cloud forests is a vital resource in many regions. For example, the cloud forests of La Tigra National Park in Honduras provide more than 40 per cent of the water supply for the capital city, Tegucigalpa and its 850 000 people. Other capitals where cloud forests augment water supplies include Quito in Ecuador – a city of 1.3 million people – and Mexico City with its 20 million people (Bubb *et al.* 2004).

Forests also contribute to the maintenance of good water quality. They minimize soil erosion and mitigate flash water flows that cause erosion downstream. In turn this reduces levels of sediment in water bodies such as wetlands, ponds and lakes, streams and rivers. Forests also trap or filter some water pollutants. As water quality levels around the world deteriorate and the cost of filtration facilities remains high, several municipalities have decided to invest resources in the conservation of water catchment areas, including protected forests.

Approximately 9 million people in New York City and nearby areas enjoy access to clean, inexpensive drinking water. About 90 per cent of that water is drawn from the Catskill/Delaware watershed where the abundant forest reserves, as well as soil with adequate carbon levels, provides excellent conditions for natural filtration (WRI 2008a).

## 📌 The world is losing its mangroves



# of ecological services

## Flood protection

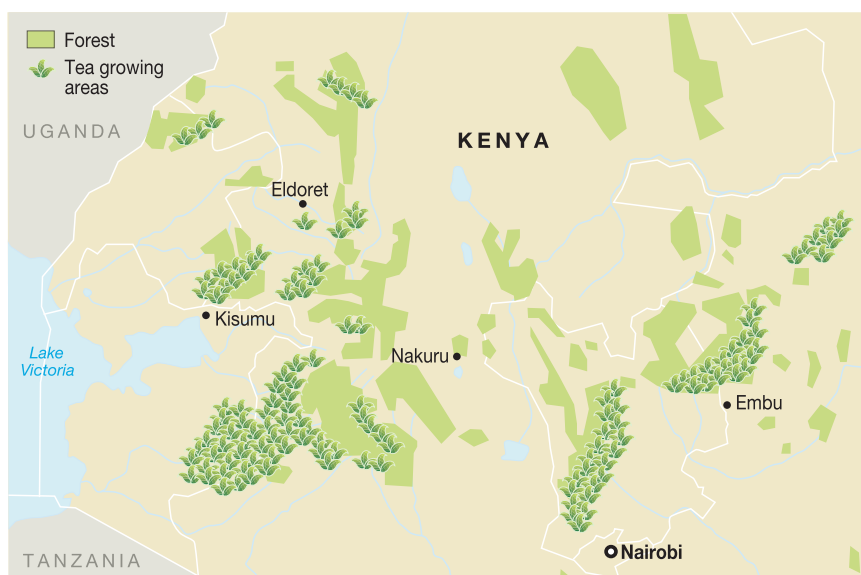
Forests can also protect against flooding. While forest cover will not appreciably reduce the total amount of water moving into water courses from a large storm event, they can influence the degree of flooding and flood damage (FAO 2008c).

Mangrove forests occur naturally in intertidal zones along sheltered shorelines and in deltas in tropical regions. They are vital breeding grounds for fish and shrimp and also provide a buffer against coastal hazards such as storms, cyclones, wind and salt spray by reducing wind and wave action (Braatz *et al.* 2007). Evidence collected in Thailand and Sri Lanka following the 2004 Indian Ocean tsunami showed that the loss of life was lower where mangrove and other coastal forests remained intact (Forbes and Broadhead 2008). A contributing factor in the loss of life and property resulting from cyclone Nargis in Myanmar in May 2008 is believed to have been the destruction of mangrove forests, in particular in the Irrawaddy Delta where the area of mangrove forest had been reduced to less than half its level in 1975 (Kinver 2008).

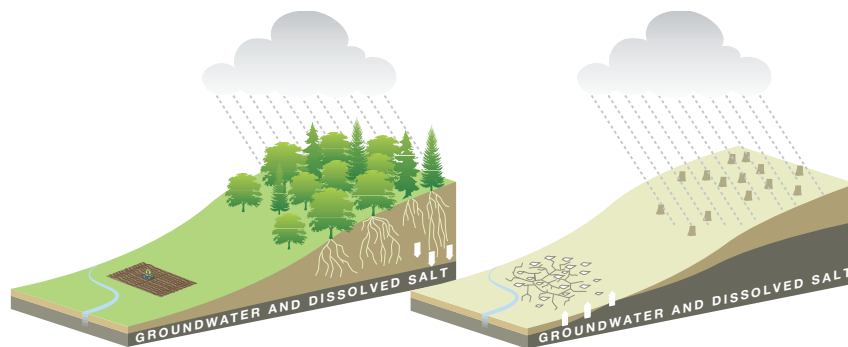
## Micro climate

The micro-climate associated with forest areas is often a critical factor in growing cash crops. In East Africa, tea is grown in areas adjacent to montane forests where conditions for tea production are optimal due to constant moisture levels, air temperatures between 10° and 30° C and soil temperatures between 16° and 25° C. The high moisture levels in these montane

## Tea production area and forest distribution in Kenya



## Forests regulate groundwater level



forests combined with the high heat capacity of water reduces the day/night temperature range and lowers the chances of frost.

In Indonesia, areas close to the forests in the Kerinci-Seblat National Park in Sumatra are among the best places in the world to grow cinnamon due both to the relatively cold climate and rich local volcanic soils (World Bank 2007).

These examples help to illustrate how humans and production cycles depend on regulatory services pro-

vided by forests. They also highlight the importance of securing these services – for too long taken for granted. Methods of economic valuation are progressively enabling the incorporating of these services into cost-benefits analysis and helping to make forest conservation not only economically feasible but also desirable.

→ See also pages 56 & 60

# Climate change and its impact on forests - will forests migrate?

*Forests play a key role in maintaining a wide range of delicate relationships with nature and its ecosystems. Impacts on the well being of forests likely to be caused by climate change will therefore have a dramatic effect. For example, according to the latest projections, changes in climate will mean that by 2050 the world's ecosystems, including its all important forests, will be releasing more carbon than they are capable of absorbing*

Over the last 30 years the world has experienced significant temperature increases, particularly in the northern hemisphere. Meanwhile more climate variability is predicted, with increased precipitation in some areas and extreme dry and hot periods in other regions. Such events will have a substantial effect on forests.

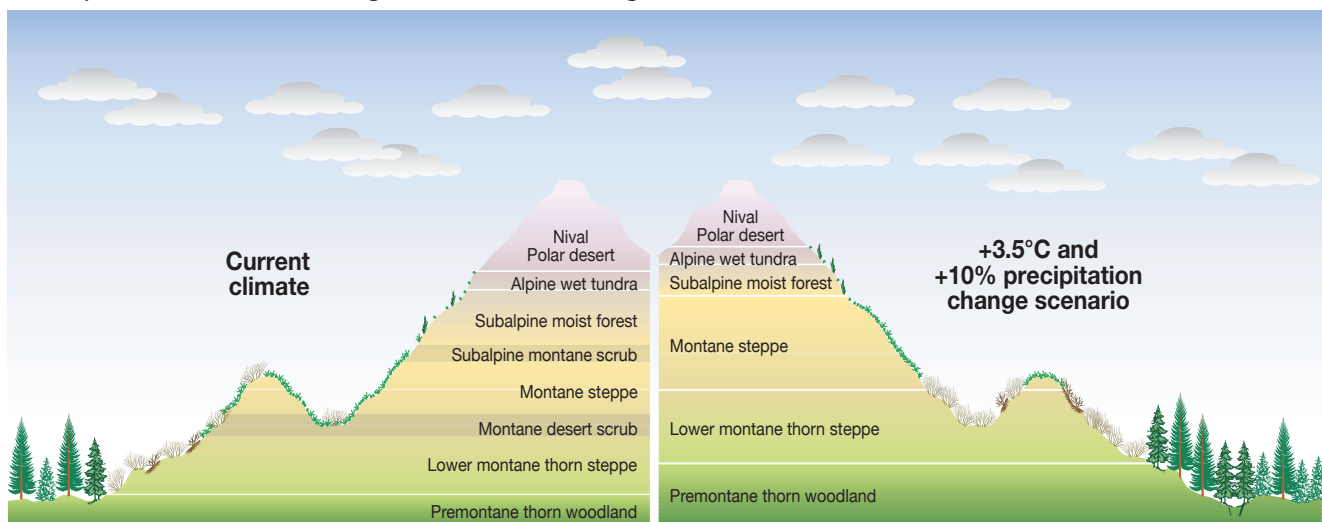
Rising temperatures force many living organisms to migrate to cooler areas, while new organisms arrive. Such movements involve all species, including plants. Some species will seek higher altitudes, others will move further polewards. In temperate regions, plant and tree species can migrate naturally by 25 to 40 kilometres a century. However if, for example, there was a 3°C increase in temperature over a hundred year period in a par-

ticular region, the conditions in that area would undergo dramatic change, equivalent in ecological terms to a shift of several hundred kilometres (Jouzel and Debroise 2007).

In the last few decades scientists have observed the first signs of this process taking place in the northern hemisphere caused, it seems, by temperature rises linked to climate change.

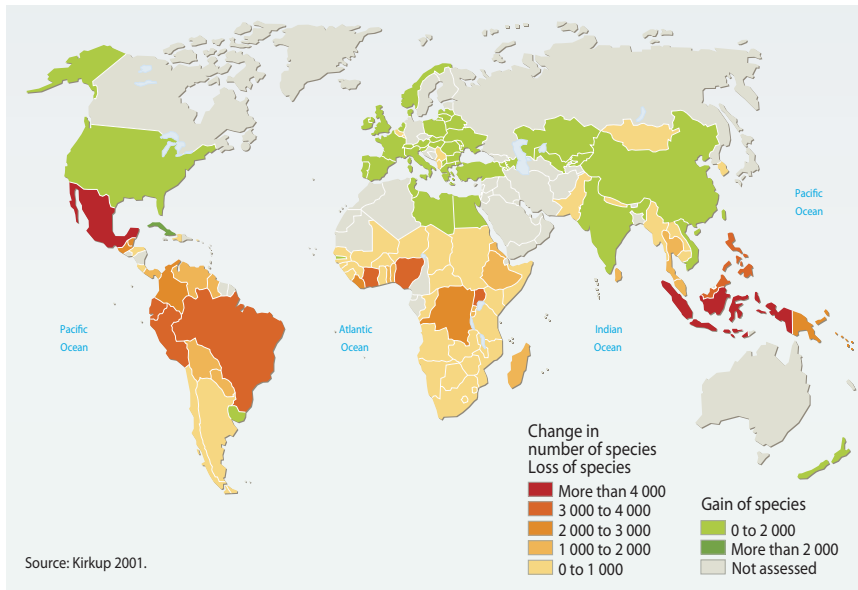
Various studies have noted that a number of bird, tree, scrub and herb species have migrated by an average of six kilometres every ten years, or have sought higher altitudes of between one and four metres (Parmesan 2003). Botanists have also noted that many trees and plants in the northern hemisphere tend to flower increasingly early – on average advancing by two days every ten years – thereby increas-

## ↓ Impact of climate change on mountain vegetation zones

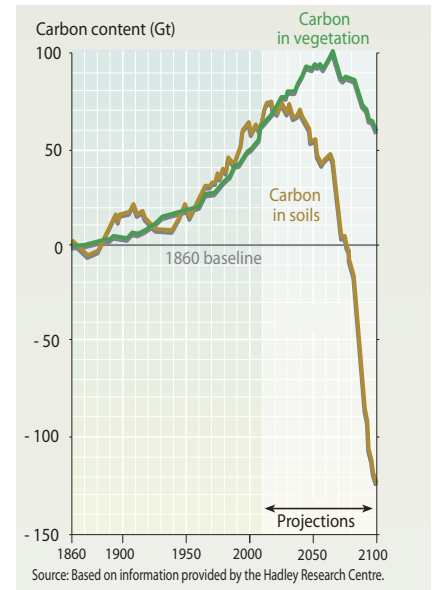


Sources: UNEP/GRID-Arendal 2008; Benitson 1994; Watson et al. 1995.

↓ Estimated loss of plant species, 2000-2050



↓ Carbon stocks trends and projections compared to 1860



ing the risk of buds being killed by late frost.

Slightly higher temperatures and a greater accumulation of CO<sub>2</sub> in the atmosphere accelerate growth rates of species in forest ecosystems (WRI 2008b). It is estimated that forests in temperate regions have seen a 15 per cent productivity gain since the beginning of the 20th century (Medlyn *et al.* 2000). In addition, CO<sub>2</sub> fertilization plus increased nitrogen levels and more soil moisture, have all contributed to greater forest productivity over the last century.

**Loss of species**

Paradoxically, while increased CO<sub>2</sub> levels and other factors have led to forest growth in some regions, the present environmental situation – heavily influenced by climate change – could lead to a massive destruction of forests and the extinction of countless species. For example, modelling focusing on the Amazon region has indicated that 43 per cent of 193 representative plant species could become non-viable by the year 2095 due to the fact that changes in climate will have fundamentally altered the composition of species habitats (Miles *et al.* 2004).

Global warming is likely to increase

the extent of forest fires, as occurred recently in Russia, southern Europe and California. A recent study of various forest conditions in Russia suggests that a 2°C rise in temperature could increase the area affected by forest fires by a factor of between one and a half and two (Mollicone *et al.* 2006).

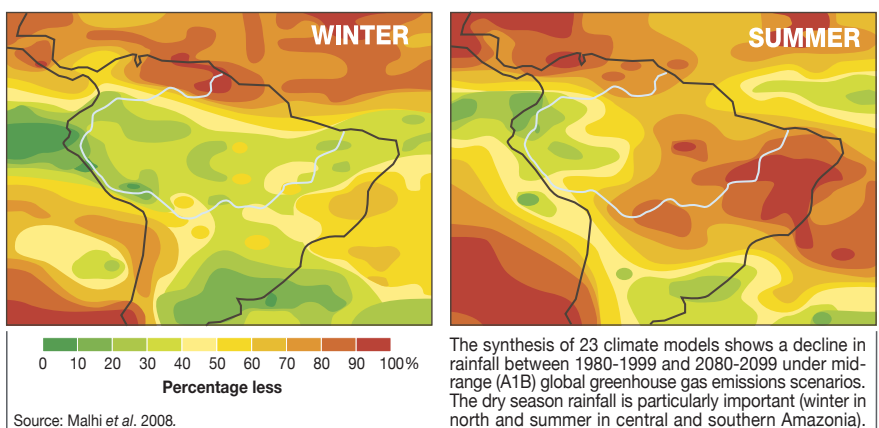
Climate variability may also cause plant productivity to drop. During the 2003 heat wave in Europe, there was a 30 per cent fall in plant productivity in continental Europe as a whole. On the other hand mild winters mean that there will be more pests and diseases. Following a series of mild winters in Canada from the 1960s onwards, growing numbers of pine beetles recently caused severe

damage to more than 13 million hectares of forest (Brown 2008).

The direct physical effects on forests caused by climate change, such as droughts, storms, fires and insect infestations, could also hurt the productivity of managed forests (WRI 2008b). Both the supply of and demand for forests products will be affected by climate change related events, as will the lives of millions of people – many of them very poor – who are often wholly dependent on forests and associated resources for survival.

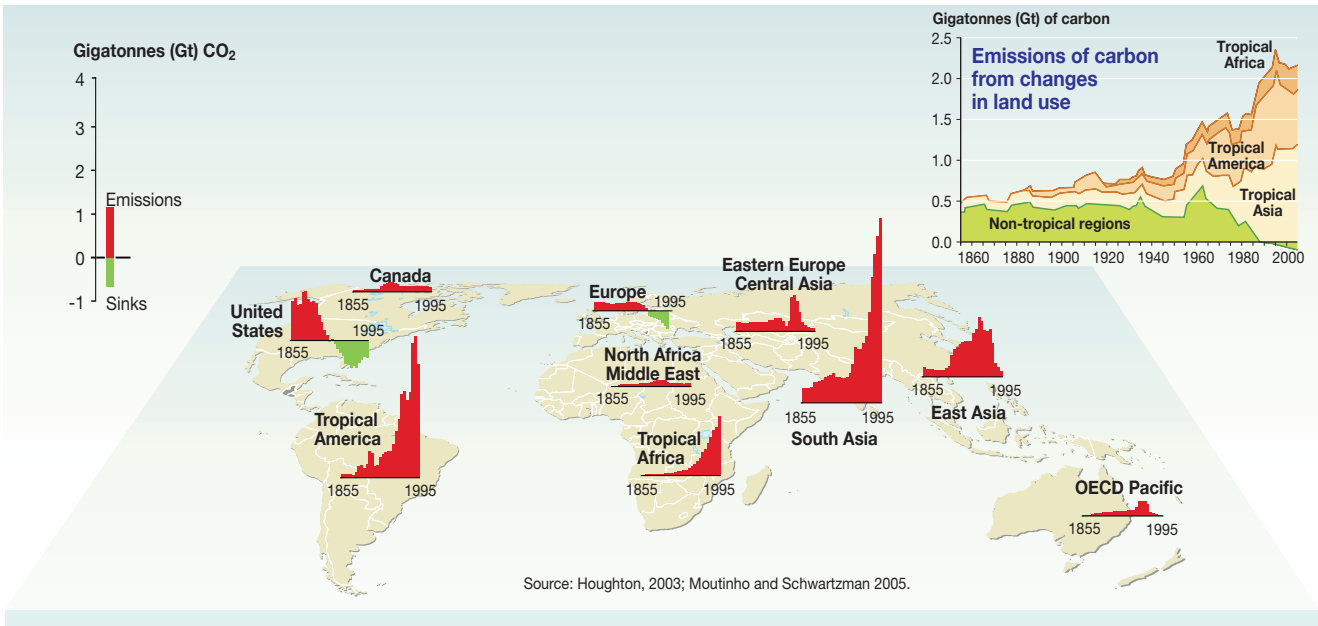
→ See also pages 48

↓ Estimated loss of rainfall in Amazonia in the next century



The synthesis of 23 climate models shows a decline in rainfall between 1980-1999 and 2080-2099 under mid-range (A1B) global greenhouse gas emissions scenarios. The dry season rainfall is particularly important (winter in north and summer in central and southern Amazonia).

## Historical forest carbon balance, 1855-1995



# Forests and the carbon cycle

*Forests play a vital role in the global carbon cycle. Forests absorb carbon through photosynthesis and sequester it as biomass, thus creating a natural storage of carbon*

Through processes of respiration and through the decay of organic matter or burning of biomass, forests release carbon. A carbon 'sink' is formed in the forest when the uptake of carbon is higher than the release.

Carbon stocks in forest areas comprise carbon in living and dead organic matter both above and below ground including trees, the understorey, dead wood, litter and soil. On a global scale, vegetation and soils are estimated to trap 2.6 gigatonnes (Gt) of carbon

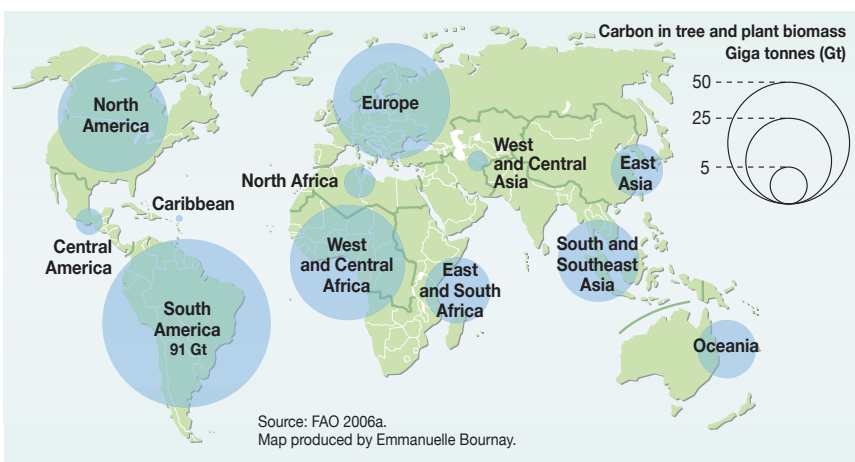
annually. Yet there are still many uncertainties about the workings of the carbon cycle: the Intergovernmental Panel on Climate Change (IPCC) estimates that the amount of carbon absorbed in the soil and vegetation amounts to anything between 0.9 and 4.3 Gt annually.

Carbon stocks in land based ecosystems are distributed irregularly between tropical and northern latitudes but are mostly concentrated in forest ecosystems and wetlands. Recent research suggests tropical forests play an even more important role in absorbing carbon than previously thought, taking up 1 Gt of carbon every year, or about 40 per cent of the total for land based absorption (Britton *et al.* 2007).

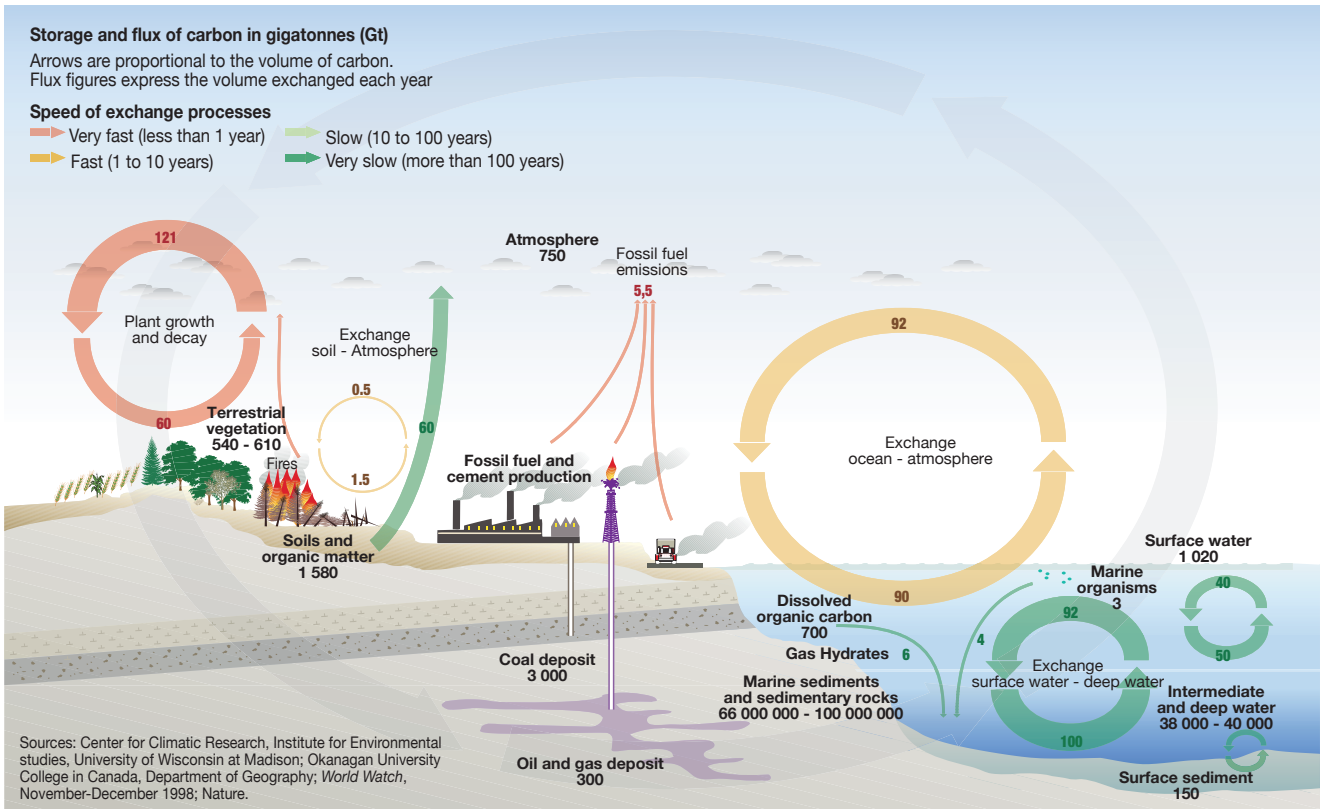
The conversion of forested to non-forested areas in developing countries has had a significant impact on the accumulation of greenhouse gases in the atmosphere, as has forest degradation caused by over-exploitation of forests for timber and woodfuel and intense grazing that can reduce forest regeneration.

Scientists are continuing to investi-

## Forest carbon stock per region



## ↓ The carbon cycle



gate just how much carbon is emitted as a result of deforestation and forest degradation. The most vital issue is to estimate the true level of global deforestation and forest degradation and the resulting release of carbon stock from the biomass and the soil. In its 4th Assessment Report of 2007 the IPCC said carbon emissions as a result of land-use change – mainly due to deforestation in the tropics – were running at 1.6 Gt of carbon per year in the 1990s, or around 17.4 per cent of the world’s total anthropogenic (man-made) emissions of greenhouse gases. However this figure represents only the mid-range estimate, with the IPCC using a range of between 0.5 to 2.7 Gt per year.

A particularly serious impact of deforestation on global climate change is the destruction of forest areas located on peat bogs. Peat areas in tropical zones such as Indonesia and Malaysia only cover about 40 million hectares. Yet when cleared, the destruction of the forest, plus the draining of carbon rich

peat land, results in a massive release of CO<sub>2</sub>; it is calculated that such activities now release about 0.5 Gt of CO<sub>2</sub> a year, or 8 per cent of total annual anthropogenic emissions.

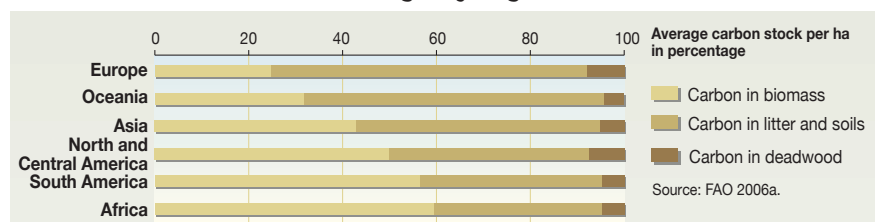
In the boreal zone, there are vast expanses of forests on bogs and peat land. The loss of surface permafrost in these areas due to rising temperature will increase the net carbon storage due to vegetation growth, but this increase will be offset by methane emissions (WWF 2008).

Under the United Nations Framework Convention on Climate Change, the Kyoto Protocol was adopted in 1997 with the objective of setting targets to reduce greenhouse gases that cause that cause climate change. Dur-

ing the first Kyoto commitment period (2008-2012), tree plantation projects were considered eligible for carbon credits under the Clean Development Mechanism (CDM), whereas sustainable forest management was excluded from the CDM for a number of political, practical and ethical reasons (Griffiths 2007).

Since carbon emissions from deforestation represent close to one fifth of all anthropogenic greenhouse gases, an initiative was created at the Climate Conference in Montreal in 2006 to “Reduce Emissions from Deforestation and Degradation” (REDD). REDD carbon credits are at the moment included only on the voluntary market.

## ↓ Breakdown of carbon storage by region



# Forest animals threatened

Forests are among the most biologically rich terrestrial ecosystems. Yet deforestation, forest degradation and poaching mean that habitats are lost and the survival of many forest species is increasingly threatened

The World Wildlife Fund (WWF) has identified more than 200 eco-regions as outstanding examples of the diversity of the world's ecosystems; of those, forest regions make up two thirds of the total. Yet while forests contain more than 80 per cent of the world's terrestrial species, the survival of many of them is threatened.

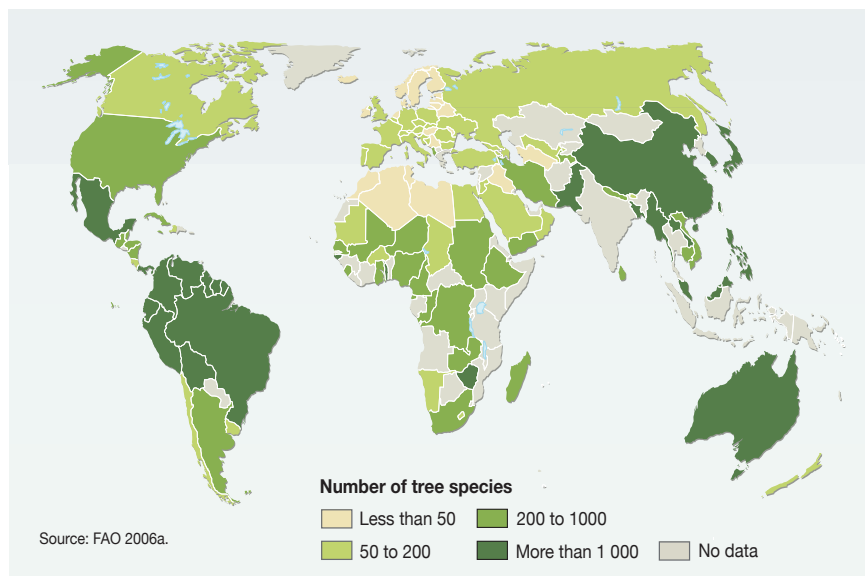
The Convention on Biological Diversity (CBD) estimates that the accelerating rate of deforestation which has taken place over the last century has contributed to reducing the abundance of forest species by more than 30 per cent. The rate of species loss in forest regions is considerably faster than in other ecosystems. Between now and 2050, it is projected that there will be a further 38 per cent loss in abundance of forest species (UNEP-GLOBIO 2008).

The conversion of forests for agricultural use and plantations, fires, pollution, climate change and invasive species all impact on forest biodivers-

ity. Fragmentation of forests due to road, agriculture and human settlement development also impacts on wildlife by reducing the corridors used to move or migrate. In Indonesia over the period 2001-2007, forest clearance, including illegal logging, was found to be taking place in 37 out of 41 national parks, threatening many species and driving the orang-utan towards extinction (UNEP 2006). The decline of the orang-utan and the destruction of its habitat has reached such a level that wildlife conservationists have set up so-called 'orang-utan refugee camps' in certain areas.

Logging and agricultural expansion is also a major threat to amphibians and reptiles. In Haiti, a mega-diverse Caribbean country, amphibian species occur on high mountain ranges and down to sea-level mangrove swamps. The Massif de la Hotte in Haiti alone is home to 32 frog species. However, the future for many of these species is grim, with natural habitats being destroyed for fuelwood and charcoal production. Currently, over 90 per cent of the amphibian species in Haiti are threatened or extinct (Young *et al.* 2004).

## Number of tree species per country in the world

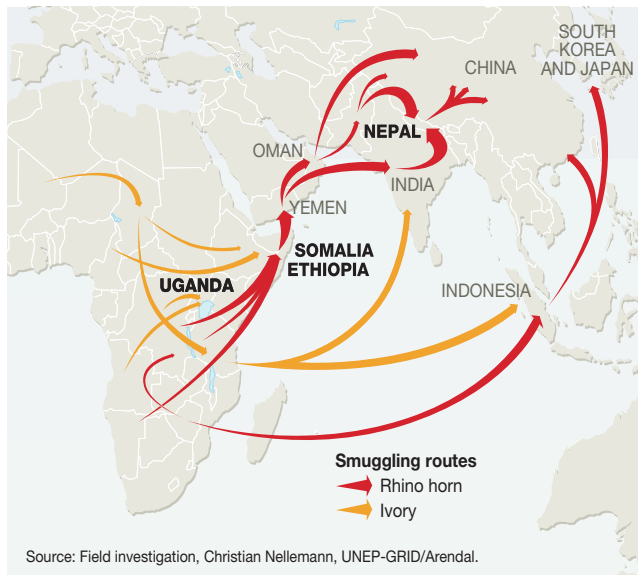


## Poaching and trade

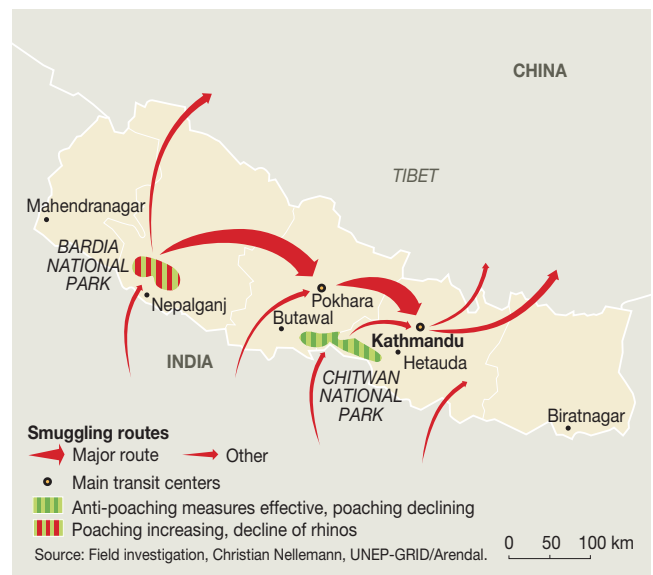
Animals living in the forest are also at risk from poaching and bush-meat hunting (UNEP 2002, 2005; Dobson and Lynes 2008). In Africa, the bush-meat consumption per capita is higher in logging and mining areas, as the workers are often better off and able to afford bush-meat. Networks of logging roads and tracks also provide hunters with easier access to abundant wild-

# by habitat loss and poaching

## ↓ The routes of African ivory and rhino horns to Asia



## ↓ Wildlife smuggling to, in and from Nepal



life areas. As a result commercial and subsistence hunting can quickly reach unsustainable levels, leading to local extinction of the targeted wildlife species. In Central Africa, species in danger not only include the larger mammals, such as elephants, rhinos, great apes and other primates, but also porcupine, cane rat, pangolin, monitor lizard and guinea fowl. Bush-meat hunting and trading has now become big business

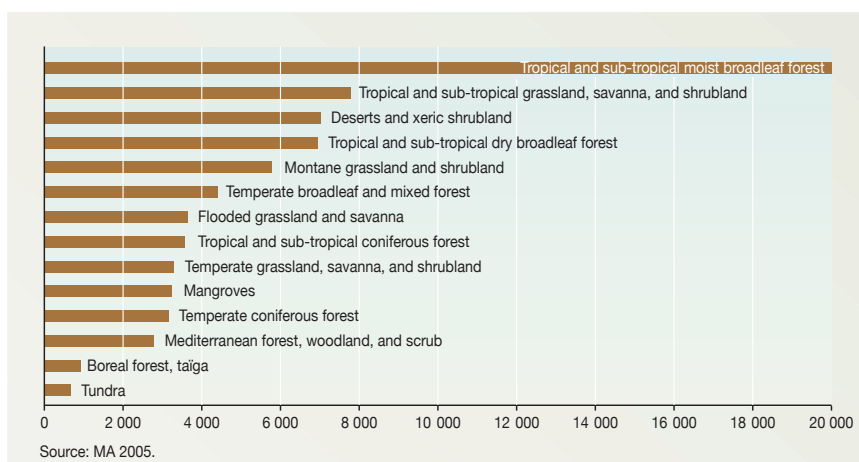
and is one of the main threats to many of the major species in Africa.

Another species under threat from poaching is the rhinoceros. Rhinoceros horn is used in traditional Asian medicine, believed to reduce fevers and even prevent loss of life. Other parts of the rhino, including the skin and bones, are also used for their supposed medicinal qualities. Demand for rhino horn has increased substantially in recent years.

Of the five species of rhino, three are listed in the IUCN Red List as critically endangered. Poaching is not the only way by which rhino horn finds its way to the market. In certain instances and limited to specific populations, trade in rhino horns derived from hunting of rhinoceros is allowed under CITES. However, recent investigations have shown that hunters are abusing regulations and entering rhino horn into commercial trade involving organized crime, corruption, abuse of diplomatic privileges and money laundering.

Intelligence gathering, regular monitoring and strict enforcement are effective ways of curtailing both illegal logging and poaching activities in forests. The participation of local communities in these activities can facilitate implementation of laws and regulations and secure sustainability. Customs enforcement also plays a critical role in controlling trade in various species.

## ↓ Number of animal species per biome/ecosystem



# The forests of Central Africa

*The forests of Central Africa are the world's second largest moist tropical forests, exceeded in area only by the Amazon Basin forests*

The forests of Central Africa have suffered less from large scale clearance than forests in many other parts of the world. During the 1990s deforestation rates in Central Africa were estimated to be 0.35 per cent per year. In the 2000 to 2005 period, deforestation rates in the region showed marked differences between the countries concerned; while 1 per cent of Cameroon's forests were lost every year during this period, the figure was 0.24 per cent for the Democratic Republic of the Congo (DRC) and 0.05 per cent for Gabon (FAO 2006a).

About 1000 different tree species have been recorded in the area, with some 100 species having commercial

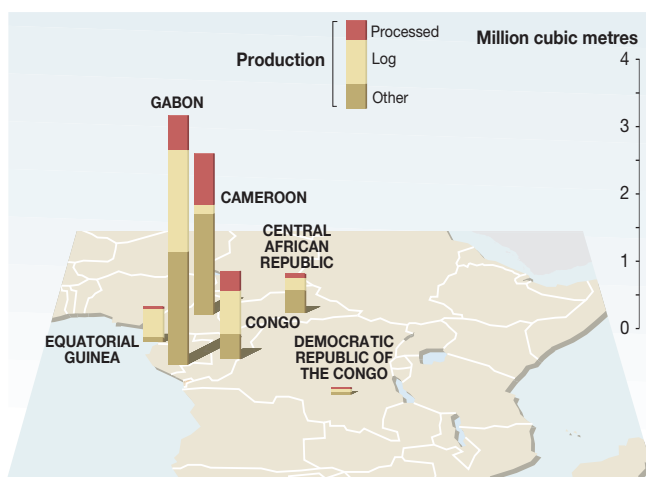
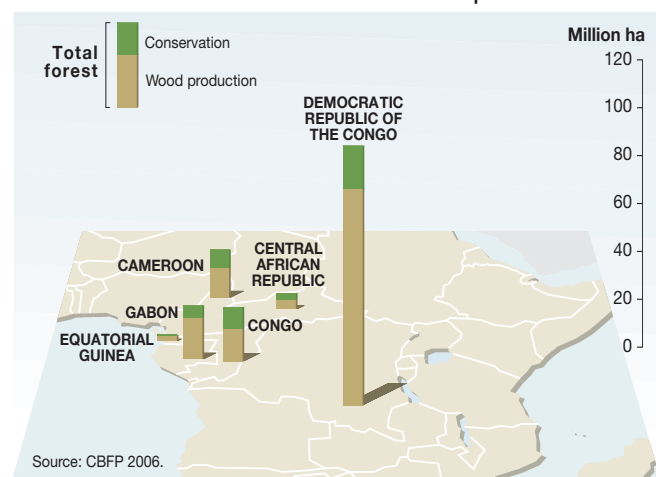
value, though only 40-50 species are at present harvested and sold. The northern and southern parts of the region consist of semi-deciduous forests, with an abundance of commercially valuable species such as the African mahogany (*Khaya* spp.). The central part of the Congo Basin largely consists of gallery and swamp forest. In the eastern part of the region as many as 300 tree species can be found on a single hectare.

Some 30 million people, comprising 150 different ethnic groups live in the forests of Central Africa. The majority are indigenous and include the Pygmies, as well as many groups of Bantu origin that have been forest dwellers for more than 1000 years and have inter-mixed with Pygmy, Ubangi and Sudanic populations. They are distinguished among themselves by their degree of nomadism (hunter-gatherer) and their dependence on farming, mainly traditional shifting-agriculture. Most communities exist at subsistence levels.

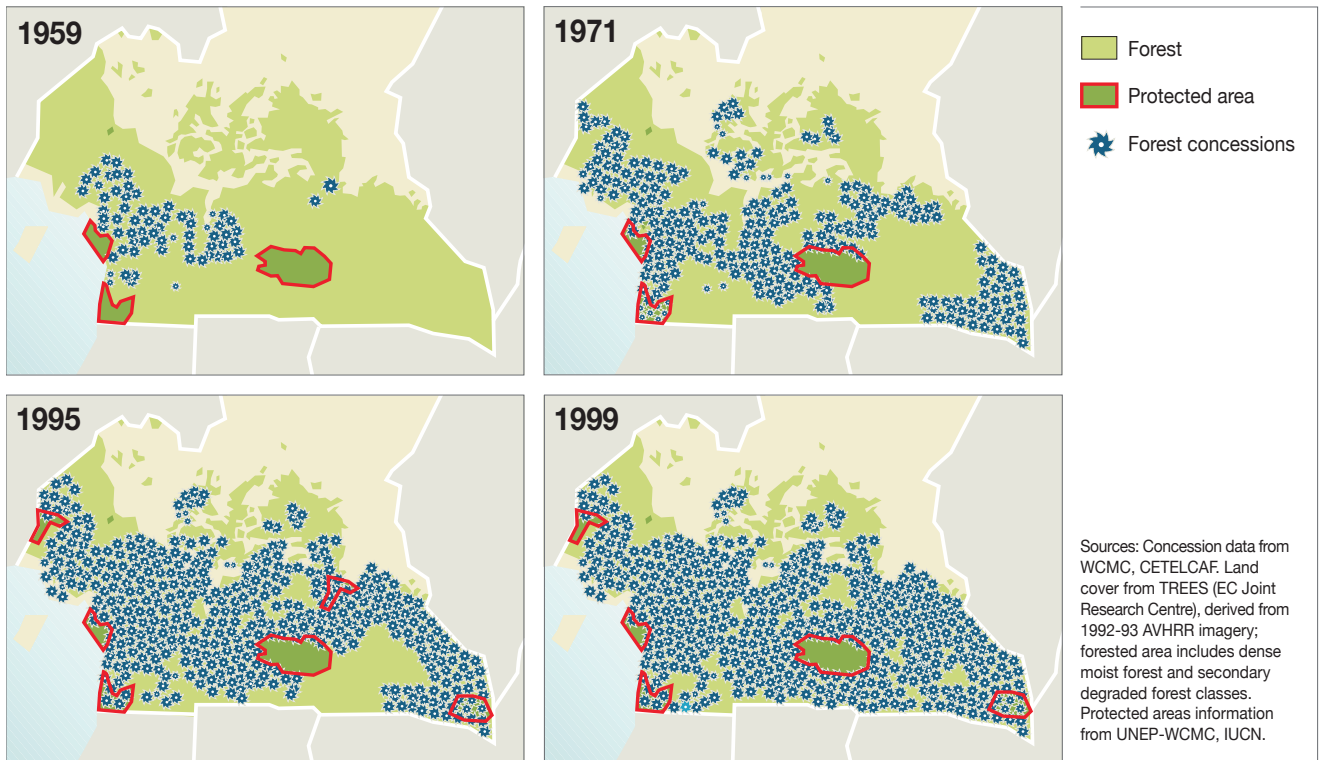
The Congo Basin forests are under threat from deforestation, degradation and fragmentation, even in areas that have not yet been opened up by logging operations. Satellite images show that forest loss and degradation are also caused by the displacement of people due to war and conflict, and the impact of mining activities. The images also highlight destruction in previously untouched forest areas particularly along the tributaries of the Congo River, most likely related to population growth and settlement. In the densely populated mountainous regions and high plateaus of western Cameroon and the east of DRC, the shortening of fallow periods, combined with the conversion of abandoned fields into pasture prevent any forest regeneration.

Across the region 76 per cent of forests have been identified as productive or commercially exploitable. By 2000 nearly all productive forests outside protected areas in the central Congo

## Forest conservation and wood production



## ↓ Increase in forest concessions in Cameroon



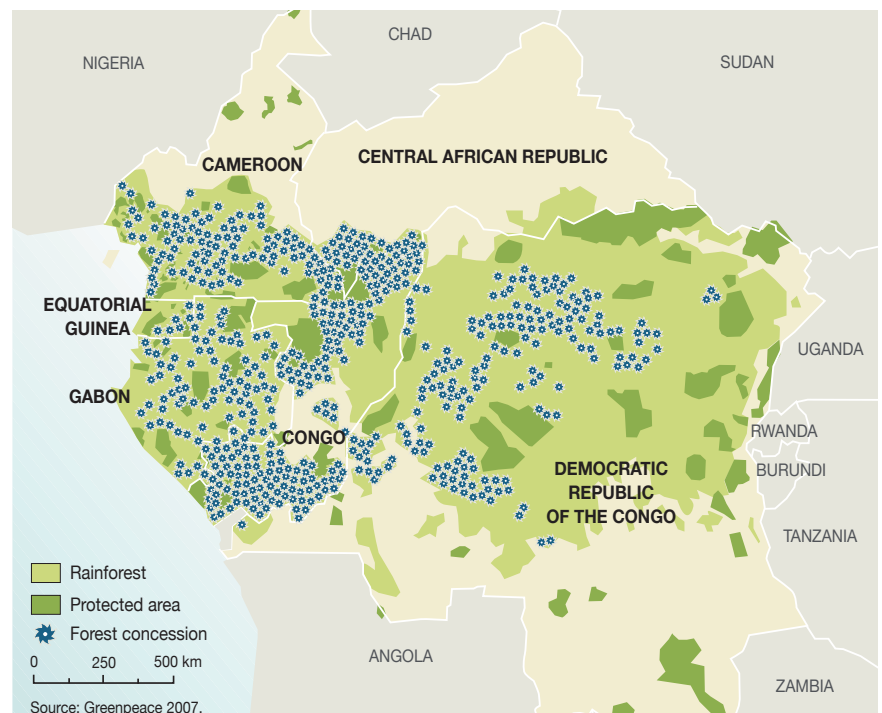
Basin had been allocated to timber concessions. If selective harvesting practices are followed, the impacts of the timber operators would theoretically remain relatively limited. However the implementation of such practices has been hampered by the lack of a regulatory framework and control as well as attention to the dynamics of the forest. Fast growing global demand for timber has increased the risk of unsustainable forestry practices, illegal logging and corruption. It is now of vital importance to introduce and regulate sustainable forestry practice and as well as independent certification. Equally important is to strengthen the rights of forest based peoples and communities.

In the DRC logging contracts covering 25 million of the 41 million hectares originally allocated were cancelled in 2002. At the same time, a moratorium on the further allocation of forest concessions was put in place. An inter-ministerial commission is at present verifying the legality of titles of all 156 forestry concessions. Meanwhile two of the largest operators of logging concessions in the DRC are likely to have their concessions certified in the near future.

The establishment of protected areas is a crucial element for the long-term sustainability of contiguous forests. In 1999, the governments of Central Africa agreed on the Yaoundé Declaration for the conservation and rational use of Central African forests. As a result, new protected areas have been established in Equatorial Guinea (10), Gabon (13) and Cameroon (4).

The initiative on Reduced Emissions from Deforestation and Degradation (REDD) presents new opportunities to conserve forests. If countries in central Africa are to benefit from a REDD mechanism, national incentive schemes geared towards poor communities must address the complex problem of forest destruction by slash-and-burn farmers without land title.

## ↓ Logging concessions and protected areas



# The forests of Southeast Asia

Southeast Asia, containing the world's third largest tropical forests, is experiencing deforestation rates higher than almost anywhere else on Earth. The region's forests are endangered by conversion to agriculture or other land uses, such as oil palm plantations, logging (both legal and illegal) and climate change.

Tropical rainforests cover approximately 60 per cent of the region's total forest area, with tropical moist deciduous forests and tropical dry forests each accounting for around 15 per cent and mountain forests another 10 per cent (FAO 2001b). Mangrove forests, found in the interface between land and sea, represent about one third of the world's total mangrove cover (FAO 2007). Fresh-

water and peat swamp forests are also present. Dry forests include deciduous dipterocarp forests and mixed deciduous woodlands often containing some very valuable tree species, such as teak (*Tectona grandis*), and trees from the dipterocarp family (Dipterocarpaceae). In Malaysia, Indonesia and the Philippines the montane (evergreen) rainforest, most developed at altitudes between 1 400 and 2 400 m, still covers relatively large areas.

Almost the whole of Southeast Asia was covered by forest 8 000 years ago (Billington *et al.* 1996). Today only about half the land area is covered by forest and most of the countries in the region have experienced rapid declines in forest area.

It is calculated that the region is losing about 1.2 per cent of its remaining forest area each year, with Cambodia, Indonesia and the Philippines reporting annual losses of two per cent over the last five years (FAO 2006a).

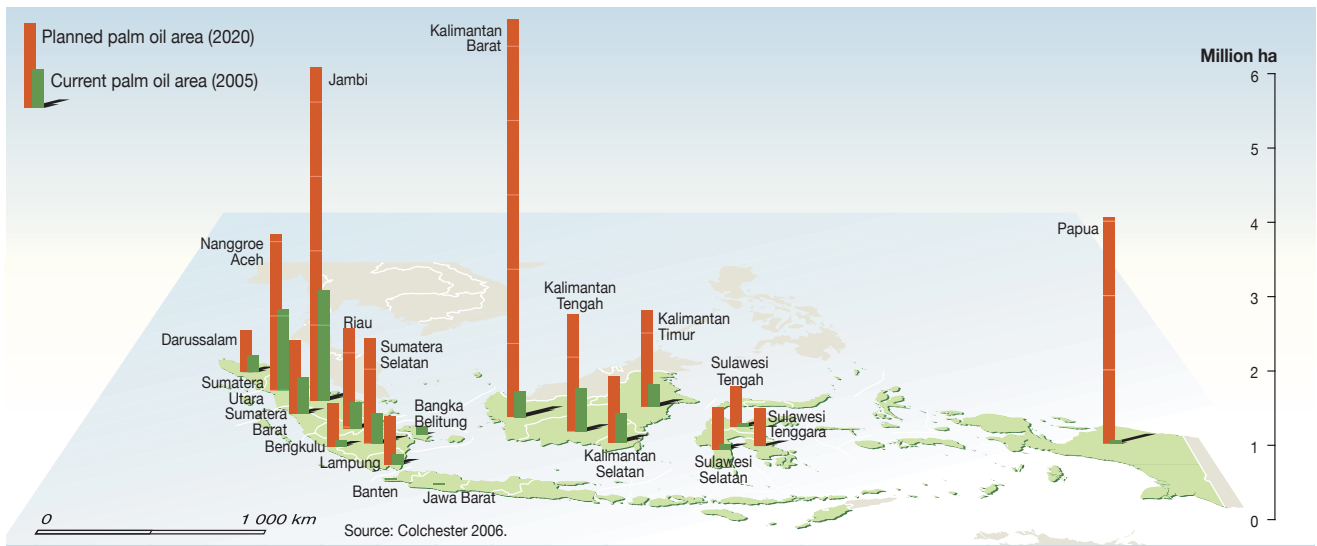
Conversion to agriculture, including the recent expansion in the area devoted to oil palm plantations, continues to be the main cause of forest loss in the region. Meanwhile, a large portion of mangrove areas has been converted to shrimp farms or rice cultivation.

Logging and pulpwood clear-cutting have also been major causes of deforestation in some areas. The high proportion of valuable timber species in the lowland forests and easy access to the coast and shipping routes are

## Forest and biodiversity under threat by economic development



## Future expansion of palm oil in Indonesia



among the reasons for this. Most of the more accessible forests in the region have been logged at least once. Commercial logging in Papua New Guinea, for example, has been heavily concentrated in forest areas that are accessible by bulldozers, trucks and coastal shipping.

Recent studies conclude that by 2021 approximately 80 per cent of the commercially accessible forests which were present in Papua New Guinea in 1972 would have been cleared, commercially logged or affected by low intensity fires (UPNG Remote Sensing Centre 2008). Even forests in protected areas in Kalimantan, Indonesia, are being logged and have declined by more than 56 per cent between 1985 and 2001 (Curran *et al.* 2004).

The interests of commercial activities such as palm oil plantations or mining, often clash with the interests of communities, small farmers and indigenous people when it comes to management of natural resources. Land rights issues are often at the heart of such conflicts.

For example, in Indonesia there is growing evidence of human right violations associated with the palm oil industry (Friends of the Earth 2008). Indonesia has set ambitious targets for oil palm expansion and such conflicts are likely to intensify if human rights

issues are not appropriately addressed.

Due to the rapid rate of deforestation and forest degradation in the region, there are growing concerns about increases in greenhouse gas emissions. Of particular concern are the peat swamp forests, where peat deposits are up to 20 metres thick and contain vast reserves of near-surface terrestrial organic carbon. Out of 27 million hectares of peat land in Southeast Asia, an estimated 12 million hectares has been deforested or degraded over the past ten years (Hooijer *et al.* 2006).

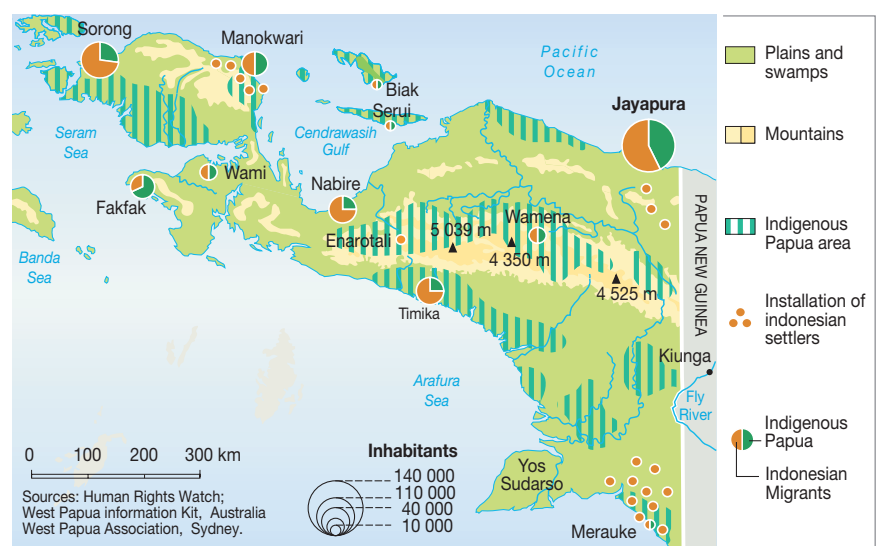
The establishment of conservation areas and better forest management practices are essential tools in the battle to save the tropical forests. One major step was taken in 2007 when the

Forestry Ministers of the three countries involved – Indonesia, Brunei and Malaysia – signed the Heart of Borneo Declaration and 220 000 square kilometres – or an area almost as big as Great Britain – was turned into a large network of protected areas and forest areas managed according to the principles of sustainable forestry.

The EU Action Plan on Forest Law Enforcement, Governance and Trade (FLEGT) is also being seen as a good example of how to develop partnership agreements between the producer and the consumer countries to combat illegal timber trading.

➔ See also pages 20, 24, 30

## Colonization of West Papua, Indonesia



# The Amazon, the largest

The Amazon Basin, stretching over Bolivia, Brazil, Colombia, Ecuador, French Guyana, Guyana, Peru, Suriname and Venezuela, contains the world's largest tropical rainforest, and is home to more than 30 million people. It is also an ecosystem with unparalleled rich biodiversity.

The dominant ecozone in the Amazon contains tropical rainforest, tropical moist deciduous forest, tropical mountain forest and tropical dry forest. The wettest type of vegetation is found in the upper basin of the Amazon River, in the State of Amapà in Brazil and on the west coast of Colombia where luxuriant, multilayered evergreen forest grows to heights of up to 50 metres tall (FAO 2001b).

The more extensive rainforest vegetation is somewhat drier and occurs in the Amazon Basin and on the eastern foothills of the central Andes. It consists of multilayered forest up to 40 m high, with or without emergent trees, and is mainly evergreen (FAO 2001b). Of the approximately 300 tree species that may be found in a single hectare of rich Amazon rainforest, only 30 to 50 are considered to be of commercial use (Grainger 1993).

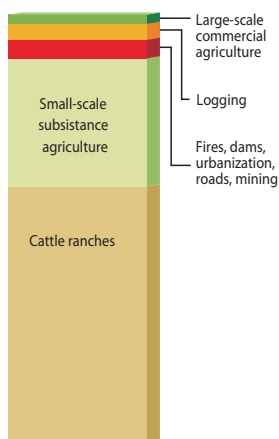
The Amazon population is mainly urban, but many indigenous groups live in villages deep in the forest. Brazil has 225 indigenous groups, of which 170 live in the Amazon. Some 460 000 indigenous people, or 0.25 per cent of the Brazilian population, live inside

580 officially recognized indigenous territories, the majority in the Amazon. These territories represent 13 per cent of the national territory, and more than one fifth of the Brazilian Amazon. The states with the largest number of isolated tribes, such as Pará, Mato Grosso and Rondônia, are unfortunately also under growing pressure from deforestation and together, they accounted for about 85 per cent of Brazil's total deforestation over the 2006-2007 period (INPE 2008).

Over the past 40 years, about a fifth of Brazil's Amazon rainforest has been deforested (Reuters 2008). Official statistics show that annual deforestation has been close to 20 000 square kilometres over the last 10 years, reaching a peak of 27 429 square kilometers in 2004, and then being reduced annually to 11 224 square kilometers in 2007 (INPE 2008).

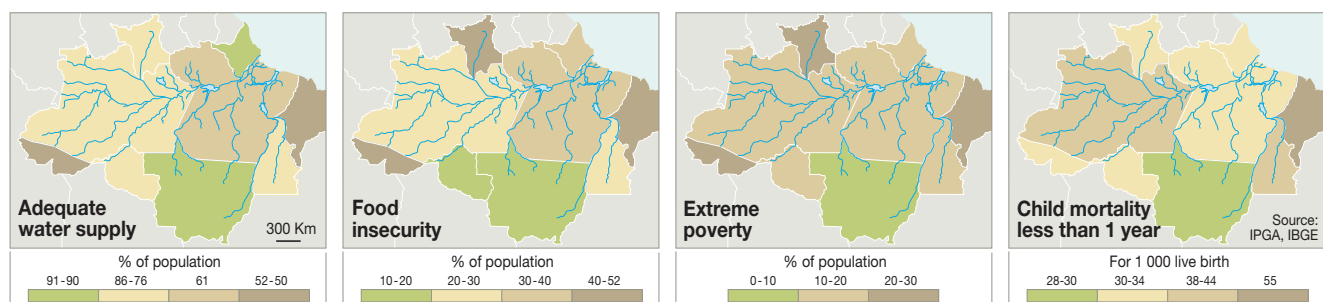
The most obvious reason for deforestation is the conversion of forest lands for cattle ranching and agricultural crops, industrial activities and logging for timber. Transportation infrastructure has been linked to aggressive and rapid change in land use, with new roads

## Deforestation causes in Brazil



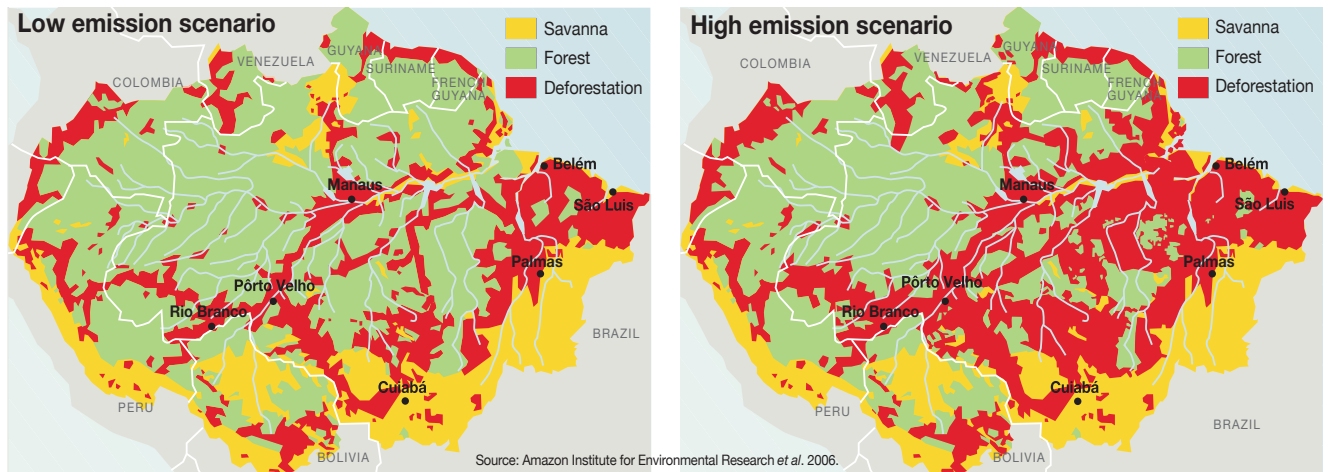
Source: Mongabay.com

## Socio-economic indicators for the Legal Amazon region in 2004



# rainforest in the world

## 2050: Worst case scenario for the Amazon rainforest



making previously remote areas of forest accessible to farmers and ranchers, thus facilitating conversion of forest land to agricultural crops and use as pasture. It is estimated that 90 per cent of deforestation in the Amazon region has occurred within 50 kilometres of roads (INPE, 2008). There are indications that after a relative drop in deforestation over the 2004 to 2007 period, deforestation rates in Brazil have again been rising encouraged by record world prices for both soya beans and beef, pushing the agricultural frontier ever further into the rainforest.

Global climate change has already contributed to rising temperatures in the Amazon which, when combined with deforestation, have led to a cycle of lower precipitation and a greater frequency of droughts. Researchers at Brazil's National Institute for Space Research say that the Amazon could reach a tipping point – the point at which deforestation and climate change combine to trigger self-sustaining desertification –

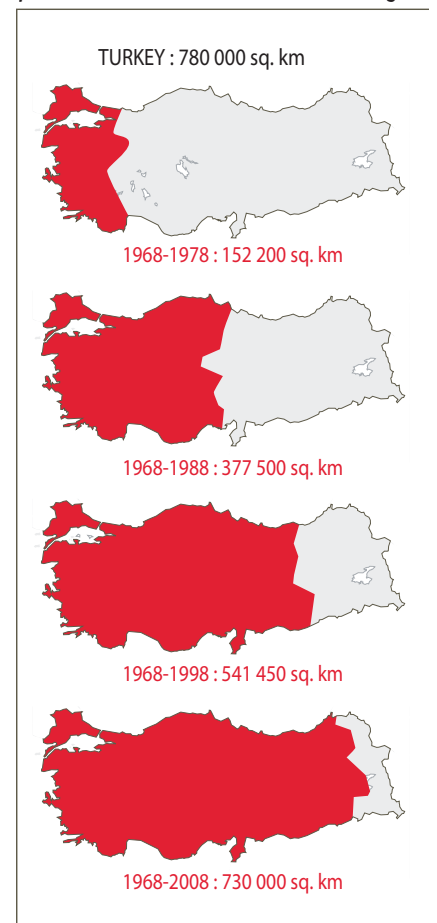
in 50-60 years (Reuters 2008).

The development of government policies such as *Zona Franca Verde* or green free trade zones, and the 80:20 land use practice have led to some decline in deforestation. Further to refrain the deforestation, the Brazilian Government was one of the first to adopt a system of payment for environmental services.

In 2008, the Brazilian Government announced the enlargement of a network of protected areas under the Amazon Region Protected Area Programme (ARPA), to cover nearly 600 000 square kilometres of the Amazon by 2016. The government has also announced the creation of a US\$21 billion fund called the Amazon Fund, to pay for projects designed to prevent deforestation, support conservation and sustainable development of the Amazon region (AFP 2008).

→ See also pages 10, 20, 52, 58

## Deforestation in Brazil compared with the area of Turkey



# The boreal forests

**B**oreal forests and taiga in extreme northern areas of the Arctic cover some 386 million square kilometers representing one of the largest forest biomes in the world (Olson 2001).

Boreal forests generally have a low level of species diversity. The main tree species are spruce (*Picea* spp.), pine (*Pinus* spp.), larch (*Larix* spp.) and fir (*Abies* spp.), which are all coniferous, but a few deciduous species such as birch (*Betula* spp.), aspen and poplar (*Populus* spp.) also occur, especially in the early stages of forest succession.

Forest fires are a central element involved in the natural succession of boreal forests while small-scale dynamics include storms, insects and pathogenic fungi, in particular on moderately moist sites (Väisänen 1996). In the intact boreal forests of North America, forest fires are mostly caused by lightning. However, the latest research suggests that fires caused by humans play a larger part in the boreal for-

*The dynamics of boreal forest ecosystems provide the basis for conservation and sustainable use of forest resources, yet the debate continues concerning clear-cutting practices and, more recently, on the impacts of climate change*

est of Eurasia than earlier assumed (Acharid *et al.* 2008; Ruckstuhl *et al.* 2008).

Clear-cutting as a forestry practice has been much discussed in the context of boreal forests. It is seen as essential not only from a commercial perspective but also from a biodiversity point of view: the effects of clear-cutting are comparable with natural fire dynamics, resulting in patches of even-aged homogenous forests, typi-

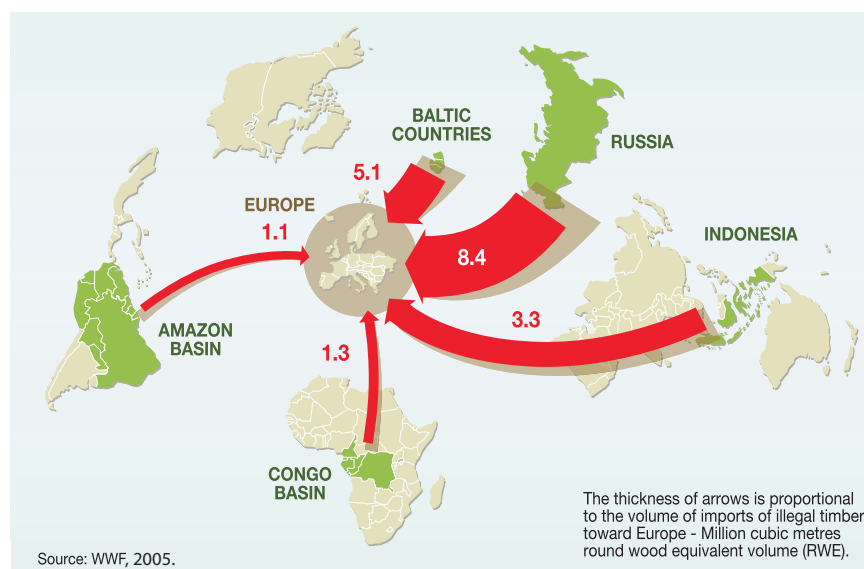
cal of boreal forests. Since the late 1960s, debate has focused on the maximum size of these individual clear-cuts, especially after large scale cutting areas were introduced in order to increase the economic efficiency of boreal forestry. More recently, the average clear-cut size has been decreasing so as to better adapt to the natural dynamics of the ecosystem. In Europe, this is seen as a positive trend since a high degree of variation is beneficial to the fauna and flora and increases the resilience of Europe's mostly semi-natural forest landscapes to pests and diseases. For example, in the 1990s, about 94 per cent of clear-cuts in Helsingland, Sweden, were smaller than 100 hectares, as compared to about 83 per cent in the 1980s (EEA 2006).

In the province of Ontario in Canada, about 80 per cent of all harvested forests in the boreal zone have to be in blocks of less than 260 hectares. Larger clear-cuts are only allowed under strict conditions and must be recorded and approved in forest management plans (MNRO 2008). In the province of British Columbia, the average clear-cut size has also been decreasing – to just under 30 hectares in 1998 (BC Ministry of Environment 2000).

Overall, the area of boreal forest has remained fairly stable between 1990 and 2005. While some countries such as Sweden have experienced a slight forest gain, other countries, including Finland and the Russian Federation, have had a very slight forest loss (FAO 2006a; MCPFE 2007).

According to the Fourth Assessment Report of the Intergovernmental Panel on Climate Change (2007), the boreal zone is likely to be strongly affected by climate

## ↓ Main flow of illegally logged timber to Europe



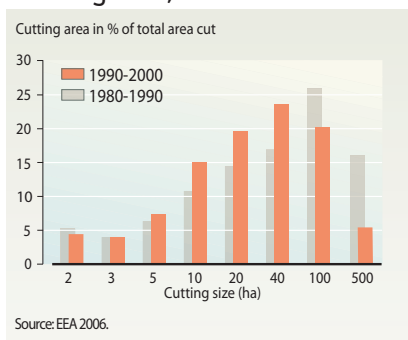


## ← Boreal forest extent

change. While the above ground biomass is low compared

to forests in temperate or tropical environments, the organic soil content can be very high. This particularly applies to boreal forests in the Russian Federation. A rise in temperature is likely to cause increased organic decomposition which in turn will lead to the accelerated release of substantial amounts of methane into the atmosphere. Analysis also suggests that populations of insects such as mountain pine beetles which periodically erupt into large-scale outbreaks have expanded over the past 40 years (Taylor 2006). As the result of such insect infestations tree mortality rates increase, further reducing forest carbon uptake.

### ↓ Cutting smaller parcels in Halsingland, Sweden



While the boreal zone is one of the Earth's most sparsely

populated zones it is home to many indigenous peoples. The Russian Federation recognizes more than 40 Small-numbered Indigenous Peoples of Russia living in the boreal and taiga zone, each having a maximum of 50 000 members. The Canadian Census of Population 2006 found that there are over 1.17 million aboriginal people in Canada, representing nearly 4 per cent of the country's total population (Statistics Canada 2008).

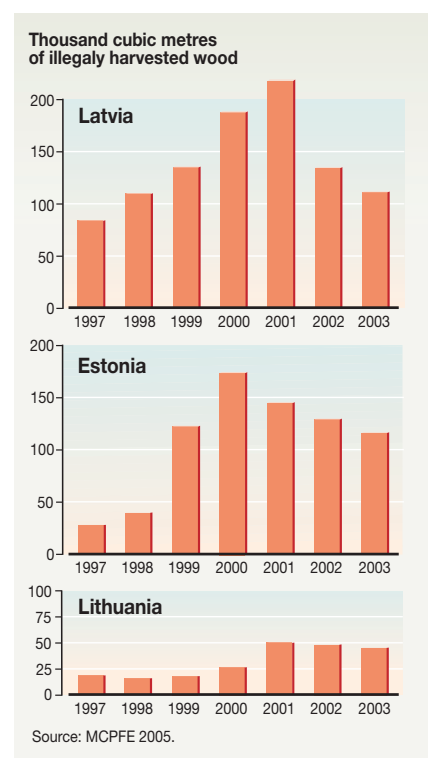
Mining for minerals, oil and gas is prevalent in many parts of the boreal zone and often is the cause of environmental concern. In 2008, the Canadian government decided to protect at least 225 000 square kilometers of Ontario's vast boreal forest from mining and other resource development projects and restrict land use to tourism and traditional aboriginal practices such as hunting and fishing (MNRO 2008).

Illegal logging is a serious issue, especially in remote areas of the Russian Federation. Estimates of the extent of illegal logging in the Federation vary considerably, with between 5 and 30 per cent of the boreal forest area affected (MCPFE

2005). Some link the surge in illegal logging in Russia to the adoption of a new forest code which led to changes in issuance of logging permits (Taiga Rescue Network 2008). Official statistics from the Baltic countries estimate the illegal harvesting at 0.26 million m<sup>3</sup>, just less than 1 per cent of the total cutting volume. However, WWF reports illegal timber exports from the Baltic countries to Europe at 5.1 million m<sup>3</sup>, an estimate which is 20 times higher than the officially reported illegal harvesting.

On the other hand, the countries in the boreal zone are forerunners in monitoring progress towards sustainable forest management.

### ↓ Illegal logging in the Baltic countries



# Forests and fires

*Often unquantified, the social and economic impacts of forest fires are considerable: lives are lost, health problems occur, animals are killed and the environment suffers*

Over the summer of 2007, Greece was hit by its most devastating forest fires in 50 years. People were burned to death. Trees burst into flames like giant matchsticks. For days a dense cloud of smoke blocked out the sun. In all, at least 270 000 hectares of forest, olive groves and farmland were destroyed. Though the scale and ferocity of the Greek fires was unusual – blamed on record high temperatures, a prolonged drought and in some areas the activities of arsonists – such conflagrations regularly devastate forests around the world.

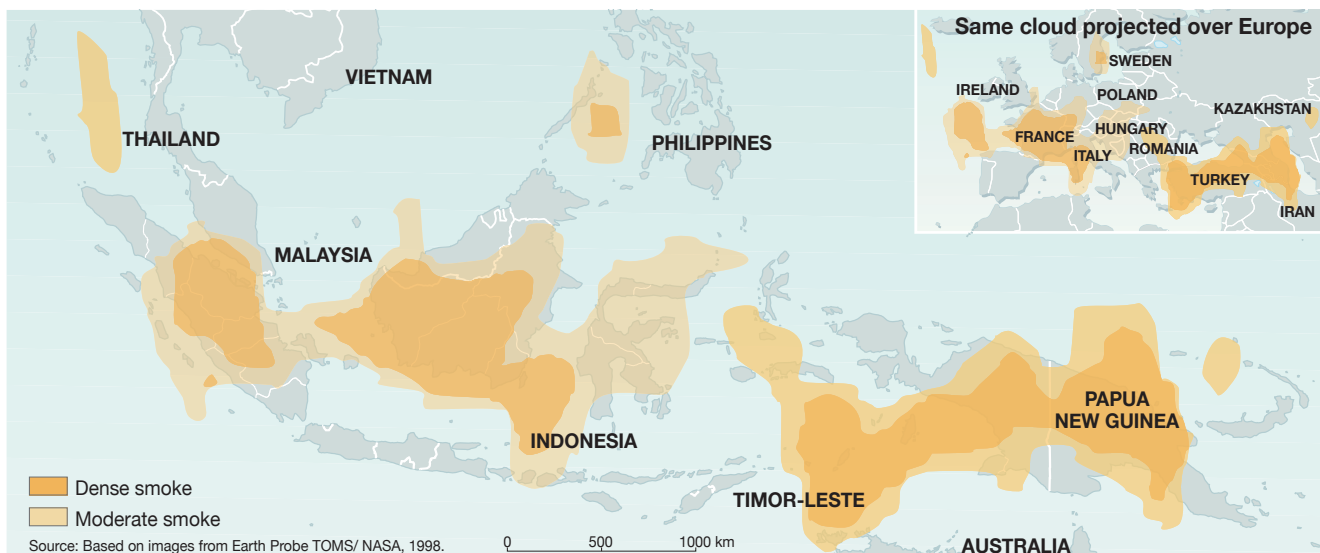
Satellite data from 2000 revealed that 350 million hectares of land was affected by vegetation fires worldwide – an area slightly bigger than the whole of India – much of which was wooded savannah, woodland and forest. According to the data, a large proportion of the burned area was in sub-Saharan Africa.

Each year in the Mediterranean

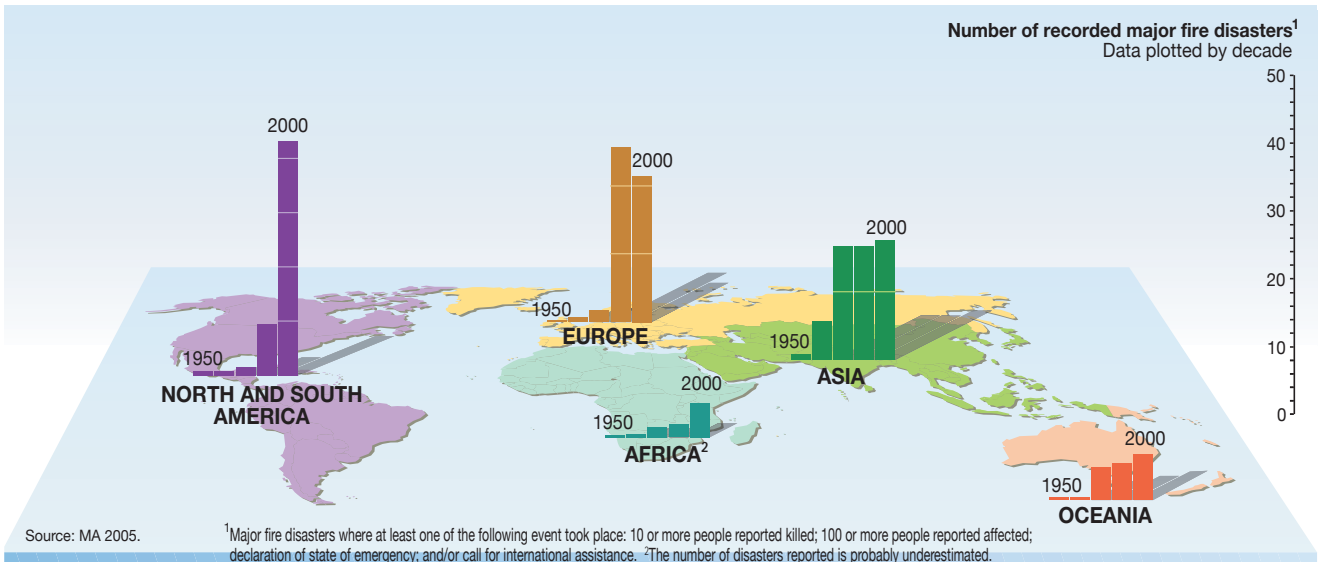
region alone about 50 000 separate fires sweep through up to 1 million hectares of forest and other woodland. In 2007, wildfires ripped through southern California, burning more than 200 000 hectares of trees, destroying homes, and claiming lives. These siege fires are ten times the size of the average forest fire of 20 years ago and are becoming increasingly frequent (Wood 2007). Figures for 2005 indicate that nearly 4 million hectares in the US were burned – more than twice the average annual figure in the previous ten years.

Many of the world's ecosystems have evolved under the influence of fire and need it to regenerate. Up to the early 1990s, forest fires in Brazil were seen as a management tool, used to transform forest biomass into soil nutrients or eliminate invasive species and weeds (Mountinho and Schwatzmann 2005). It is still seen an important factor in ecosystem regeneration. However, in some parts of the world, for example

## ↓ Smoke over Southeast Asia on 11 September 1997



## ↓ Trends in occurrence of wild fires



in the Amazon, researchers have begun to warn of a growing forest fire risk (Mountinho and Schwartzmann 2005).

Forest fires also cause widespread damage and are responsible for large emissions of carbon into the atmosphere. In some regions there is evidence of an ever increasing number of fires affecting larger areas and burning with greater severity. Climate change and the lack of sustainable land use policies are contributing factors in this increase. The El-Niño effect is also a factor, as it contributes to increases in the frequency of drought and lightning strikes.

The majority of fires are caused by agricultural burning to convert forests to ranch or crop land, by careless burn-

ing of residues and waste, by burning to improve hunting and by arson. In many regions, the spread of urban development is also associated with increased incidence of fires.

As deforested areas expand, changes in the landscape and micro climate occur: the forest floor dries up which, in turn, makes it more vulnerable to fire. Ecosystems can change as a result of fires. Fires in the understory of humid rainforests can cause tree mortality and canopy openness. Frequent fires and land over-use means forests are increasingly impoverished and, in some cases, such land becomes savannah (Mountinho and Schwartzmann 2005).

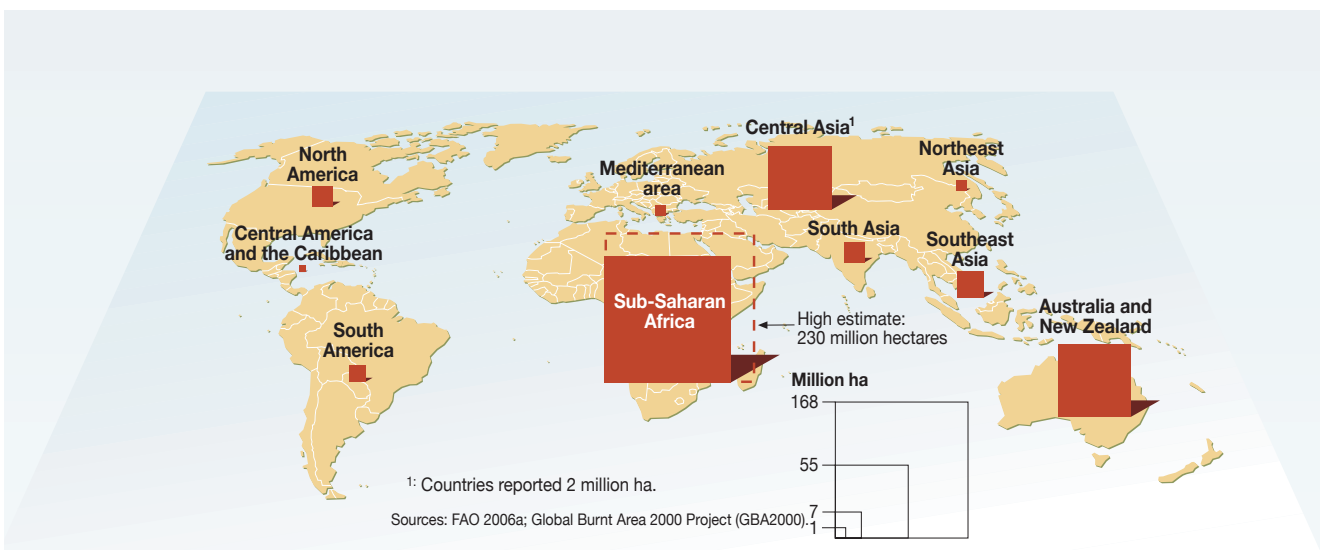
In remote areas of Canada and Russia lightning is a major cause of fires.

The remoteness of these areas often results in fires developing into serious conflagrations.

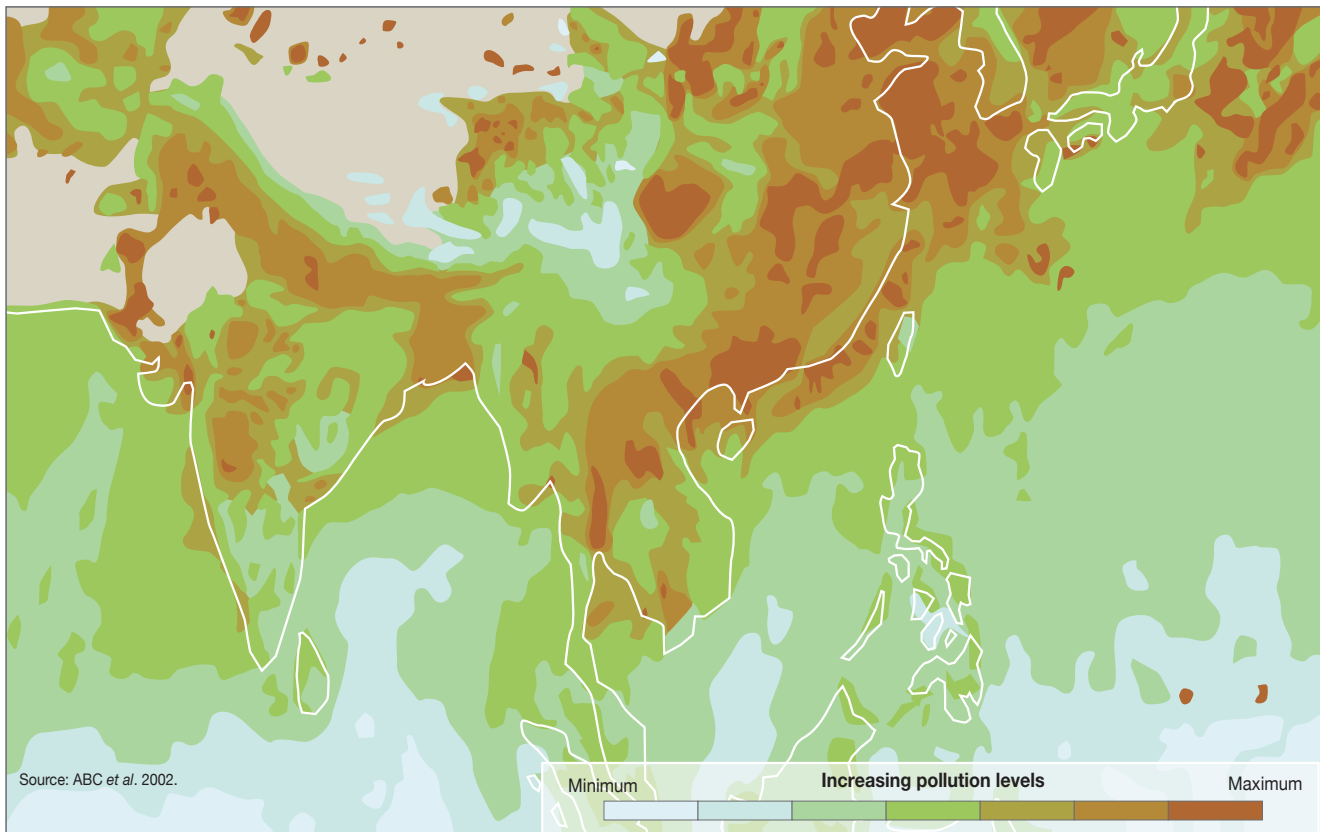
Most countries have extensive fire regulations but there is often a lack of regulatory enforcement and in some countries policies and laws are contradictory. The Association of Southeast Asian Nations (ASEAN) introduced a zero burning policy for the region in 1999 but this has been largely ineffective: it has been found that the use of fire, mostly for agricultural purposes, is a far more important factor in maintaining rural livelihoods than previously thought.

→ See also pages 20, 34, 36

## ↓ Estimate of area of vegetation destroyed annually by fire by region



## Extent of pollution over Asia



# Forests suffer from air pollution

*Air pollution has had an impact on trees and forests since the early days of the industrial revolution. In some cases the damage has been minor but in others entire forests have been killed. Though the processes causing such damage are well understood, knowledge is generally restricted to a few well-known tree species and lichens. Less understood is the impact of air pollution on birds or animals and its long term effects on ecosystems in general*

**A**ir pollution takes many forms. In the past sulphur dioxide pollution – the result of burning high sulphur coal in the factories of industrialized nations – was widespread. Though such pollution has been sharply reduced in much of the western world, it is still a significant pollutant in many fast developing countries, particularly China and India. Despite stringent air quality regulations in North America, there are areas where sulphur dioxide is a continuing problem and the cause of widespread damage to trees and forests.

The Province of British Columbia, Canada, is now a major source of natural gas, with the number of gas wells rapidly increasing. Many of these wells have quantities of ‘sour gas’ – gas with significant amounts of hydrogen

sulphide. If gas is flared efficiently, few pollutants escape other than carbon dioxide. However it seems that in most cases a wide range of pollutants is produced.

These chemical cocktails damage nearby areas. Lichens appear particularly sensitive but damage to trees has also been recorded. While emissions from a single site might have little overall impact, problems are likely to build up as many thousands of wells are sunk (Case, 1980). Extensive forest damage could also occur as a result of the present exploitation of tar sand oil in the province of Alberta, Canada.

The most direct effect of air pollution on forests occurs when trees are exposed to a particular pollutant and, as a result, suffer damage to their foliage. This generally involves the uptake

of gaseous pollutants through the stomata – the area where damage is often first apparent. The symptoms vary according to species and pollutants. The vulnerability of trees and forests to other pests, such as invasions by bark beetle, can also increase as a result of chemical pollution.

Diagnosis of problems affecting trees can be complicated by the presence of similar symptoms caused by other stresses besides air pollutants. For example forests and trees quickly react to drought, with discoloration, loss of foliage and die back ultimately leading to tree mortality. As the frequency of climate change induced drought is expected to increase in some areas, the health of more forests is likely to be at risk.

In the 1980s, acidic deposition – commonly termed acid rain – was considered to be a threat to ecosystems in Europe and North America. Extensive surveys indicated the condition of forests across these regions was rapidly deteriorating. Although air quality in Europe has improved considerably over the years, trees are still under stress (MCPFE 2007).

More recently, ozone – formed through the interaction of nitrogen oxides, volatile organic carbons (VOCs) and sunlight – has emerged as a significant problem in many regions. With its characteristic symptoms, such as purplish-brown stippling on the upper surfaces of leaves, ozone damage is particularly associated with forests near large urban sites such as Mexico City and Los Angeles. Trees and forests have also been affected in many other regions, including much of south-central Europe, and damage is sometimes associated with the highly toxic peroxyacetyl nitrate (PAN).

Unlike sulphur dioxide, sourced mainly from large scale operations such as coal-fired power stations, nitrogen oxides are emitted from multiple sources, with vehicle exhausts a big fac-

tor. Such emissions – which can also adversely impact on trees and forests – are more difficult to control.

Some of the earliest and most detailed studies of the effect of air pollution on forests were conducted around actual sources of pollution, such as smelters (Kozlov and Barcan 2000). The studies showed pollution levels were severe enough to kill all trees and vegetation in the vicinity. Such deserts – devoid of all nature – still surround several smelters in Russia. As soils are usually heavily polluted by a range of chemicals, any clean-up is extremely difficult.

While the type of direct injury to forests seen near smelters in North America and in lignite-burning areas of eastern Europe is unlikely to continue for much longer due to tighter emission regulations, air pollution-related damage to trees – much of it

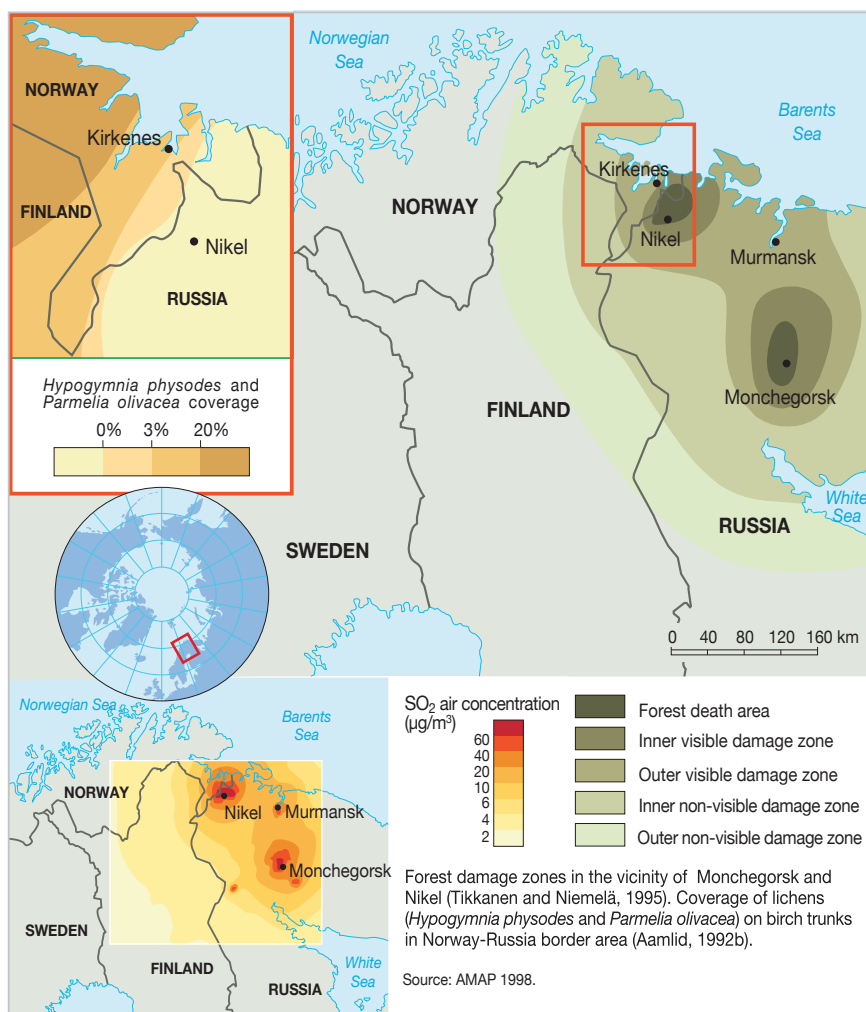
in the form of ozone – is likely to persist in these areas into the foreseeable future. Outside these regions, particularly in Asia and South America, damage caused by air pollution seems likely to increase.

### Recent brown cloud

One of today's most urgent and widespread air pollution problems is the smog that extends over much of China, with both urban and rural air quality often extremely poor. At times visibility over a wide area is less than 100 metres with a thick pall of smog clouding the sky.

The impact of smog on vegetation in China is not well-known, though it is clear widespread damage is being done to trees, forests and other vegetation around sites of particularly high pollution. A similar situation pertains in India.

### ↓ The Kola Peninsula under threat from deadly emissions



# Local forest management

“Start with the rising sun and work toward the setting sun. Take only the mature trees, the sick trees, and the trees that have fallen...and the trees will last forever.”

Menominee (Native American tribe) Oral History

## Indigenous land in Amazonia



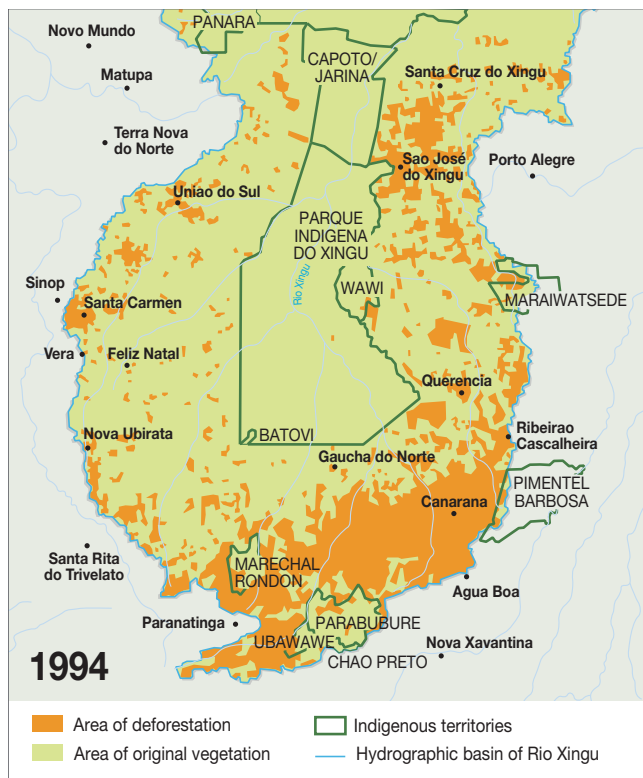
For generations, local communities around the world have relied on forests not only for their livelihoods, but also as an integral element in their cultural, spiritual and social systems. At present some of the world’s most successful forest stewardship schemes exist where communities have either title to their forestlands or have primary rights to use and manage the land. The lesson is clear: when local people have a vested interest in the land, forests and the communities in and around them sustain each other.

Local forest management takes many different forms – from co-management, in which local and central officials share responsibilities, to participatory management or communi-

ty-based management, in which the central government devolves power to the local level (CIFOR 2008). Community forest management has emerged as the dominant approach in developing countries, often due to management failures of central governments (Pandit *et al.* 2008). The proportion of forests owned or administered by local communities has doubled in the past 15 years (Scherr *et al.* 2003). In 18 developing countries with the largest amounts of forest cover, more than 20 per cent of forests are owned, managed, or reserved for communities (Molnar *et al.* 2003).

In Tanzania, for example, more than 90 per cent of the population uses firewood for domestic energy. At the same

## Trends in deforestation in the Xingu river basin, Brazil



Source: Instituto Socioambiental, April 2006.



← Loss of human lives due to conflict over land 1997-2007, Brazil

resistant to ceding control of forests to local people.

The legal right to manage the land is not always a sufficient safeguard, particularly when the rule of law is not upheld. With the doubling of the world’s population since 1960, land development pressures have mounted. The rights of forest communities have too often been trampled on as prejudice and competing interests have led to intimidation and violence against indigenous and traditional communities.

time, the country’s unprotected forest areas have come under increased pressure from human settlements, illegal harvesting of wood, fires and mining. The Tanzanian government recognized the need to take action in the 1990s and adopted forest and rural development policies to encourage local forest management. Participatory forest management is now operating or being established in more than 1 800 villages, encompassing more than 3.6 million hectares of forest land – equal to approximately 11 per cent of the country’s total forest cover.

In Mexico, ownership/user rights to forest resources are mainly allocated to communities that manage and use the forests. About 60 per cent of the total forest area in the country is common property land owned by *ejidos*, groups of people who have the right to use the land, or by indigenous communities (FAO 2009).

In Brazil, the Government has demarcated over 105 million hectares of indigenous territories to establish

488 indigenous reserves. These reserves represent 12.5 per cent of Brazil total area. In so doing indigenous communities have been granted use of the lands forever (Povos Indigenas 2008).

At the international level, the concept of local resource management has been recognized through the idea of Community Conserved Areas (CCAs). The 7th Conference of Parties to the Convention on Biological Diversity acknowledged the CCA concept and called for “full and effective participation by 2008 of indigenous and local communities... in the management of existing, and the establishment and management of new, protected areas” (CBD 2004).

The goal of “full and effective participation” in the management and creation of protected areas has yet to be fulfilled. In some cases, the creation of protected areas has in fact barred local use of traditional forestlands. In others, land is not well demarcated and is subject to multiple ownership claims. Central government authorities and concession owners are also frequently

**Land use conflicts**

In one month during 2007, more than 500 conflicts were reported between local communities in Indonesia and private interests seeking to establish oil palm plantations (Friends of the Earth 2008). Commission of Pastors of the Land (CPT) in Brazil reported that 1 317 families were expelled from their land in 2006, with more than twice the number of families experiencing the same fate in 2007. CPT also reported that 19 people were assassinated over land rights issues in Brazil during 2007.

Local self-management comes with its own challenges, whether in the realm of protecting natural resources or establishing sustainable livelihoods. Key ingredients include reforming national laws and policies, investing in local forest governance, ensuring stakeholder involvement and raising awareness at the local and national levels.

→ See also pages 14, 16, 32, 44

# Certification for sustainable forest management

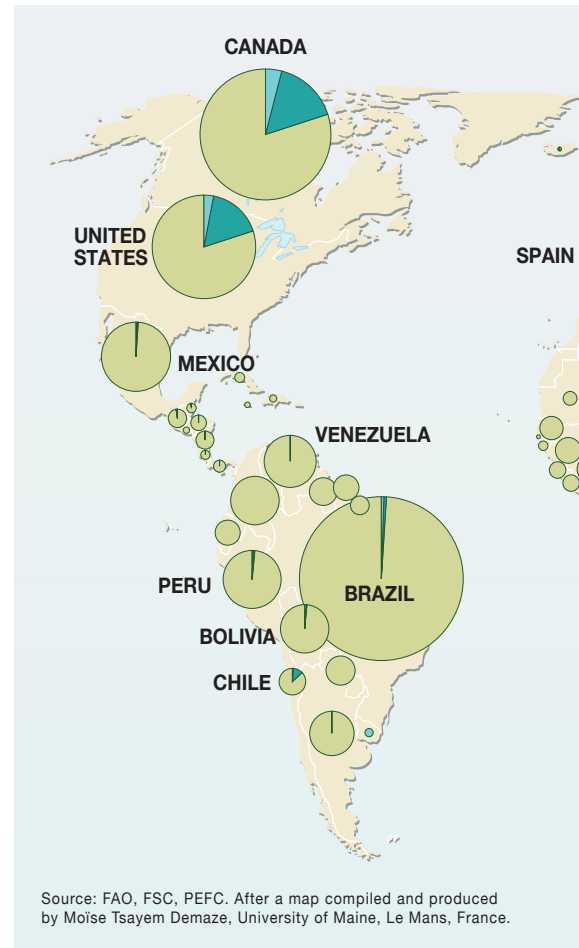
*Areas of forest certified as being under sustainable management have increased tremendously over the last ten years, but still only cover 7.6 per cent of the world's forests*

In 1993, a number of environmental groups and other interested parties responded to growing concern about forest degradation and loss by creating the Forest Stewardship Council (FSC), a private initiative designed to promote voluntary forest certification. Over the following years the forest industry, forest owners associations and others created a number of additional certification organizations, including the Programme for the Endorsement

of Forestry Certification (PEFC), the Canadian Standards Association, the Sustainable Forestry Initiative, Certificacao Florestal in Brazil, the Malaysia Timber Certification Council, Lembaga Ekolabel Indonesia, and the Chilean Forest Certification System.

Certification is a market-based mechanism designed to encourage environmentally sustainable and socially responsible forestry practices. Third party certification organizations use a range of environmental and social criteria to audit forestry operations and forest products. Timber operations awarded certificates can then use the certification label for marketing purposes.

The total area of forest falling under the major certification schemes has increased more than tenfold since 1998 (UNECE/FAO 2007). As awareness of the scale of global deforestation has grown, so has demand for certified for-

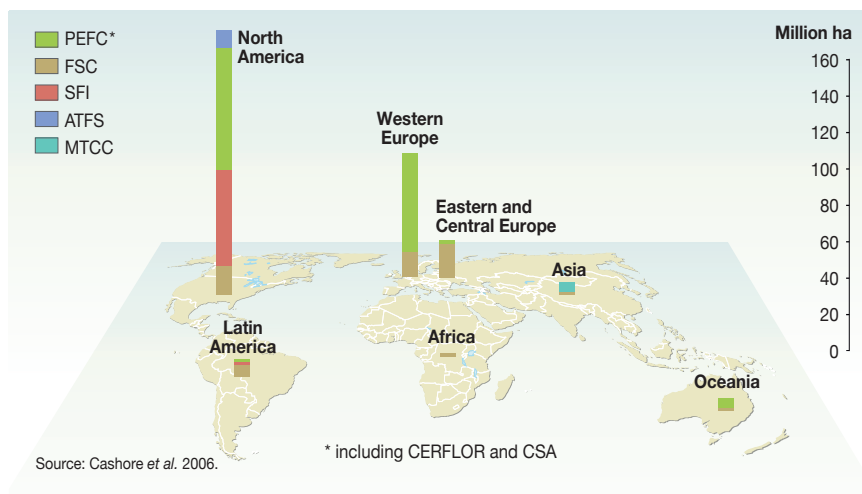


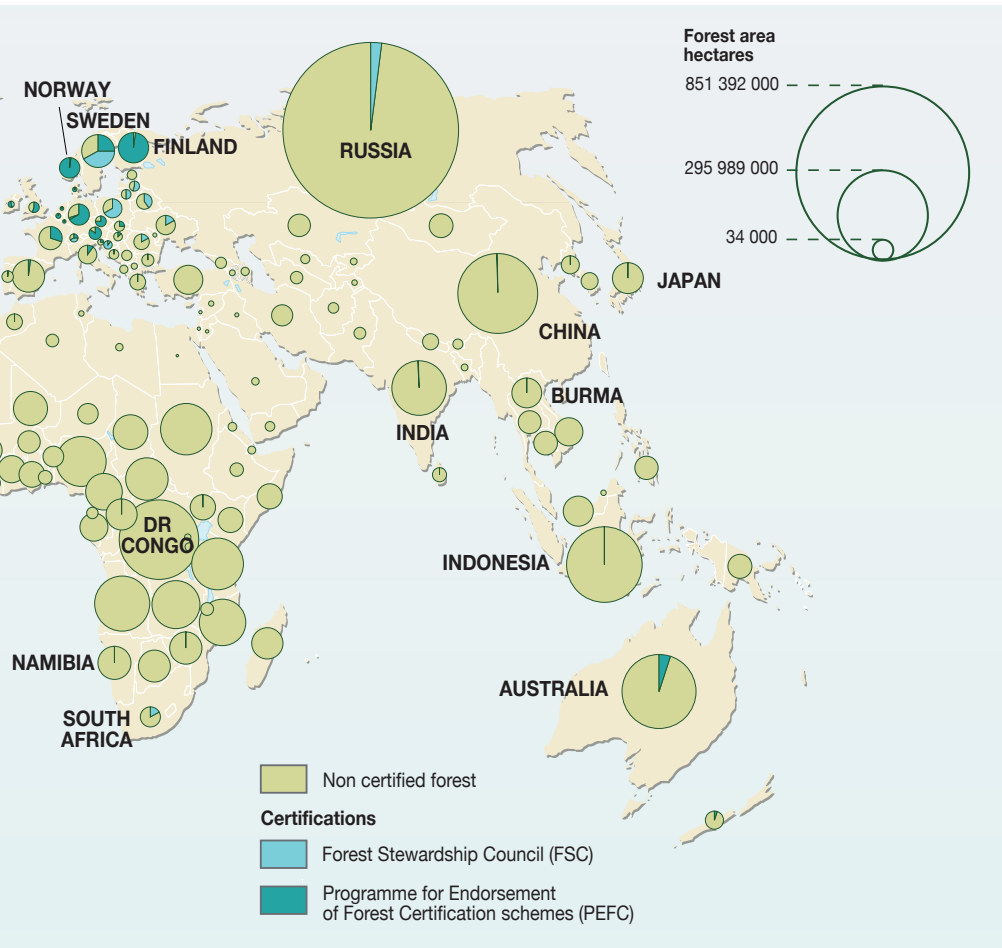
Source: FAO, FSC, PEFC. After a map compiled and produced by Moïse Tsayem Demaze, University of Maine, Le Mans, France.

est products. Some large timber industry operators have used certification and best practices to establish a greener profile and expand their markets. The Canadian firm Tembec, for example, says certification helped the company to win the business of North America's biggest lumber buyer, Home Depot, and survive an industry downturn (Gazette 2008).

Certification has also benefited national economies. Bolivia's FSC-certified forests, soon to cover more than 2 million hectares (WWF-Bolivia 2008), make up about a quarter of the country's total forested area. As a result, Bolivia is one of the leading tropical forest nations to adopt the certification process. Officials say certification, backed by progressive forestry laws, has helped the country to export US\$ 16 million worth of timber per year to the US and European markets. Certification has also provided important benefits to local communities, while encouraging a transition from the fell-

## Forest certification: regional breakdown





← Very little forest area is certified

accused of helping to fuel increased demand for forest products, instead of promoting the use of recycled wood or other alternative materials (FSC-Watch 2008).

In countries where government institutions and law enforcement mechanisms are weak, certification cannot be expected to work properly and to lead to sustainable management of forests. Indeed, degradation of the world's forests continues at an alarming rate. Some countries, such as Norway, have announced they simply will not procure any tropical wood to be used for public buildings, certified or not.

Nevertheless, increasing interest in green construction and procurement, and the use of biomass from forests for energy, suggests the demand for certified wood will continue to increase. The question is whether wood grown using truly sustainable and socially responsible practices will be able to meet this increased demand.

→ See also pages 24, 26, 58

ing of mahogany to the use of more abundant species.

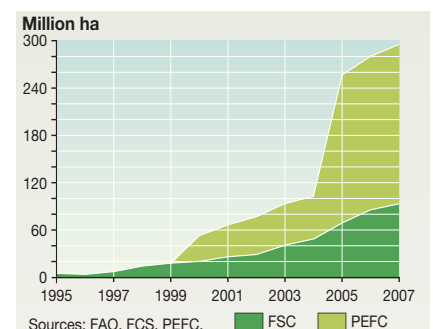
Despite such advances, however, only a small part of the world's forests – approximately 300 million hectares or 7.6 per cent of the total – has been certified (Forest Certification Resource Center 2008). PEFC certification accounts for approximately two-thirds of the total, with more than 200 million hectares of forests under its scheme. For the most part, certification has been concentrated in the boreal forests of the more developed northern countries. Some 56 per cent of the world's certified forest is located in North America, while approximately 34 per cent is in Europe and CIS (ITTO 2008).

The biggest challenge for certification is in developing countries, where deforestation of valuable tropical forests is occurring. Developing countries currently account for less than 10 per cent of the total area of certified forest globally, and half of that area is forest

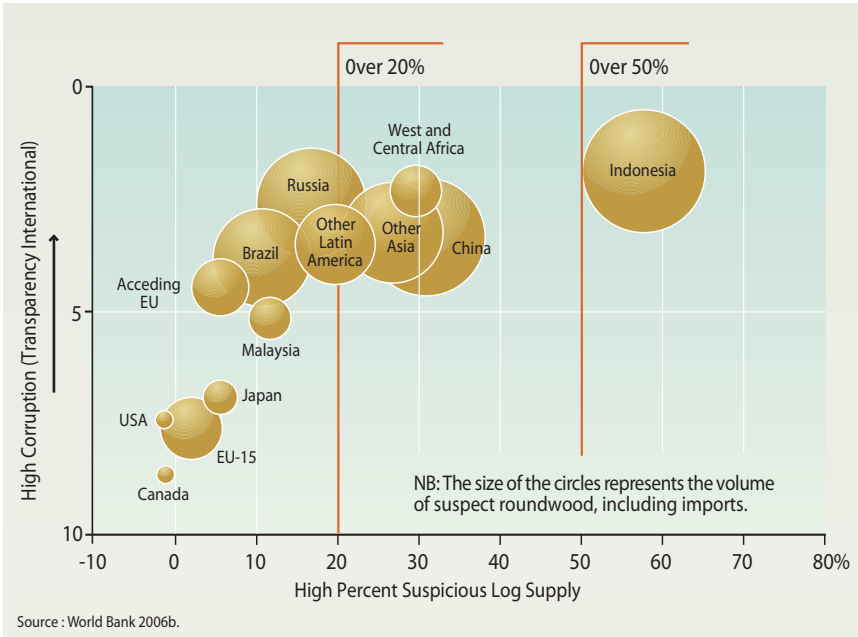
plantation (Gulbrandsen 2006). Lack of awareness of certification programmes and a shortage of local technical capacity contribute to this geographic imbalance. Cost has also been identified as a barrier to certification, particularly for small landowners (i.e. 5-20 hectares) (Hansen *et al.* 2006) and community-based forest enterprises (Humphries and Kainer 2006) that are also unsure whether there will be a financial return on their certification investment. Some certifying organizations are attempting to address cost and access issues by enabling group certifications.

Meanwhile certification has come in for considerable criticism. Some schemes have been accused of allowing the fraudulent misuse of their eco-labels, of inadequate monitoring of profit-driven third-party certifying organizations, of accepting wood from mixed sources (i.e. certified and non-certified) and of certifying operations using unsustainable practices. More generally, certification has also been

↘ Trends in forest certification



## Logging and corruption



# Economic

*In recent years, as awareness of forest ecosystem services has grown, economic incentives have been increasingly used to promote forest conservation*

Despite the acknowledged importance of forests, government incentives have traditionally supported logging and the conversion of forests for agricultural purposes. Most forest nations have encouraged domestic forest operations by providing a combination of incentives including free or under-valued land rents, grants for harvesting, infrastructure and transport, interest-free loans, tax breaks and agricultural resettlement programmes (WRI 1988; OECD 2002). According to one estimate, some US\$2 billion were granted each year in subsidies to industrial forest plantations. This is four times greater than the annual development assistance given to forest conservation (White 2006).

Yet government backed incentives aimed at conserving forests are increasing. In 1997, Costa Rica, recognizing the benefits that forest ecosystem services provide to society as a whole, began paying landowners to conserve or increase forest areas. The programme has helped restore much of Costa Rica's forest cover and is aiding the fight against poverty. A number of international environmental organ-

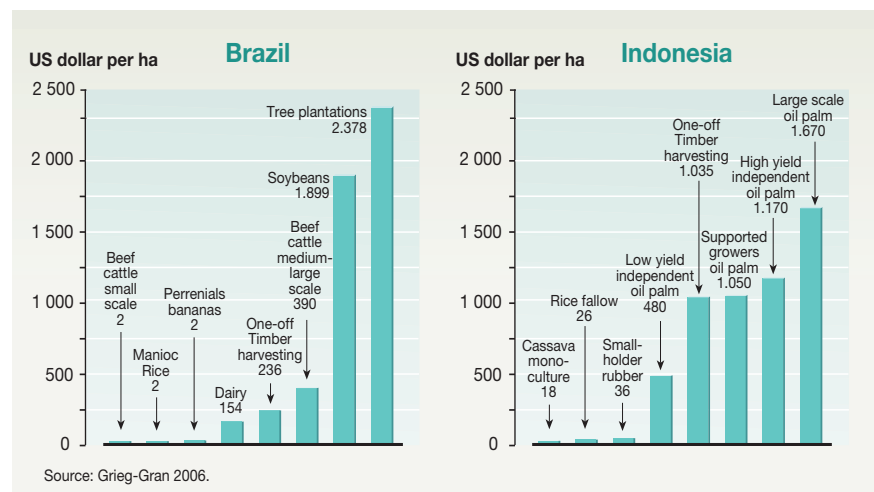
izations, including The Nature Conservancy and Conservation International, have used trust and estate laws to encourage gifts and bequests of land for conservation purposes. Conservation income tax credits and other tax incentives are also becoming increasingly popular in the United States and Europe (Shine 2005).

Through its loan policies and programmes, the World Bank has also played a significant role in forest conservation. In 2005 the World Bank announced it would extend its partnership with the World Wildlife Fund

(WWF) in the Alliance for Forest Conservation & Sustainable Use (Forest Alliance). In 2005, the Forest Alliance agreed to devote resources to reducing deforestation by 10 per cent by 2010 (WWF 2005). At the same time the World Bank has also been strongly criticized for funding forest projects that contribute to deforestation (Rainforest Foundation 2005; The Ecologist 2007).

Conserving forests has become a key weapon in the fight to reduce carbon emissions and slow climate change. According to the Intergovernmental Panel on Climate Change

## When forest conversion is profitable...

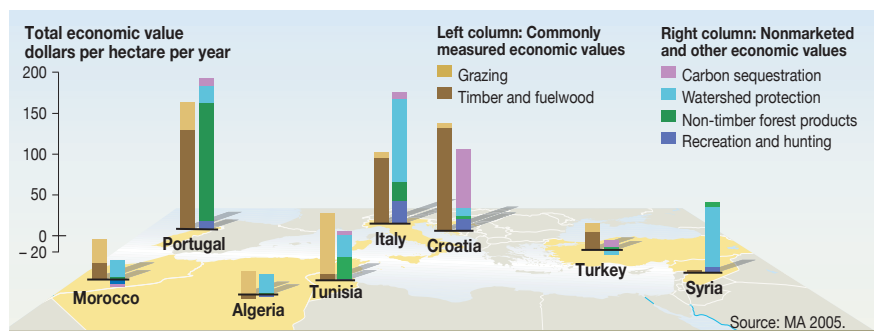


# incentives to protect forests

(IPCC), deforestation is the cause of approximately 17 per cent of all greenhouse gases (GHG). At current rates of destruction, GHG emissions from deforestation in Brazil and Indonesia alone would equal approximately 80 per cent of the emissions reductions achieved under the Kyoto Protocol by 2012 (Santilli *et al.* 2005).

The concept of Reducing Emissions from Deforestation and Degradation (REDD), has gained strong support among environmental organizations and governments. In 2007, during the Conference of the Parties of the UN Framework Convention on Climate Change (UNFCCC), governments agreed to consider “policy approaches and positive incentives on issues relating to reducing emissions from deforestation and forest degradation (REDD) in developing countries; and the role of conservation, sustainable management of forests and enhancement of forest carbon stocks in devel-

## ↓ Economic value of forests in the Mediterranean Basin



oping countries”. Some nations such as Brazil pressed for direct payments to forest nations for protection of tropical forests. Others believed that units of forest should be assigned value, based on their ability to store carbon. These values should then be traded among willing buyers in the carbon credit market.

A number of REDD initiatives were announced during the UNFCCC conference. The World Bank launched its US\$250 million Forest Carbon Partnership Facility, aimed at building capacity for REDD in developing countries and pioneering on a relatively small scale, performance-based incentive payments in pilot countries. The government of Norway also announced its intention to allocate US\$2.7 billion over five years to prevent deforestation and reduce CO<sub>2</sub> emissions in developing countries. Norway subsequently announced its first partnership under this initiative with Tanzania.

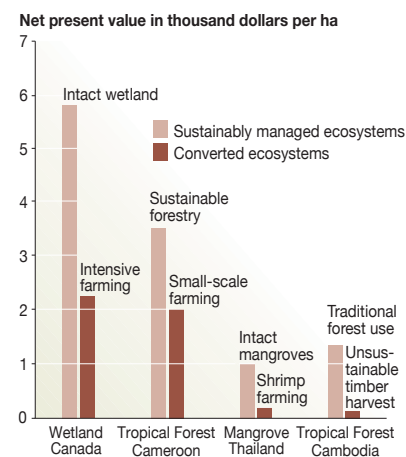
Creating an effective REDD implementation mechanism will be politically and technically complex. One question still not answered is whether carbon

market trading is the best way to avoid further deforestation. Concerns have also been raised over whether the REDD regime can be implemented where there is inadequate governance, and there is concern that efforts to conserve forests might ignore community rights, in some cases resulting in displacement of forest inhabitants (FERN 2008).

In addition, REDD faces a number of significant technical challenges, including the establishment of effective programmes, accurately assessing forest carbon emissions and setting equitable reference emission levels. Concerns also exist regarding costs for measuring and monitoring deforestation and forest degradation, establishing what is and what is not permanent forest and on the issue of leakage – the possibility that forest protection zones will displace rather than eliminate deforestation.

As the search continues for ways to address climate change and protect forest ecosystems, the debate over these programmes and other innovative financial incentives will undoubtedly intensify.

## ↓ Pricing ecosystems



Source: MA 2005.

# Are **legal** instruments sufficient

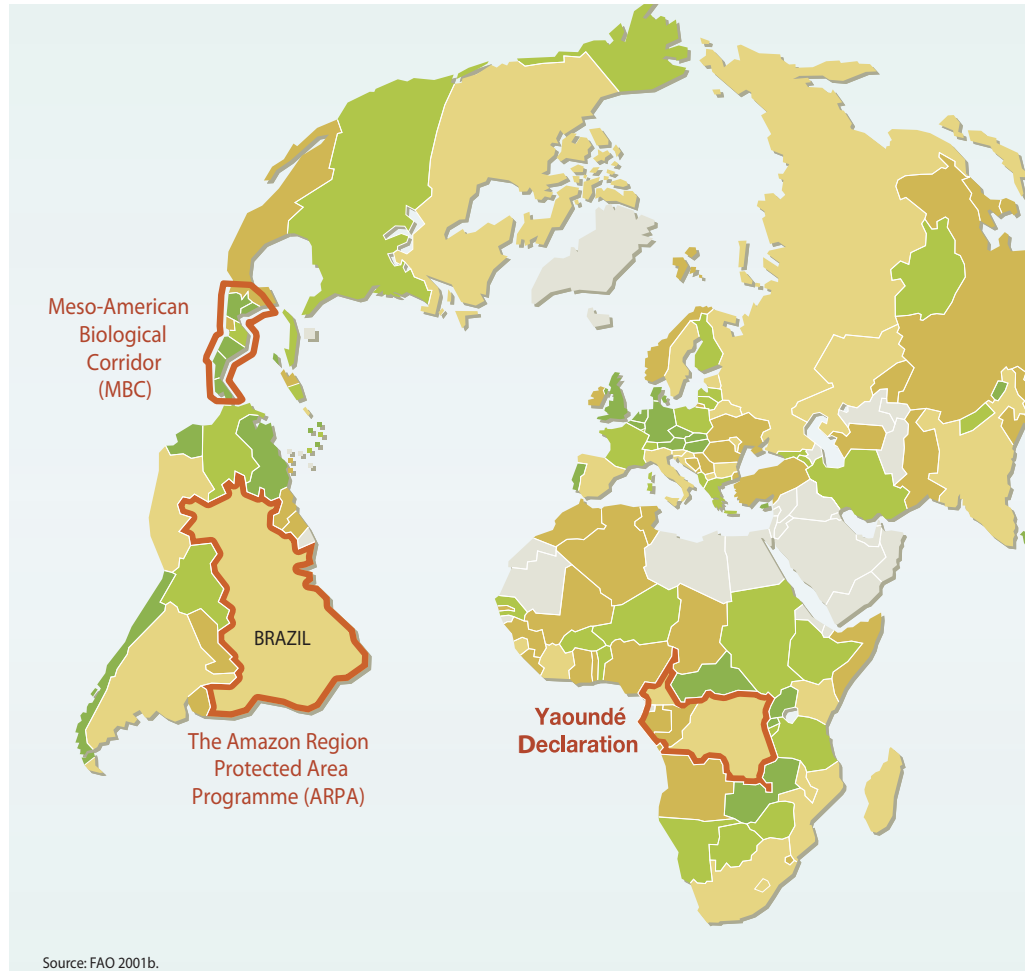
*The fate of the world's forests, and of the rights of those who live in and around them, depends on effective governance, which includes negotiating among public and private stakeholders, and establishing and enforcing binding forest laws and policies (Rametsteiner 2007)*

**T**he quality of governance in regard to forests varies widely around the world. When governance is weak, forests are frequently subject to unplanned development and illegal logging, which in turn encourages illegal encroachment on forest land, illegal trade, crime, corruption and conflict.

The World Bank has estimated that the market value of illegal timber from public land is US\$10 billion – more than eight times the total official development assistance given for sustainable forest management. In addition, governments lose an estimated US\$5 billion more in unpaid tax revenue (World Bank 2006a).

The issue of illegal logging is increasingly recognized as an urgent problem by the world's governments. The G8 Action Plan on Forests includes actions to combat illegal logging. Several international organizations including the United Nations Food and Agriculture Organization (FAO), The United Nations Forum on Forests (UNFF), the International Tropical Timber Organization (ITTO) and the World Bank have been actively involved in programmes to combat illegal logging and associated trade.

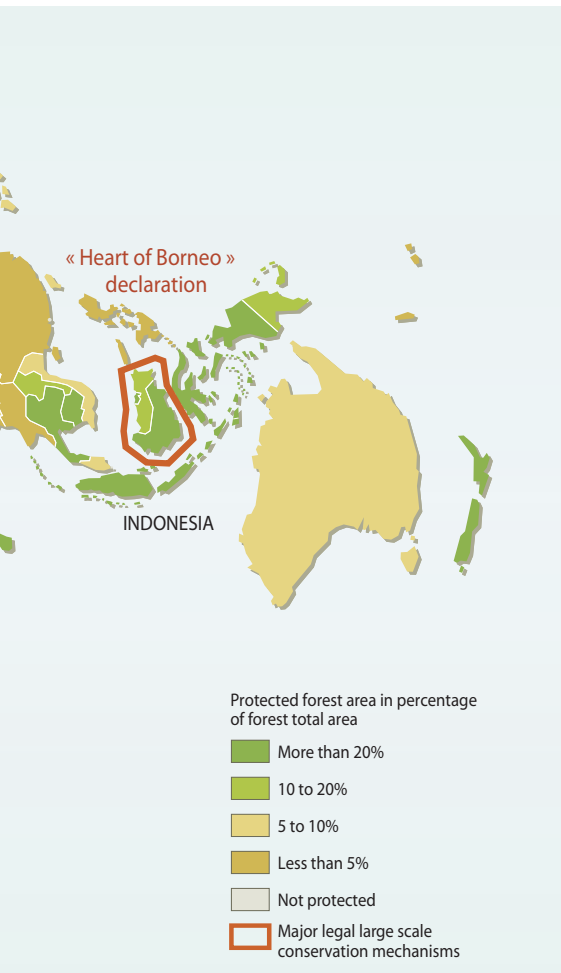
## Global protected forests



At the 1992 Rio Earth Summit, the issue of forests and deforestation was high on the political agenda. However, a convention on forests or a legally binding agreement similar to those on climate change, biological diversity and desertification, was not agreed (UNFF 2004). Intense negotiations at the Summit resulted in the Forest Principles, a set of non-legally binding targets for management, conservation and the sustainable development of forests. The Principles did not directly address such key issues as illegal logging and how to balance forest use with conservation (Gulbrandsen and Humphries 2006).

In 2007, the UN General Assembly agreed to a non-legally binding agreement that sets global standards for sustainable forest management and promotes integration of forest policies with other policies at national level (UN General Assembly 2007). It outlined future priorities in the form of the four Global Objectives on Forests. These call for reversal of the loss of forest cover; prevention of forest degradation; enhancing economic, social and environmental benefits from forests; increasing the area of protected forests and mobilizing finance for sustainable forest management. Countries have

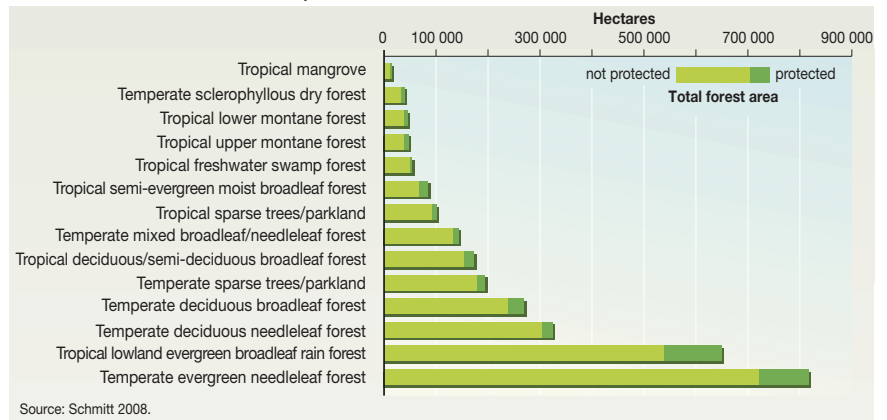
# to protect our forests?



committed to work towards these Global Objectives by 2015 (UN 2007).

In relation to protected areas, international organizations such as the World Wildlife (WWF), the International Union for Conservation of Nature (IUCN) and its World Commission on Protected Areas (WCPA) have done much to establish a representative network of protected areas around the world. As of 2006, countries had designated over 63 000 protected areas encompassing nearly 1 600 million hectares (UNEP-WCMC 2008), an area nearly the size of Russia. Some 43 500 protected areas of which the year

## ↓ Surface of biomes protected



of establishment has not been reported are not reflected in these figures

At a regional level, governments and other stakeholders have begun to meet in a series of Forest Law Enforcement and Governance (FLEG) gatherings, covering East Asia and Pacific (2001), Africa (2003), and Europe and North Asia (2005). Similar initiatives have started in the Amazon and Central America. As of 2006, 90 producer and consumer countries were involved in FLEG processes.

In 2003 the European Union, a major importer of timber from the Amazon, Congo and northwest Russia regions, adopted an Action Plan for Forest Law Enforcement Governance and Trade (FLEGT), giving renewed emphasis to regulating the timber trade. FLEGT established a voluntary scheme to ensure that only legally harvested timber is imported into the EU from countries participating in the scheme (EU 2008). Though entry of timber into the EU is controlled via import licenses and bilateral FLEGT Voluntary Partnership Agreements (VPAs), concerns remain that VPA states could still import illegal timber into the EU via non-VPA countries (EU 2008). A number of EU

states (e.g., Belgium, Denmark, France, Germany and the UK) have created national procurement policies that require imported timber to be legally and sustainably harvested. Norway, a non-EU nation, has gone so far as to ban state procurement of any tropical timber.

International finance institutions and export credit agencies are also key multilateral players in ensuring forest governance. By lending to governments and providing government-guaranteed loans to corporations, these institutions are stakeholders in the forest trade sector and have a responsibility to perform due diligence to ensure that legitimate finance is not used for illegal activities.

As various governance processes have evolved, the governance landscape has grown ever more complex, with increasing numbers of transnational, civil society and other stakeholders influencing decisions. Strengthening local rule of law, land rights, transparency and participation opens up the potential for cooperation among all stakeholders and, with it, the possibility that sustainable forest management can be achieved.

# Greening degraded forest landscapes

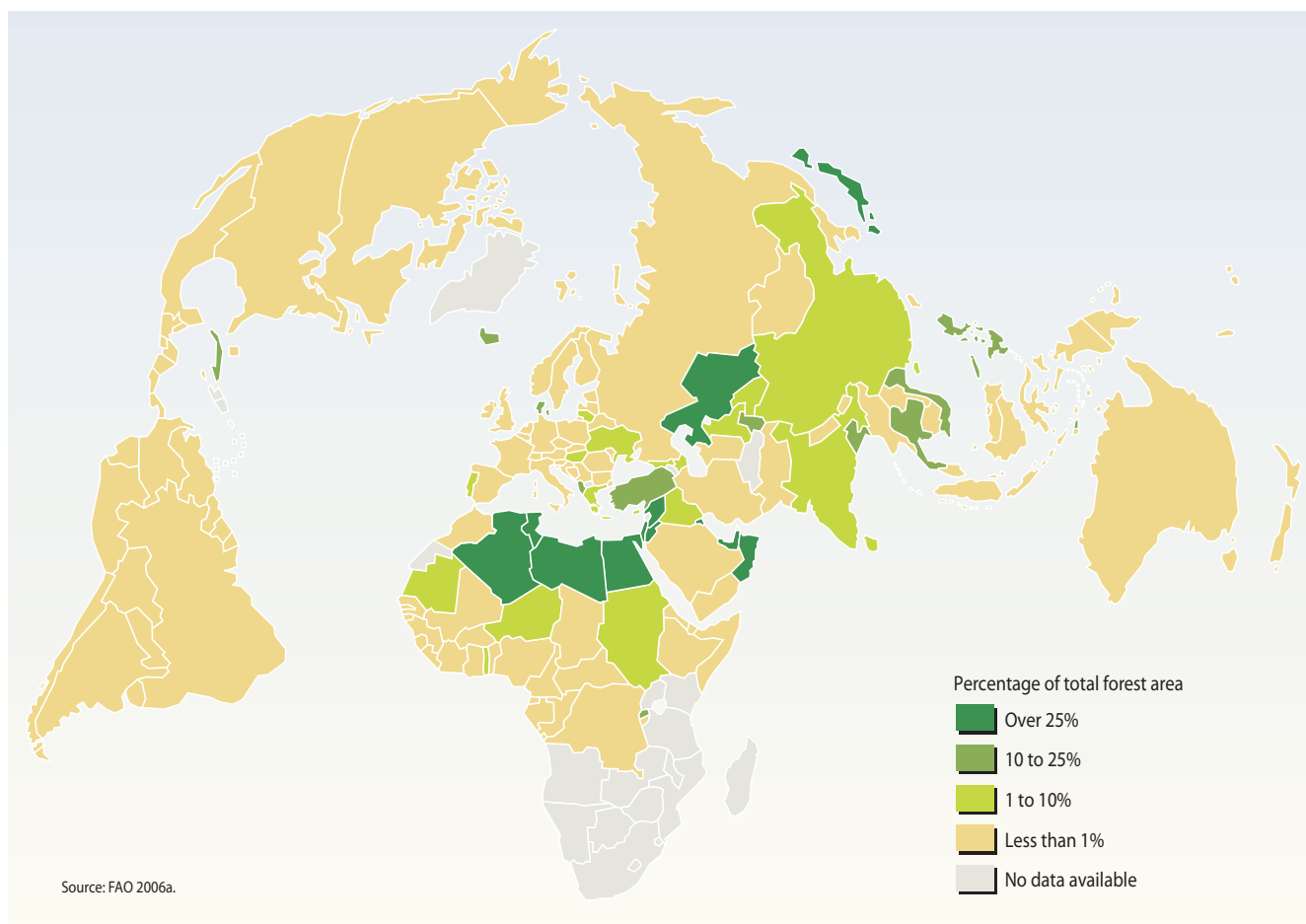
While there is no substitute for the environmental services provided by natural forests, as forests worldwide continue to disappear at an alarming rate, increased efforts are being made to reestablish forest areas

Measures aimed to create and reestablish forests can take a number of forms, including restoration, rehabilitation, reforestation and afforestation. In some countries such efforts have led to a change from net forest loss to net forest gain.

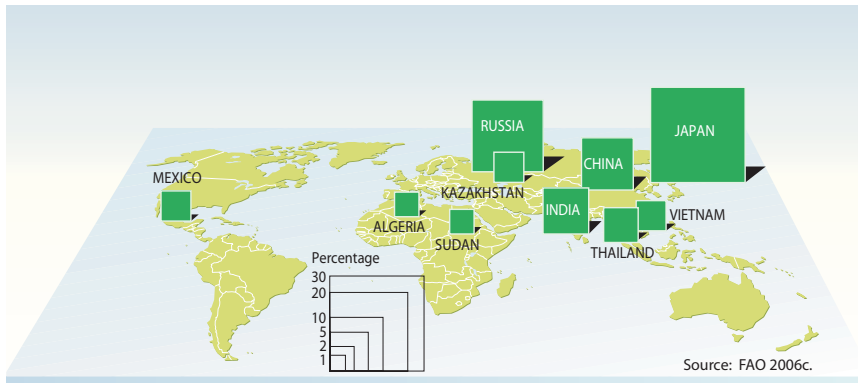
Successful forest landscape restoration starts from the ground up, with the close participation of local communities who often possess traditional forest-related knowledge. In Tanzania, the Shinyanga region used to have extensive acacia and

miombo woodland, until population pressures combined with agropastoral practices resulted in widespread deforestation and land degradation. Yet since 1986 the Shinyanga Soil Conservation Programme has promoted conservation of woods and grassland using the traditional indigenous natural resource management system *Ngitili* or fodder reserves. As a result, by 2000 between 378 000 and 472 000 hectares of woodlands had been restored in 833 villages in the Shinyanga region (Barrow *et al.* 2003).

## Global forest plantations for protective purposes



Which countries account for the largest area of protective forest plantations?



In 1999, China launched the Grain for Green programme to promote recovery of vegetation cover, watershed management and poverty alleviation through a grain and cash subsidy scheme. The programme now covers 25 provinces in over 1 600 counties, (autonomous regions and municipalities) and involves 15 million households and 60 million farmers. From 1999 to 2002, 7.7 million hectares of land was converted into forest, including 3.72 million hectares of farmland-turned forest and 3.98 million hectares of plantations established on barren hills. In 2002 alone, over 5 billion kg of grain and US\$67 million in cash were disbursed to over 10 million farm households. The Grain for Green programme is considered the largest participatory community forest project in China, possibly in the world (Zhiyong 2003).

More recently, the planting of trees has also emerged as a vital tool for addressing climate change. Under the Kyoto Protocol, companies and governments can help meet their greenhouse gas emission caps by financing offset projects, such as tree planting designed to absorb CO<sub>2</sub>. A voluntary carbon market is emerging in which people, companies and governments can purchase carbon offsets to compensate for greenhouse gas emissions caused, for example, by their use of transportation or electricity generation. Although the carbon market is growing fast, soaring in value from US\$10.8 billion to US\$64 billion between 2005-2007 (World Bank 2007), tree planting still represents only

a relatively small percentage of the market (UNEP Riso Centre 2008). In addition, a number of concerns exist about the science and sustainability of offsetting carbon emissions through reforestation and afforestation (e.g., Carbon Trade Watch 2007; Grist 2007).

Forests also play an important role in adaptation to the effects of climate change. In 2008, India announced it would spend US\$2.4 billion to restore six million hectares of degraded forests, not only to help absorb carbon emissions but also to stabilize shorelines and protect coastal human infrastructure in the face of rising sea levels (Sharma 2008).

In the aftermath of the 2004 Indian Ocean tsunami, one of the most devastating natural disasters in recent history, the role of mangroves and other coastal forests in the mitigation of tsunami impacts became a focus of international attention. Coastal forests of all types, including mangroves, beach forests and plantations, were found to absorb part of the tsunami's energy, hence reducing its impacts. At present mangrove-planting projects are gaining momentum as a means of establishing or restoring natural storm barriers and reducing disaster risks (UN ISDR 2008).

Agroforestry and the planting of trees with crops can play an important role in biodiversity conservation and food security. To date, the UN World Food Programme has supported the planting of 60 million trees in 35 countries, a development likely to play an important part

in helping to fight the global food crisis (WAC 2008).

Efforts aimed at reforesting areas involve both big and small scale projects. An international group of musicians, music teachers and artisans have banded together to conserve pernambuco, a wood essential for the production of high quality bows for stringed instruments. The bow makers have collaborated with cacao farmers, scientists, landless people and government authorities to plant over 130 000 pernambuco seedlings on Brazil's heavily deforested Atlantic Coast (IPCI 2008).

Global partnership

In 2003, the Global Partnership on Forest Landscape Restoration was launched to act as a catalyst for a network of diverse examples of forest landscape restoration that benefit local communities and nature.

The partnership is a network of governments, organizations, communities and companies who together recognize the importance of forest landscape restoration and want to be part of a coordinated global effort. The Billion Tree Campaign launched by UNEP and the World Agroforestry Centre in 2006 planted more than 2 billion trees in 18 months, and has reset its goal to 7 billion trees planted. Further efforts such as these will be needed if the tide of global forest destruction is to be reversed.

See also pages 6, 10, 32, 56

Glossary	
<b>Restoration</b>	Recreating most of the ecological functions of the original forest on land that was forested in the past.
<b>Rehabilitation</b>	Recreating some ecological functions on land that was forested in the past.
<b>Reforestation</b>	Planting (or sowing) of trees on land which was forested in the recent past.
<b>Afforestation</b>	Planting (or sowing) of trees on land that has not been forested before (or at least not within the last 50 years).

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**Forests are** not only important for the 1.6 billion people who depend on them for their livelihoods, but for the world's population at large. Forests play a critical role in the Earth's life support system, including global carbon and hydrological cycles.

To help communicate the value of forests to policy-makers and the wider public, three United Nations organizations/entities UNEP, FAO and UNFF joined efforts to analyse, synthesize and illustrate topical forest issues.

The Vital Forest Graphics provides an overview of the global trends in forest cover and looks specifically at the four largest forest ecosystems and analyses the trends and challenges in their conservation and management. It scrutinizes some of the key drivers behind forest loss, including the increasing demand for commodities and energy. Finally, it reviews some of the best practices for sustainable management of forest, including regulatory regimes, participatory management and economic incentives.

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